



**HTA Austria**  
Austrian Institute for  
Health Technology Assessment  
GmbH

## Covid-19

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HSS/ Horizon Scanning  
Living Document **V16 July** 2021



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# Covid-19

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HSS/ Horizon Scanning  
Living Document **V16 July 2021**

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<b>History of Changes</b>	<b>V16 July 2021</b>
July 2021	Addition chapter on Tofacitinib (chapter 3.32)
July 2021	Methodology (1.2) – no changes
July 2021	Update Vaccine (chapter 2)
July 2021	Remdesivir (chapter 3.1) – no changes
July 2021	Update Favipiravir (chapter 3.3)
July 2021	Update Darunavir (chapter 3.4)
July 2021	Camostat Mesilate (chapter 3.7) – no changes
July 2021	APN01/rhACE2 (chapter 3.8) – no changes
July 2021	Update Tocilizumab (chapter 3.9)
July 2021	Update Sarilumab (chapter 3.10)
July 2021	Interferon beta (chapter 3.11) – no changes
July 2021	Update Concalescent plasma (chapter 3.12)
July 2021	Update Plasma derived medicinal products (chapter 3.13) – REGN-COV2; LY-CoV555 and LY-CoV016 (Bamlanivimab and etesevimab); AZD7422; VIR-7831 (Sotrovimab); Regdanvimab
July 2021	Combination therapy (chapter 3.14) – no changes
July 2021	Solnatide (chapter 3.15) – no changes
July 2021	Umifenovir (chapter 3.16) – no changes
July 2021	Inhaled corticosteroids (chapter 3.17.1) – no changes
July 2021	Update Anakinra (chapter 3.18)
July 2021	Update Colchicine (chapter 3.19)
July 2021	Nafamostat (chapter 3.20) – no changes
July 2021	Gimsilumab (chapter 3.21) – no changes
July 2021	Canakinumab (chapter 3.22) – no changes
July 2021	Lenzilumab (chapter 3.23) – no changes
July 2021	Update Vitamin D (chapter 3.24)
July 2021	Update Baricitinib (chapter 3.25)
July 2021	Molnupiravir (chapter 3.26) – no changes
July 2021	Update Ivermectin (chapter 3.27)
July 2021	Update Aspirin (chapter 3.28)
July 2021	Aviptadil (RLF-100) (chapter 3.29) – no changes
July 2021	Dimethyl fumarate (chapter 3.30) – no changes
July 2021	Artesunate (chapter 3.31) – no changes

# 1 Background: policy question and methods

## 1.1 Policy Question

On March 30th 2020, a request was raised by the Austrian Ministry of Health (BMASGK), the Health Funds of the Regions and the Federation of Social Insurances to set up a Horizon Scanning system (HSS) for medicines and vaccines. The establishment of a HSS/ Horizon Scanning System for Covid-19 interventions has the intentions of

- a. informing health policy makers at an early stage which interventions (vaccinations and drugs) are currently undergoing clinical trials and
- b. monitoring them over the next few months in order to support evidence-based purchasing, if necessary.

**März 2020:  
Österr. Politik empfiehlt  
Aufbau von HSS  
zu Covid-19**

**Information zu  
\* Status F&E  
\* Evidenz-basierter  
Einkauf**

## 1.2 Methodology

To respond to this request,

1. As a first step an inventory, based on international sources, is built.
2. As a second step, selective searches by means of searches in study registries are carried out for information on clinical studies in humans and the state of research.
3. This information forms the basis for “vignettes” (short descriptions) for those products that are already in an "advanced" stage.
4. Subsequently, the products are monitored with regard to the status of the clinical studies up to approval and finally evaluated for their benefit and harm.

**mehrstufige Methodik**

**Bestandsaufnahme  
selektive Suche  
Vignetten  
Monitoring**

All work steps are conducted in close international (European) cooperation.

- Version 1 (V1, April 2020): inventory + vignettes for most advanced
- Version 2+: monthly monitoring and updates

**internationale/  
europ. Zusammenarbeit**

Ongoing trials are reported in V1, April 2020 - V3, June 2020 of this Document and in the living documents - EUnetHTA (Covid-19 Rolling Collaborative Reviews: <https://eunetha.eu/rcr01-rcrxx/>).

**V1-V3: auch laufende  
Studien - Verweis auf  
EUnetHTA  
V4: nur abgeschlossene  
(oder beendete)  
Interventionsstudien aus  
2 Studienregistern  
ab V5: nur mehr best  
verfügbare Evidenz**

From V4 July, 2020 of this HSS/ Horizon Scanning Document, only completed, terminated, withdrawn and suspended interventional clinical trials from ClinicalTrials.gov and EUdraCT registers are reported. From Version 8 November, 2020 only terminated, withdrawn and suspended interventional clinical trials are reported.

From V5, August 2020 of this HSS/ Horizon Scanning Document only the best available evidence will be presented in.



Table 1.2-1: International Sources

Primary sources	Link
<b>WHO</b> Drugs: Vaccines:	<a href="https://www.who.int/teams/blueprint/covid-19">https://www.who.int/teams/blueprint/covid-19</a> <a href="https://www.who.int/blueprint/priority-diseases/key-action/Table_of_therapeutics_Appendix_17022020.pdf?ua=1">https://www.who.int/blueprint/priority-diseases/key-action/Table_of_therapeutics_Appendix_17022020.pdf?ua=1</a> <a href="https://www.who.int/who-documents-detail/covid-19-candidate-treatments">https://www.who.int/who-documents-detail/covid-19-candidate-treatments</a> <a href="https://www.who.int/who-documents-detail/draft-landscape-of-covid-19-candidate-vaccines">https://www.who.int/who-documents-detail/draft-landscape-of-covid-19-candidate-vaccines</a>
<b>Danish Medicine Agency</b> Drugs: Vaccines:	<a href="https://laegemiddelstyrelsen.dk/da/nyheder/temaer/ny-coronavirus-covid-19/~media/5B83D25935DF43A38FF823E24604AC36.ashx">https://laegemiddelstyrelsen.dk/da/nyheder/temaer/ny-coronavirus-covid-19/~media/5B83D25935DF43A38FF823E24604AC36.ashx</a> <a href="https://laegemiddelstyrelsen.dk/da/nyheder/temaer/ny-coronavirus-covid-19/~media/3A4B7F16D0924DD8BD157BBE17BFED49.ashx">https://laegemiddelstyrelsen.dk/da/nyheder/temaer/ny-coronavirus-covid-19/~media/3A4B7F16D0924DD8BD157BBE17BFED49.ashx</a>
<b>Pang et al. 2020</b> [1] Drugs: Vaccines:	<a href="https://www.mdpi.com/2077-0383/9/3/623">https://www.mdpi.com/2077-0383/9/3/623</a> Table 5+6, Table 3+4
SPS HS-report (UK)	unpublished
<b>Secondary sources</b>	
<b>VfA/ Verband Forschender Arzneimittelhersteller</b> Drugs: Vaccines:	<a href="https://www.vfa.de/de/arzneimittel-forschung/woran-wir-forschen/therapeutische-medikamente-gegen-die-coronavirusinfektion-covid-19">https://www.vfa.de/de/arzneimittel-forschung/woran-wir-forschen/therapeutische-medikamente-gegen-die-coronavirusinfektion-covid-19</a> <a href="https://www.vfa.de/de/arzneimittel-forschung/woran-wir-forschen/impfstoffe-zum-schutz-vor-coronavirus-2019-ncov">https://www.vfa.de/de/arzneimittel-forschung/woran-wir-forschen/impfstoffe-zum-schutz-vor-coronavirus-2019-ncov</a>
<b>EMA/ European Medicines Agency</b> Medicines:	<a href="https://www.ema.europa.eu/">https://www.ema.europa.eu/</a> <a href="https://www.ema.europa.eu/en/medicines/medicines-under-evaluation">https://www.ema.europa.eu/en/medicines/medicines-under-evaluation</a>
<b>FDA/US Food and Drug Administration</b>	<a href="https://www.fda.gov/emergency-preparedness-and-response/counterterrorism-and-emerging-threats/coronavirus-disease-2019-covid-19">https://www.fda.gov/emergency-preparedness-and-response/counterterrorism-and-emerging-threats/coronavirus-disease-2019-covid-19</a>
<b>Trial Registries</b>	
US National Library of Medicine European Union Drug Regulating Authorities Clinical Trials Database WHO International Clinical Trials Registry Platform TrialsTracker	<a href="https://clinicaltrials.gov/">https://clinicaltrials.gov/</a> <a href="https://eudract.ema.europa.eu/">https://eudract.ema.europa.eu/</a> <a href="https://www.who.int/ictrp/en/">https://www.who.int/ictrp/en/</a> <a href="http://Covid-19.trialstracker.net/">http://Covid-19.trialstracker.net/</a>
<b>Up-to-date information on clinical trials and literature searching resources relating to COVID-19</b>	
Cochrane COVID-19 Study Register 21/04.20	<a href="https://covid-19.cochrane.org/">https://covid-19.cochrane.org/</a>
Living mapping of research and a living systematic review	<a href="https://covid-nma.com/">https://covid-nma.com/</a> <a href="https://covid-nma.com/dataviz/">https://covid-nma.com/dataviz/</a>
Dynamic meta-analysis of evidences about drug efficacy and safety for COVID19 - meta/Evidence – COVID-19	<a href="http://metaevidence.org/COVID19.aspx">http://metaevidence.org/COVID19.aspx</a>
CORDITE (CORona Drug InTEractions database)	<a href="https://cordite.mathematik.uni-marburg.de/#/">https://cordite.mathematik.uni-marburg.de/#/</a>
Living listing of interventional clinical trials in Covid-19/2019-nCoV produced by the Anticancer Fund	<a href="http://www.redo-project.org/covid19db/">http://www.redo-project.org/covid19db/</a> ; <a href="http://www.redo-project.org/covid19_db-summaries/">http://www.redo-project.org/covid19_db-summaries/</a>
Global Coronavirus COVID-19 Clinical Trial Tracker	<a href="https://www.covid-trials.org/">https://www.covid-trials.org/</a>
LitCovid	<a href="https://www.ncbi.nlm.nih.gov/research/coronavirus/">https://www.ncbi.nlm.nih.gov/research/coronavirus/</a>
UK NIHR Innovation Observatory NIHR COVID-19 Studies COVID-19 Therapeutics Dashboard COVID-19: a living systematic map of the evidence	<a href="https://www.nihr.ac.uk/covid-studies/">https://www.nihr.ac.uk/covid-studies/</a> <a href="http://www.io.nihr.ac.uk/report/covid-19-therapeutics/">http://www.io.nihr.ac.uk/report/covid-19-therapeutics/</a> <a href="http://eppi.ioe.ac.uk/cms/Default.aspx?tabid=3765">http://eppi.ioe.ac.uk/cms/Default.aspx?tabid=3765</a>
WHO COVID-19 Database new search interface	<a href="https://www.who.int/emergencies/diseases/novel-coronavirus-2019/global-research-on-novel-coronavirus-2019-ncov">https://www.who.int/emergencies/diseases/novel-coronavirus-2019/global-research-on-novel-coronavirus-2019-ncov</a>
COVID-evidence Database	<a href="https://covid-evidence.org/database">https://covid-evidence.org/database</a>
Medical Library Association – COVID-19 Literature search strategies	<a href="https://www.mlanet.org/page/covid-19-literature-searching">https://www.mlanet.org/page/covid-19-literature-searching</a>
Centre of Evidence Based Dermatology (CEBD) - Coronavirus Dermatology Online Resource	<a href="https://www.nottingham.ac.uk/research/groups/cebd/resources/Coronavirus-resource/Coronavirushom">https://www.nottingham.ac.uk/research/groups/cebd/resources/Coronavirus-resource/Coronavirushom</a>
Ovid Expert Searches for COVID-19	<a href="http://tools.ovid.com/coronavirus/">http://tools.ovid.com/coronavirus/</a>
EBSCO Covid-19 Portal Literature searching section of portal	<a href="https://covid-19.ebscomedical.com/research">https://covid-19.ebscomedical.com/research</a>

Information portal	<a href="https://covid-19.ebscomedical.com/">https://covid-19.ebscomedical.com/</a>
NIH COVID-19 Treatment Guidelines. 2020.	<a href="https://covid19treatmentguidelines.nih.gov/introduction/">https://covid19treatmentguidelines.nih.gov/introduction/</a>
<b>Tertiary sources</b>	
NIPHNO	<a href="https://www.fhi.no/en/qk/systematic-reviews-hta/map/">https://www.fhi.no/en/qk/systematic-reviews-hta/map/</a>
INAHTA	<a href="http://www.inahta.org/covid-19-inahta-response/">http://www.inahta.org/covid-19-inahta-response/</a>
EUnetHTA Covid-19 Rolling Collaborative Reviews (RCR)	<a href="https://eunethta.eu/rcr01-rcrx/">https://eunethta.eu/rcr01-rcrx/</a>

Several organisations and international teams of researchers are providing up-to-date information through living listing of interventional clinical trials in Covid-19/2019-nCoV and literature resources (Table 1.2-1) [2-4] [2]. A short description of two of such databases is presented below.

**“lebende” Dokumente mit up-to-date Informationen**

Boutron et al., 2020 [3] are performing a living mapping of ongoing randomized trials, followed by living systematic reviews with pairwise meta-analyses and when possible, network meta-analyses focusing on two main questions: the effectiveness of preventive interventions for COVID-19 and the effectiveness of treatment interventions for COVID-19 (Figure 1.2-1).

**Kartierung von laufenden RCTs**

### COVID-19 NMA

a living mapping of ongoing research.

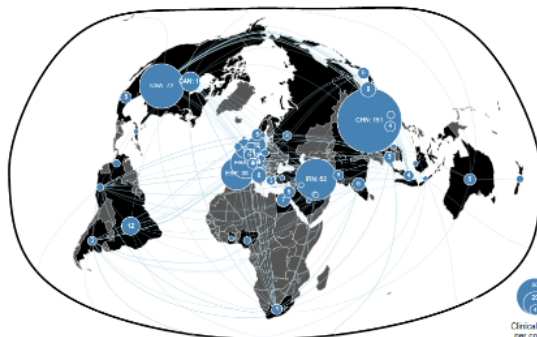
▼ As of April 24, 2020...

The Covid-19 - living NMA initiative collected a number of 506 studies of treatments from the ICIRP. 278 of these trials are recruiting patients. Most of the studies are being conducted in Asia (264 trials) with the majority from China (151 trials). Other countries in Europe (160 trials) and North America (92 trials) are rapidly setting up new trials with the majority being conducted in multiple centers (194 trials).

Search

Ex: Italy;Spain; Australia; Spain; Assistance Publique... LUCTR2020...

▼ Map



▼ HELP

- Make your browser window as wide as possible for a 2-column display.
- Click on the map or any of the graphs to create filters on the data.
- All the filters are applied jointly, refining your selection.
- To select a Registration date, click and drag to create a range.
- At any moment you can click Reset All below to remove the filters.
- Click on the black arrows to open or close any section.
- For any questions or remarks, please contact us.

All trials selected (506) | Reset All

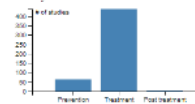
▼ Recruitment status

- Recruiting (278)
- Not recruiting (219)
- Completed (9)

▼ Registration date



▼ Study aim



▼ Disease severity

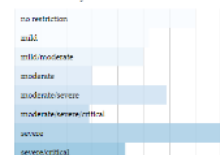


Figure 1.2-1: A living mapping of ongoing randomized trials, living systematic reviews with pairwise meta-analyses and network meta-analyses

Thorlund et al., 2020 [4] developed a COVID-19 clinical trials registry to collate all trials related to COVID-19: Global Coronavirus COVID-19 Clinical Trial Tracker. Data is pulled from the International Clinical Trials Registry Platform, including those from the Chinese Clinical Trial Registry, ClinicalTrials.gov, Clinical Research Information Service - Republic of Korea, EU Clinical Trials Register, ISRCTN, Iranian Registry of Clinical Trials, Japan Primary Registries Network, and German Clinical Trials Register (Figure 1.2-2). They also use content aggregator services, such as LitCovid, to ensure that their data acquisition strategy is complete [5].

**Clinical Trial Tracker  
real-time dashboard**



Figure 1.2-2: Global Coronavirus COVID-19 Clinical Trial Tracker - a real-time dashboard of clinical trials for COVID-19

**1.3 Selection of Products for “Vignettes”**

The following products have been selected for further investigation (searches in registry databases and description as “vignettes”) for the following reasons:

- most advanced in clinical research in humans
- most often discussed in clinical journals as potential candidates

Decision to stop further investigation will be based on modified EUnetHTA stopping rules, <https://eunetha.eu/covid-19-treatment/>: 1) the compound has a positive marketing authorization decision or 2) no clinical benefit:  $\geq 2$  RCTs OR treatment arms in platform trials (e.g., RECOVERY) with negative efficacy and/or safety results in the indication and population under review (phase III, of high or moderate quality/ high or moderate certainty of evidence, well powered) OR  $\geq 1$  RCT with negative efficacy and/or safety results in the indication and population under review (phase III, of high or moderate quality/ high or moderate certainty of evidence, well powered) AND stopped enrollment of participants to the treatment arm of interest in a platform trial (e.g., RECOVERY) because no evidence of beneficial effects.

The full inventory (list) can be found in Part 2 - Appendix A-1: vaccines, A-2, therapeutics, A3-EudraCT registry studies.

**Vignetten zu Produkte, in "fortgeschrittenen" Studien oder häufig diskutiert/ publiziert Regeln, wann das Monitoring beendet wird folgen EUnetHTA**

From January 2021 (v10) only vaccines for which the European Commission (EC) concluded contracts or exploratory talks with their manufactures, to build a diversified portfolio of COVID-19 vaccines for EU citizens, will be presented in detail.

From April 2021 (V13) focus will be also on COVID-19 vaccines which clinical trials are conducted in children, on vaccines effectiveness related to SARS-CoV-2 new variants as well as on COVID-19 intranasal vaccines in development.

**v10: nur Impfstoffe, für die EC Verträge abgeschlossen hat/ abschließt**

**ab April 2021: Fokus auf Impfungen für Kinder und auf Wirksamkeit bei unterschiedlichen Mutationen**



## 2 Results: Vaccines

As of June 13, 2021, the **European Commission (EC)** has given the **conditional marketing authorisation** for the vaccines developed by **BioNTech and Pfizer – Comirnaty®** (vaccine efficacy 94.6%) on 21 December 2020, and **Moderna – now Spikevax** (previously COVID-19 Vaccine Moderna - vaccine efficacy 94.1%) on 6 January 2021, following EMA positive assessment of its safety and efficacy.

On 29 January 2021, the EC has given the **conditional marketing authorisation** for the vaccine developed by **AstraZeneca – now Vaxzevria** (previously COVID-19 Vaccine AstraZeneca) (vaccine efficacy around 60%).

On 11 March 2021, the **European Commission (EC)** has given the **conditional marketing authorisation** for the **COVID-19 Vaccine Janssen** (vaccine efficacy 67%) developed by **Janssen Pharmaceutica NV/Johnson & Johnson**, following evaluation by EMA.

On February 03 2021 CHMP has started a **rolling review** of **NVX-CoV2373**, a COVID-19 vaccine being developed by **Novavax CZ AS** (a subsidiary of Novavax, Inc.), and on February 12<sup>th</sup> a rolling review of **CVnCoV**, a COVID-19 vaccine being developed by **CureVac AG** [6, 7]. On March 4, 2021 CHMP has started a rolling review of **Sputnik V COVID-19** vaccine developed by **Russia's Gamaleya National Centre of Epidemiology and Microbiology** [8].

On **May 4, 2021**, CHMP has started a **rolling review** of **COVID-19 Vaccine (Vero Cell) Inactivated**, developed by **Sinovac Life Sciences Co., Ltd** [9].

As of May 14, 2021, the **EC** concluded **contracts with different vaccine manufactures** to build a diversified portfolio of COVID-19 vaccines for EU citizens: with **AstraZeneca** (400 million doses), **Sanofi-GSK** (300 million doses), **Johnson and Johnson/Janssen Pharmaceuticals** (400 million doses), **BioNTech-Pfizer** (600 million doses), **CureVac** (405 million doses) and **Moderna** (460 million doses). The EC has concluded **exploratory talks** with the pharmaceutical company **Novavax** with a view to purchasing up to 200 million doses and with **Valneva** with a view to purchase up to 60 million doses,

[https://ec.europa.eu/commission/presscorner/detail/en/QANDA\\_20\\_2467](https://ec.europa.eu/commission/presscorner/detail/en/QANDA_20_2467).

On May 2021, the European Commission signed a third contract with **BioNTech-Pfizer**. It reserves an **additional 1.8 billion doses** on behalf of all EU Member States, **between the end of 2021 to 2023**. It will allow for the purchase 900 million doses of the current vaccine and option to purchase an additional 900 million doses, [https://ec.europa.eu/commission/presscorner/detail/en/ip\\_21\\_2548](https://ec.europa.eu/commission/presscorner/detail/en/ip_21_2548).

As of June 13, 2021, out of these eight **COVID-19 candidate vaccines contracted** or **exploratory talks** has concluded for EU, **three are investigate in phase 4**, and **five are investigated in phase 3 RCTs**:

1. **Moderna Therapeutics/NIAID** (RNA LNP-encapsulated mRNA vaccine encoding S protein);
2. **University of Oxford/AstraZeneca** (Non-Replicating Viral Vector ChAdOx1 (AZD1222) vaccine);
3. **BioNTech/Fosun Pharma/Pfizer** (RNA 3 LNP-mRNAs vaccine); all in phase 4 RCTs;

**Conditional Approval von EMA für  
4 Impfstoffe:  
BioNTech/ Pfizer  
Moderna  
AstraZeneca  
Janssen/J&J**

**3 weitere in "Rolling Reviews" bei EMA:  
Novavax  
CureVac  
Sputnik**

**EC Verträge mit  
6 Firmen**

**2 weitere in Verhandlung:  
Novavax  
Valneva**

**Mai 2021: weiterer Vertrag EC-BioNTech für  
900 Mio Dosen**

**8 Impfstoffe:  
3 in Phase 4  
5 in Phase 3**

4. **Janssen Pharmaceuticals/Johnson & Johnson** (Non-Replicating Viral Vector Ad26COVS1 vaccine);
5. **Novavax** (Protein Subunit, VLP-recombinant protein nanoparticle vaccine + Matrix M);
6. **CureVac** (RNA based vaccine, CVnCov2) vaccine,
7. **Sanofi-GSK** (Protein Subunit, with adjuvant 1 vaccine)
8. **Valneva** (Inactivated virus), in phase 3 RCTs.

Out of these 8 coronavirus vaccines, the following articles were published for 7 vaccines, with results related to early phases vaccine trials (phase 1, 1/2 or phase 2) or phase 2/3 and phase 3 trials:

1. Three on **Moderna Therapeutics/NIAID** vaccine: a preliminary report with the results from the phase 1 study (NCT04283461) [10],
2. The results from the expanded phase 1 study (NCT04283461) in older adults [11] and
3. The results from phase 3 RCT (NCT04470427) [12];
4. Four on **Novavax** vaccine: the results from the phase 1/2 RCT (NCT04368988) [13];
5. The results from phase 2 component of 1/2 RCT (NCT04368988) trial [14]; and
6. The preliminary results from phase 2a/b in South Africa (NCT04533399) [15] and
7. Results from phase 3 RCT in UK (EudraCT 2020-004123-16) [16]
8. Eight on **Oxford/Astra Zeneca** vaccine: a preliminary report with the results from phase 1/2 single-blind, RCT (ISRCTN 15281137/NCT04324606/EudraCT 2020-001072-15) [17],
9. A report from the same RCT, on subgroups of volunteers who were subsequently allocated to receive a homologous full-dose or half-dose ChAdOx1 booster vaccine 56 d following prime vaccination [18],
10. Pooled interim analysis phase 2/3 trials (ISRCTN89951424, NCT04324606, NCT04400838, and NCT04444674) [19], and
11. Pooled primary analysis phase 2/3 trials (ISRCTN89951424, NCT04324606, NCT04400838, and NCT04444674) [20], and
12. Phase 2 component of phase 2/3 trial COV002 (ISRCTN90906759, NCT04400838) [21];
13. Phase 3 component of phase 2/3 trials (ISRCTN89951424, NCT04324606, NCT04400838, and NCT04444674) [22];
14. Phase 3 trial in South Africa (NCT04444674) [23]
15. Exploratory analysis of a RCT (NCT04400838) [24]
16. Five on **BioNTech/Fosun Pharma/Pfizer** vaccine: Three with results from two phase 1/2 trials on **BNT162b1** vaccine, one in US (NCT04368728/EudraCT 2020-001038-36) [25], and
17. One in Germany (NCT04380701, EudraCT 2020-001038-36) [26] as well as
18. Additional safety and immunogenicity results from the US phase 1 trial (NCT04368728/EudraCT 2020-001038-36) [52, 53] and
19. One pivotal RCT efficacy trial on BNT162b2 (NCT04368728) [27] and

**25-Publikationen zu  
Impfstudien**

20. One RCT in adolescent (NCT04368728) [28]
21. Two on **Janssen Pharmaceuticals/Johnson & Johnson** vaccine: interim results of a **phase ½** trial (NCT04436276) [41] and
22. Phase 3 RCT (NCT04505722) [29]
23. Two on **CureVac**: preliminary results of **phase 1** trial (NCT04449276) [30] and
24. Interim results of phase 3 RCT in adults (NCZ04582344) [31] and
25. One on **Sanofi and GSK**: interim results of phase ½ trial (NCT04537208) [32].

### Regulatory Guidances and position paper:

On 09/07/2020, Medicines Regulatory Authorities published the report related to phase 3 COVID-19 vaccine trials [33]. They stressed the need for large phase 3 clinical trials that enroll many thousands of people, including those with underlying medical conditions, to generate relevant data for the key target populations. Broad agreement was achieved that clinical studies should be designed with stringent success criteria that would allow a convincing demonstration of the efficacy of COVID-19 vaccines.

On November 11, 2020 EMA publishes safety monitoring plan and guidance on risk management planning for COVID-19 vaccines, <https://www.ema.europa.eu/en/news/ema-publishes-safety-monitoring-plan-guidance-risk-management-planning-covid-19-vaccines>.

On April 7, 2021 EMA's safety committee (PRAC) has concluded that **unusual blood clots with low blood platelets** should be listed as very rare side effects of **Vaxzevria (formerly COVID-19 Vaccine AstraZeneca)**. EMA is reminding healthcare professionals and people receiving the vaccine to remain aware of the possibility of very rare cases of blood clots combined with low levels of blood platelets occurring within 2 weeks of vaccination. So far, most of the cases reported have occurred in women under 60 years of age within 2 weeks of vaccination. Based on the currently available evidence, specific risk factors have not been confirmed. One plausible explanation for the combination of blood clots and low blood platelets is an immune response, leading to a condition similar to one seen sometimes in patients treated with heparin (heparin induced thrombocytopenia, HIT). The PRAC has requested new studies and amendments to ongoing ones to provide more information and will take any further actions necessary, <https://www.ema.europa.eu/en/news/astrazenecas-covid-19-vaccine-ema-finds-possible-link-very-rare-cases-unusual-blood-clots-low-blood>.

Following the assessment of a safety signal regarding cases of **anaphylaxis** (severe allergic reactions) with **COVID-19 Vaccine AstraZeneca**, PRAC has recommended an **update to the product information to include anaphylaxis and hypersensitivity** (allergic reactions) as **side effects** in section 4.8, with an unknown frequency, and to **update the existing warning** to reflect that cases of anaphylaxis have been reported. The update is based on a review of 41 reports of possible anaphylaxis seen among around 5 million vaccinations in the United Kingdom. After careful review of the data, PRAC considered that a link to the vaccine was likely in at least some of these cases, <https://www.ema.europa.eu/en/news/meeting-highlights-pharmacovigilance-risk-assessment-committee-prac-8-11-march-2021>.

**Positionspapier der Internationalen Regulatoren zu Impfstudien**

**stringente klinische Studien vonnöten !**

**März 2021: EMA (PRAC) beginnt Untersuchung zu Nebenwirkungen von AstraZeneca**

**Thromboembolien**

**Anaphylaxis**



On April 9, 2021 PRAC has started a review of a safety signal to assess reports of **capillary leak syndrome** in people who were vaccinated with **Vaxzevria (previously COVID-19 Vaccine AstraZeneca)**. On June 11, 2021 EMA stated that EMA's safety committee (PRAC) has concluded that people who have previously had capillary leak syndrome must not be vaccinated with Vaxzevria. The Committee also concluded that capillary leak syndrome should be added to the product information as a **new side effect of the vaccine**, together with a warning to raise awareness among healthcare professionals and patients of this risk [34]. The PRAC has recommended a **change** to the **product information** for **Vaxzevria** (formerly COVID-19 Vaccine AstraZeneca) to include a warning to raise awareness among healthcare professionals and people taking the vaccine of cases of **Guillain-Barre syndrome (GBS)** reported following vaccination [35].

PRAC has **started a review of a safety signal** to assess reports of **immune thrombocytopenia** in patients who received any of the three COVID-19 vaccines: **Comirnaty, COVID-19 Vaccine AstraZeneca and COVID-19 Vaccine Moderna**.

PRAC has **started a review of a safety signal** to assess reports of **localised swelling after vaccination with COVID-19 vaccine Comirnaty** in people with a **history of injections with dermal fillers** (soft, gel-like substances injected under the skin), <https://www.ema.europa.eu/en/news/meeting-highlights-pharmacovigilance-risk-assessment-committee-prac-8-11-march-2021>. On May 7, 2021 PRAC concluded that facial swelling in people with a history of injections with dermal fillers should be included as a side effect and recommended a change to product information, <https://www.ema.europa.eu/en/news/meeting-highlights-pharmacovigilance-risk-assessment-committee-prac-3-6-may-2021>.

On July 09, 2021 PRAC has concluded that **myocarditis and pericarditis** can occur in **very rare cases** following vaccination with **Comirnaty and Spikevax (previously COVID-19 Vaccine Moderna)**. The Committee is therefore recommending listing myocarditis and pericarditis as **new side effects** in the **product information** for these vaccines, together with a warning to raise awareness among healthcare professionals and people taking these vaccines [35]. On June 25, 2021 the **FDA revised** the patient and provider **fact sheets** for the **Moderna and Pfizer-BioNTech COVID-19 vaccines** regarding the suggested increased risks of **myocarditis and pericarditis** following vaccination [36].

For **Vaxzevria** and **COVID-19 Vaccine Janssen**, the PRAC is reviewing the cases of **myocarditis and pericarditis** in the context of the vaccines' **Monthly Summary Safety Reports**, also referred to as pandemic summary safety reports, which are compiled by the marketing authorisation holders to support timely and continuous benefit-risk evaluations of COVID-19 vaccines used during the pandemic [37].

On April 9, 2021 PRAC has started a review of a safety signal to assess reports of **thromboembolic events** (formation of blood clots, resulting in the obstruction of a vessel) in people who received **COVID-19 Vaccine Johnson & Johnson (Janssen)**. <https://www.ema.europa.eu/en/news/meeting-highlights-pharmacovigilance-risk-assessment-committee-prac-6-9-april-2021>.

On April 20, 2021 PRAC concluded that a warning about unusual blood clots with low blood platelets should be added in the product information. On May 7, 2021 PRAC concluded that product information will also include advice that patients who are diagnosed with thrombocytopenia within three weeks of vaccination should be actively investigated for signs of thrombosis. Patients

**weilers:**  
**Kapillarlecksyndrom**

**neuer Name:**  
**Vaxzevria (AstraZeneca)**

**Guillain-Barre syndrome (GBS)**

**PRAC Untersuchung von BioNTech, AstraZeneca und Moderna zu Thrombozytopenie**

**PRAC Untersuchung von BioNTech: lokale Schwellungen**

**PRAC Untersuchung von Moderna und Comirnaty:**

**Myokarditis, Perikarditis**

**PRAC Untersuchung von Johnson & Johnson**

**Thromboembolien**

**Risiko: Thromboembolien innerhalb von 3 Wochen nach Impfung**

who present with thromboembolism within three weeks of vaccination should be evaluated for thrombocytopenia. Thrombosis with thrombocytopenia syndrome will be added as an ‘important identified risk’ in the risk management plan for the vaccine. Furthermore, the marketing authorisation holder will provide a plan to further study the possible underlying mechanisms for these very rare events, <https://www.ema.europa.eu/en/news/meeting-highlights-pharmacovigilance-risk-assessment-committee-prac-3-6-may-2021>.

On April 13, 2021 FDA and CDC are recommending a pause in the use of **Johnson & Johnson (Janssen)** COVID-19 vaccine out of an abundance of caution. <https://www.fda.gov/news-events/press-announcements/joint-cdc-and-fda-statement-johnson-johnson-covid-19-vaccine>. On April 23, 2021 the use of the vaccine was resumed and FDA amended the emergency use authorization of the Johnson & Johnson (Janssen) COVID-19 vaccine to include information about a very rare and serious type of blood clot in people who receive the vaccine, <https://www.fda.gov/emergency-preparedness-and-response/coronavirus-disease-2019-covid-19/janssen-covid-19-vaccine>. On July 08, 2021 in revised fact sheet of COVID-19 Vaccine Janssen increased risk of **Guillain-Barré syndrome** during the 42 days following vaccination is written in warning section [38], as well in adverse events section together with severe allergic reactions (including anaphylaxis), thrombosis with thrombocytopenia and capillary leak syndrome, following administration during mass vaccination outside of clinical trials.

On July 09, 2021 the PRAC has recommended that people who have **previously had capillary leak syndrome must not be vaccinated with COVID-19 Vaccine Janssen**. The Committee also recommended that capillary leak syndrome should be added to the **product information as a new side effect** of the vaccine, together with a warning to raise awareness among healthcare professionals and patients of this risk [35].

On February 10, 2021 **EMA** stated that it is **developing guidance for manufacturers planning changes to the existing COVID-19 vaccines** to tackle the **new virus variants**. In order to consider options for additional testing and development of vaccines that are effective against new virus mutations, the Agency has requested all vaccine developers to investigate if their vaccine can offer protection against any new variants, e.g., those identified in the United Kingdom - variant called **B.1.1.7**, South Africa - **B.1.351** and Brazil - variant called **P.1**, and submit relevant data. There are concerns that some of these mutations could impact to different degrees the ability of the vaccines to protect against infection and disease. A reduction in protection from mild disease would however not necessarily translate into a reduction in protection from serious forms of the disease and its complications, for which Agency need to collect more evidence [39]. On June 28, 2021 EMA provided procedural guidance on submitting a **variation** application to address **SARS-CoV-2 variants** by updating the composition of an authorised COVID-19 vaccine, including recommendations on how to name the variant vaccine [40].

**Vaccine and SARS-CoV-2 variants** (in June 2021 new names given by WHO)

So far, studies suggest that effectiveness may be reduced against some SARS-CoV-2 variants and more data are needed [15, 24, 41-54] [16, 55] [56] [57-71] [72]. Current data related to clinical effectiveness and in vitro neutralisation, on **Alpha (B.1.1.7)**, **Beta (B.1.351)** and **Gamma (P.1) SARS-CoV-2 variants** can be found in Table 2-2. Updated vaccines will be necessary to eliminate the virus. Recently, in addition to B.1.1.7, B.1.351 and P.1, two more SARS-CoV-2 variants, **B.1.427** and **B.1.429**, which were first detected in California, have

**Anfang April 2021:**  
**FDA: Pausierung von**  
**Impfung mit Johnson &**  
**Johnson (J&)**

**Ende April: FDA**  
**Fortsetzung von J&J**

**Guillain-Barré syndrome**  
**Kapillarlecksyndrom**  
**Anaphylaxis**

**EMA Guidance für**  
**Vaccinehersteller bez.**  
**Veränderungen wegen**  
**Mutanten**

**B.1.1.7 (UK)**  
**B.1.351 (SA)**  
**P.1 (BR)**

**Impfwirksamkeit bei**  
**Mutationen**  
**in Tabelle 2-2**

been shown to be approximately 20% more transmissible than preexisting variants and have been classified by the CDC as **variants of concern**. Currently in EU, variants of concern are **B.1.1.7**, **B.1.351** and **P.1** and **Delta (B.1.617.2)**.

First reported in India in December 2020, SARS-CoV-2 lineages **Kappa (B.1.617.1)**, **Delta (B.1.617.2)** and **B.1.617.3** have been increasingly detected in other countries. In the EU/EEA there are indications that the frequency of detection of both lineages B.1.617.1 and B.1.617.2 is increasing. Currently described lineages B.1.617.1, B.1.617.2 and B.1.617.3 have distinct mutation profiles and warrant individual assessment. Given the still very limited available data with respect to their transmissibility, disease severity and immune escape potential relative to other co-circulating SARS-CoV-2 variants in the EU/EEA, the full impact of these lineages on public health is not yet possible to assess. At this time, ECDC maintains its assessment of B.1.617.1, and B.1.617.3 as **variants of interest** and will continue to actively monitor the situation [73].

Krause et al. 2021 published a special report related to variants and vaccines, pointed four major priorities for the global response to variants of concern; Evaluate existing vaccines for efficacy against variants; If current vaccines are inadequate, assess the effectiveness of new vaccines or modified vaccines against variants; Reduce the risk that additional variants of concern will emerge; Coordinate the worldwide response [74].

On 27 June 2021, **AstraZeneca** announced that the first participants in a phase II/III trial for the new COVID-19 variant vaccine AZD2816 were vaccinated to assess its safety and immunogenicity in both previously vaccinated and unvaccinated adults. AZD2816 has been designed using the same adenoviral vector platform as Vaxzevria, with minor genetic alterations to the spike protein based on the Beta (B.1.351, South African) variant. The trial will recruit approximately 2,250 participants across UK, South Africa, Brazil and Poland. AZD2816 will be administered to individuals who have previously been fully vaccinated with two doses of Vaxzevria or an mRNA vaccine, at least three months after their last injection. In non-vaccinated individuals, AZD2816 will be given as two doses, four or twelve weeks apart, or given as a second dose following a first dose of Vaxzevria four weeks apart. Initial data from the trial is expected later this year and, once available, will be submitted to regulators for assessment as a next-generation booster vaccine and through an expedited regulatory pathway, <https://www.astrazeneca.com/media-centre/press-releases/2021/first-covid-19-variant-vaccine-azd2816-phase-ii-iii-trial-participants-vaccinated/>

### Vaccine in development in children

Clinical trials are currently under way to test the Pfizer, Moderna, Oxford-AstraZeneca, Janssen/Johnson&Johnson and Sinovac vaccines in children [75-78]. Details can be found in Table 2-3.

On May 3, 2021 **EMA** has started evaluating an **application to extend the use** of the COVID-19 vaccine **Comirnaty** to include young people **aged 12 to 15** [80]. On May 10, 2021 **FDA** authorised **Pfizer/BionTech COVID-19 vaccine** for **emergency use in adolescents 12-15 years old** [81].

On May 28, 2021 **EMA's** CHMP recommended **granting an extension of indication** for the COVID-19 vaccine **Comirnaty** to include **children aged 12 to 15** [82]. On June 08, 2021 **EMA** has started evaluating an **application to extend the use** of the COVID-19 Vaccine **Moderna** to include young people **aged 12 to 17** [83].

**weitere:**  
**B.1.617.1,**  
**B.1.617.2**  
**B.1.617.3 (Indien)**

**Publikation zum Umgang mit Varianten**

**AstraZeneca arbeitet an neuem Impfstoff als Booster für neue Varianten**

**klinische Studien zu Pfizer, Moderna, AstraZeneca, J&J, Sinovac bei Kindern in Tabelle 2-3**

**EMA: Zulassung von Comirnaty für 12-15J Rollong Review von Moderna: 12-17 J**

### **Intranasal vaccines in development**

As of July 09, 2021, six COVID-19 intranasal vaccines in development were found, since Altimune, Inc. has discontinued further development of AdCOVID. Nasal delivery is easier for administration, without needles and can be self administered. Intranasal vaccines could boost immune defenses in mucosa. As example, Oxford is launching a phase 1 trial of a nasal spray COVID-19 vaccine, including 30 volunteers aged 18-40. The spray will use the same ChAdOx1 nCoV-19 compound as the AstraZeneca shot. Details can be found in Table 2-4.

**intranasale  
Verabreichung:  
6 Impfstoffe in  
Entwicklung (1 Abbruch)**

**in Tabelle 2-4**

Table 2-1: Vaccines contracted or *exploratory talks* have concluded for EU, in the R&D pipeline (Phase 1 - Phase 4 clinical trials, not preclinical stages)

Source: Adapted from DRAFT landscape of COVID-19 candidate vaccines – July 09 2021, <https://www.who.int/publications/m/item/draft-landscape-of-covid-19-candidate-vaccines> and Creech et al. 2021 [84]

Developers	Vaccine / Vaccine type	Number of doses	Study phase	Storage conditions	Efficacy against severe COVID-19	Overall efficacy	EC (EU) Current approval or EMA “rolling review”
<b>Moderna + National Institute of Allergy and Infectious Diseases (NIAID)</b>	<b>COVID-19 Vaccine Moderna (mRNA -1273) / m RNA</b>	2 IM	Phase 4	-25° to -15°C; 2-8°C for 30 d; room temperature ≤12 h	100% 14 d after 2 <sup>nd</sup> dose	92.1% after 1st dose; 94.1% 14 d after 2 <sup>nd</sup> dose	<b>Conditional marketing authorisation</b>
<b>AstraZeneca + University of Oxford</b>	<b>COVID-19 Vaccine AstraZeneca (ChAdOx1-S - (AZD1222) / Viral vector</b>	2 IM	Phase 4	2-8° C for 6 mo	100% 21 d after 1 <sup>st</sup> dose	64.1% after 1st dose; 70.4% 14 d after 2 <sup>nd</sup> dose	<b>Conditional marketing authorisation</b>
<b>BioNTech + Pfizer</b>	<b>Comirnaty (BNT162b2) / mRNA</b>	2 IM	Phase 4	-80° to -60°C; 2-8° C for 5 d; room temperature ≤2 h	88.9% after 1 <sup>st</sup> dose	52% after 1st dose; 94.6% 7 d after 2 <sup>nd</sup> dose	<b>Conditional marketing authorisation</b>
<b>Janssen Pharmaceutical/Johnson&amp;Johnson</b>	<b>COVID-19 Vaccine Janssen (Ad26.COVS.2.S) / Viral vector</b>	1 IM	Phase 4	-25°C to -15°C; 2-8° C for 3 mo	85% after 28 d; 100% after 49 d	72% in US; 66% in Latin America; 57% in South Africa (at 28 d)	<b>Conditional marketing authorisation</b>
<b>CureVac AG</b>	<b>CVnCoV / mRNA</b>	2 IM	Phase 3	2-8° C for 3 mo; room temperature for 24 h	Unknown	Phase 3 ongoing	<b>EMA “rolling review”</b>
<b>Sanofi Pasteur + GSK</b>	<b>VAT00002; SARS-CoV-2 vaccine formulation 1 with adjuvant 1 (S protein (baculovirus production) / Protein subunit</b>	2 IM	Phase 3	2-8° C	N.A – Phase 3 ongoing	N.A - Phase 3 ongoing	N.A – Phase 3 ongoing
<b>Novavax</b>	<b>NVX-CoV2373 / Protein subunit</b>	2 IM	Phase 3	2-8° C for 6 mo	100%	89.3% in UK after 2 <sup>nd</sup> dose; 60% in South Africa	<b>EMA “rolling review”</b>
<b>Valneva</b>	<b>VLA2001 / Inactivated virus</b>	2 IM	Phase 3	2-8° C	N.A – Phase 3 ongoing	N.A – Phase 3 ongoing	N.A – Phase 3 ongoing

Table 2-2: SARS-CoV-2 variants of concern in EU and vaccines contracted or exploratory talks have concluded for EU, and some vaccines not contracted nor exploratory talks have concluded for EU: clinical effectiveness and in-vitro neutralisation

Developers	Vaccine / Vaccine type	Clinical Efficacy against Alpha (B.1.1.7.) (UK) / Neutralisation	Clinical Efficacy against Beta (B.1.351) (South Africa) / Neutralisation	Clinical Efficacy against Gamma (P.1) (Brazil) / Neutralisation	Clinical Efficacy against Delta (B.1.617.2) (India) / Neutralisation
<b>Vaccines contracted or exploratory talks have concluded for EU</b>					
<b>Moderna + National Institute of Allergy and Infectious Diseases (NIAID)</b>	COVID-19 Vaccine Moderna (mRNA -1273) / m RNA	>90% against symptomatic COVID-19 Decrease by 1.8x	Not yet available Decrease by $\leq 8.6x$	Not yet available Decrease by 4.5x	Not yet available 2 to <5-fold reduction
<b>AstraZeneca + University of Oxford</b>	COVID-19 Vaccine AstraZeneca (ChAdOx1-S - (AZD1222) / Viral vector (Non-replicating)	70.4% against symptomatic COVID-19 Decrease by 9x	10.4% against symptomatic COVID-19 Decrease by $\leq 86x$ to complete immune escape	Not yet available Decrease by 2.9x	Real word data: 60% effective at two weeks after the second dose; 33% effective against symptomatic disease three weeks after the first dose 2 to <5-fold reduction
<b>BioNTech + Pfizer</b>	Comirnaty (BNT162b2) / mRNA	Real-word data: 72% (95% CI 58-86) 21 days after first dose and 86% (95% CI 76-97) seven days after two doses Decrease by 2x	100% in South Africa Decrease by $\leq 6.5x$ to 10.3x	Not yet available Decrease by 2.6X, 6.7x to 14x	Real word data: 88% effective, two weeks after the second dose; 33% effective against symptomatic disease three weeks after the first dose 2 to <5-fold reduction to 5 to <10-fold reduction
<b>Janssen Pharmaceutical/Johnson&amp;Johnson</b>	COVID-19 Vaccine Janssen (Ad26.COV2.S) / Viral vector (Non-replicating)	Not yet available <2-fold reduction in neutralization to 2 to <5-fold reduction	57% against moderate to severe COVID-19; 85% against severe COVID-19 and hospitalisation Not yet available	68.1% against moderate to severe disease Not yet available	Not yet available <2-fold reduction
<b>CureVac AG</b>	CVnCoV / mRNA	Not yet available	Not yet available (Strong results variant when tested on mice; CureVac would expand a trial in Europe and Latin America to analyse the vaccine's efficacy against select variants)	Not yet available	Not yet available
<b>Sanofi Pasteur + GSK</b>	VAT00002; SARS-CoV-2 vaccine formulation 1 with adjuvant 1 (S protein (baculovirus production) / Protein subunit	Not yet available	Not yet available	Not yet available	Not yet available
<b>Novavax</b>	NVX-CoV2373 / Protein subunit	89.3% against symptomatic COVID-19 Decrease by 1.8x	60% against symptomatic COVID-19	Not yet available	Not yet available

Results: Vaccines

<b>Valneva</b>	VLA2001 / Inactivated virus	Not yet available	Not yet available	Not yet available	Not yet available
<b>Vaccines not contracted nor exploratory talks have concluded for EU</b>					
<b>CoronaVac (Sinovac)</b>	CoronaVac; SARS-CoV-2 vaccine (inactivated) / Inactivated virus	Decreased by 0.5X	Decrease 2.5 to 3.3x to complete or partial loss of neutralization	<10% to 10 to <20% reduction in efficacy for symptomatic disease <2-fold reduction in neutralisation	Not yet available
<b>Brazil</b>		Not yet available	Not yet available	Real world data efficacy 36-8% (-54.9 to 74.2) Not yet available	Not yet available
<b>Turkey</b>		Not yet available	Not yet available	Not yet available	Not yet available
<b>BBIBP-CorV (Sinopharm)</b>	Inactivated SARS-CoV-2 vaccine (Vero cell) / Inactivated virus	Not yet available <2-fold reduction in neutralization	Not yet available Complete or partial loss of neutralization	Decrease by 1.6x	Not yet available
<b>Gamaleya (Sputnik V)</b>	Gam-COVID-Vac Adeno-based (rAd26-S+rAd5-S) / Viral vector (Non-replicating)	Not yet available Not decreased	Not yet available Decrease 6.1X	Not yet available Decrease 2.8X	Not yet available

Table 2-3: COVID-19 Vaccines in development in children

Developers	Vaccine / Vaccine type	
<b>Moderna + National Institute of Allergy and Infectious Diseases (NIAID)</b>	COVID-19 Vaccine Moderna (mRNA - 1273) / m RNA	<p><b>NCT04796896 (KidCOVE)</b> Phase 2/3 RCT in 6,750 children ages 6 months through 11 years in U.S. and Canada Two parts:</p> <p>1. <b>Part 1:</b> open label, dose-escalation, age de-escalation study. 2 yo – up to 12 yo: each participant may receive either 50 µg or 100 µg dose of the vaccine. 6 mo – up to 2 yo: each participant may receive either 25 µg, 50 µg, or 100 µg dose.</p> <p>2. <b>Part 2:</b> randomised, observer-blind, placebo-controlled expansion study based on the preliminary evaluation of the Part 1 results. The participants will receive two doses of the vaccine 28 days apart. To evaluate the medicine’s safety, tolerability, reactogenicity and effectiveness, the company will observe the participants for 12 months after the second jab.</p> <p><b>NCT 04649151 (TeenCOVE)</b> Phase 2/3 RCT, to evaluate the safety, reactogenicity, and effectiveness of mRNA-1273 SARS CoV 2 vaccine in 3000 healthy adolescents 12 to &lt;18 years of age in US. See Press Release from 15 May 2021, on results related to primary endpoint below. On June 08, 2021 <b>EMA</b> has started evaluating an <b>application to extend the use</b> of the COVID-19 Vaccine <b>Moderna</b> to include young people <b>aged 12 to 17</b>.</p>
<b>AstraZeneca + University of Oxford</b>	COVID-19 Vaccine AstraZeneca (ChAdOx1-S - (AZD1222) / Viral vector	<p><b>Phase 2 RCT</b> in 300 <b>children aged 6-17</b>, in UK Currently has been <b>paused</b> while the <b>EMA</b> investigates the link between the shot and rare blood clots</p>
<b>BioNTech + Pfizer</b>	Comirnaty (BNT162b2) / mRNA	<p><b>NCT 04368728 Phase 2/3 RCT in 2200 volunteers ages 12 to 15</b> On March 31, 2021 announced adolescent trials have shown efficacy of 100% in protecting adolescents ages 12-15, with no significant safety concerns. About 2,260 adolescents ages 12-15 years participated in the trial, with roughly half receiving the vaccine and half receiving a placebo. There were 18 cases of COVID-19 reported, all within the placebo group. One month after a second dose, the vaccine elicited SARS-CoV-2-neutralizing antibody geometric mean titers of 1,239.5 in a subset of adolescents, compared to 705.1 in an earlier group of 16- to 25-year-olds, according to the news release. Scientific publication in NEJM [28], see details below. On May 10, 2021 <b>FDA</b> authorised <b>Pfizer/BionTech COVID-19 vaccine for emergency use in adolescents 12-15 years old</b>. On May 28, 2021 <b>EMA</b>'s CHMP recommended <b>granting</b> an <b>extension of indication</b> for the COVID-19 vaccine <b>Comirnaty</b> to include <b>children aged 12 to 15</b>.</p> <p><b>NCT 04816643, Phase 1/2/3 Study in 4644 children 6 months to 11 years old in US</b> Evaluating the safety, tolerability, and immunogenicity of the Pfizer-BioNTech COVID-19 vaccine on a two-dose schedule (approximately 21 days apart) in three age groups: children aged 5 to 11 years, 2 to 5 years, and 6 months to 2 years. The 5 to 11 year-old cohort started dosing last week and the companies plan to initiate the 2 to 5 year-old cohort next week. <a href="https://www.pfizer.com/news/press-release/press-release-detail/pfizer-biontech-announce-positive-topline-results-pivotal?linkId=114996658">https://www.pfizer.com/news/press-release/press-release-detail/pfizer-biontech-announce-positive-topline-results-pivotal?linkId=114996658</a></p>
<b>Janssen Pharmaceutical/Johnson&amp;Johnson</b>	COVID-19 Vaccine Janssen (Ad26.COV2.S) / Viral vector	<p><b>RCT, phase 2a</b> Has begun in April 2021 testing its Covid-19 vaccine in 1700 adolescents aged 12; Initially will be tested in a small number of adolescents aged 16-17 years (following the review of initial data in this phase 2a trial, the study will be expanded to a larger group of younger adolescents in a stepwise approach). Currently enrolling participants in Spain and the United Kingdom; enrollment will commence shortly in the United States, the Netherlands and Canada, with Brazil and Argentina to follow <a href="https://www.wsj.com/articles/j-j-starts-testing-covid-19-vaccine-in-adolescents-11617379165">https://www.wsj.com/articles/j-j-starts-testing-covid-19-vaccine-in-adolescents-11617379165</a></p>



<b>CureVac AG</b>	CVnCoV / mRNA	Not available
<b>Sanofi Pasteur + GSK</b>	VAT00002; SARS-CoV-2 vaccine formulation 1 with adjuvant 1 (S protein (baculovirus production) / Protein subunit	Not available
<b>Novavax</b>	NVX-CoV2373 / Protein subunit	Pediatric and adolescent arms of the trials expected to initiate later in the second quarter 2021 <a href="https://www.marketwatch.com/story/novavax-to-expand-covid-19-vaccine-trials-to-children-teens-2021-04-05">https://www.marketwatch.com/story/novavax-to-expand-covid-19-vaccine-trials-to-children-teens-2021-04-05</a> The placebo-controlled portion of <b>PREVENT-19 RCT (NCT04611802)</b> continues in adolescents from 12 to less than 18 years of age, which recently completed enrollment with 2248 participants
<b>Valneva</b>	VLA2001 / Inactivated virus	Not available
<b>Sinovac Biotech</b>	CoronaVac; SARS-CoV-2 vaccine (inactivated) / Inactivated virus	<b>RCT on 500 children in China ages 3 to 17; preliminary results from phase ½ trials announced</b> safe and could induce immune responses among children and adolescents; The lower dose of the vaccine could induce favourable antibody responses in children aged three to 11 years while the medium dose worked well for those aged 12 to 17 years. <a href="https://www.clinicaltrialsarena.com/news/sinovac-vaccine-immune-responses-children/">https://www.clinicaltrialsarena.com/news/sinovac-vaccine-immune-responses-children/</a>

Table 2-4: Intranasal vaccine in development

Developers	Vaccine platform	Vaccine type	No of doses	Study Phase	Registry number
<b>AstraZeneca + University of Oxford</b>	Viral vector (Non-replicating)	ChAdOx1-S - (AZD1222) (Covishield)	1-2	1	NCT04816019
<b>University of Hong Kong, Xiamen University and Beijing Wantai Biological Pharmacy</b>	Viral vector (Replicating)	DeINS1-2019-nCoV-RBD-OPT1 (Intranasal flu-based-RBD)	2	2	ChiCTR2000039715
<b>Codagenix/Serum Institute of India</b>	Live attenuated virus	COVI-VAC	1-2	1	NCT04619628
<b>Center for Genetic Engineering and Biotechnology (CIGB)</b>	Protein subunit	CIGB-669 (RBD+AgnHB)	3	1/2	RPCEC00000345
<b>Bharat Biotech International Limited</b>	Viral vector (Non-replicating)	BBV154, Adenoviral vector COVID-19 vaccine	1	1	NCT04751682
<b>Meissa Vaccines, Inc.</b>	Live attenuated virus	MV-014-212, a live attenuated vaccine that expresses the spike (S) protein of SARS-CoV-2	3	1	NCT04798001

Source: Adapted from DRAFT landscape of COVID-19 candidate vaccines – July 09 2021, <https://www.who.int/publications/m/item/draft-landscape-of-covid-19-candidate-vaccines>

## 2.1 Moderna Therapeutics—US National Institute of Allergy

The reader is referred to the earlier version (V13\_April) for more details on the Moderna vaccine (**now Spikevax, previously COVID-19 Vaccine Moderna**).

Current data related to clinical effectiveness and in vitro neutralisation, on **Alpha (B.1.1.7), Beta (B.1.351), Gamma (P.1) and Delta (B.1.617.2) SARS-CoV-2 variants** can be found in Table 2-2.

Moderna has **announced** that it is developing **two new approaches to emerging variants of covid-19** after studies showed that its vaccine had a reduced level of neutralising titres to the South African variant, suggesting that immunity might wane. Although the studies showed that Moderna's current vaccine was effective against both the UK and South African variants, a sixfold reduction was seen in neutralising titre levels to the South African variant. In the first approach Moderna said that it would see whether a third "booster dose" of the current mRNA-1273 vaccine added to the approved two dose regimen would further increase neutralising titres against the emerging variants. In a second approach the company said that it had developed a booster vaccine candidate called mRNA-1273.351 against the emerging South African variant. It said that it was beginning phase I studies in the US to see whether this modified vaccine with variant specific proteins would increase the immunological effect [85].

Edara et al. 2021 [71] published results from an analysis aimed to assess neutralizing activity against the SARS-CoV-2 delta variants in a live-virus assay using serum samples obtained from infected and vaccinated persons with two mRNA vaccines (Moderna and Pfizer). The B.1.617.1 variant was 6.8 times less susceptible, and the B.1.617.2 variant was 2.9 times less susceptible, to neutralization by serum from persons who had recovered from Covid-19 and from vaccinated persons than was the WAI/2020 variant. Despite this finding, a majority of the convalescent serum samples (79% [19 of 24 samples] against B.1.617.1 and 96% [23 of 24 samples] against B.1.617.2) and all serum samples from vaccinated persons still had detectable neutralizing activity above the threshold of detection against both variants through 3 months after infection or after the second dose of vaccine.

Data related to development of vaccine in children can be found in Table 2-3.

On May 25, 2021 Moderna announced that **TeenCove phase 2/3 study** of its COVID-19 vaccine (mRNA-1273) in **adolescents** has met its primary immunogenicity endpoint, successfully bridging immune responses to the adult vaccination. In the study, no cases of COVID-19 were observed in participants who had received two doses of the Moderna COVID-19 vaccine using the primary definition. In addition, a vaccine efficacy of 93% in seronegative participants was observed starting 14 days after the first dose using the secondary CDC case definition of COVID-19, which tested for milder disease. This study, known as the TeenCOVE study, enrolled more than 3,700 participants ages 12 to less than 18 years in the U.S. The Company plans to submit these data to regulators globally in early June. No significant safety concerns have been identified to date. The majority of adverse events were mild or moderate in severity. The most common solicited local adverse event was injection site pain. The most common solicited

**Details zu Moderna in V13\_April**

**Wirksamkeit bei Mutanten in Tabelle 2-2**

**Studie zu Wirksamkeit von mRNA Impfstoffen gegen Delta**

**TeenCove Phase 2/3 RCT: 3.700 Jugendliche 12-17 J**

systemic adverse events after the second dose of mRNA-1273 were headache, fatigue, myalgia and chills [86].

## 2.2 University of Oxford/ Astra Zeneca

The reader is referred to the earlier version (V13\_April) for more details on the **Vaxzevria**, previously **COVID-19 Vaccine AstraZeneca**.

Madhi et al. 2021 [23] published results from **RCT** (NCT04444674) in **South Africa**. Participants 18 to less than 65 years of age were assigned in a 1:1 ratio to receive two doses of vaccine containing  $5 \times 10^{10}$  viral particles or placebo (0.9% sodium chloride solution) 21 to 35 days apart. Serum samples obtained from 25 participants after the second dose were tested by pseudovirus and live-virus neutralization assays against the **original D614G virus and the B.1.351 variant**. The primary end points were safety and efficacy of the vaccine against laboratory-confirmed symptomatic coronavirus 2019 illness (Covid-19) more than 14 days after the second dose. Both the pseudovirus and the live-virus neutralization assays showed greater resistance to the B.1.351 variant in serum samples obtained from vaccine recipients than in samples from placebo recipients. In the primary end-point analysis, mild-to-moderate Covid-19 developed in 23 of 717 placebo recipients (3.2%) and in 19 of 750 vaccine recipients (2.5%), for an efficacy of 21.9% (95% confidence interval [CI], -49.9 to 59.8). Among the 42 participants with Covid-19, 39 cases (92.9%) were caused by the B.1.351 variant; vaccine efficacy against this variant, analyzed as a secondary end point, was 10.4% (95% CI, -76.8 to 54.8). The incidence of serious adverse events was balanced between the vaccine and placebo groups. two-dose regimen of the ChAdOx1 nCoV-19 vaccine did not show protection against mild-to-moderate Covid-19 due to the B.1.351 variant.

Emary et al. 2021 [24] published results from **post-hoc analysis** of the efficacy of the adenoviral vector vaccine, ChAdOx1 nCoV-19 (AZD1222), revealed that laboratory virus neutralization activity by vaccine-induced antibodies was lower against **B.1.1.7 variant**. However, clinical vaccine efficacy against symptomatic NAAT positive infection was good, with 70% (95% CI 44–85) for B.1.1.7 and 82% (68–89) for other lineages.

Current data related to clinical effectiveness and in vitro neutralisation, on **Alpha (B.1.1.7)**, **Beta (B.1.351)**, **Gamma (P.1)** and **Delta (B.1.617.2)** can be found in Table 2-2.

Lopez Bernal et al. 2021 published as preprint results from observational study related to the effectiveness of the BNT162b2 and ChAdOx1 COVID-19 vaccines against B.1.617.2 COVID-19 variant [55]. Effectiveness was notably lower after 1 dose of vaccine with B.1.617.2 cases 33.5% (95%CI: 20.6 to 44.3) compared to B.1.1.7 cases 51.1% (95%CI: 47.3 to 54.7) with similar results for both vaccines. With BNT162b2 2 dose effectiveness reduced from 93.4% (95%CI: 90.4 to 95.5) with B.1.1.7 to 87.9% (95%CI: 78.2 to 93.2) with B.1.617.2. With ChAdOx1 2 dose effectiveness reduced from 66.1% (95% CI: 54.0 to 75.0) with B.1.1.7 to 59.8% (95%CI: 28.9 to 77.3) with B.1.617.2. Sequenced cases detected after 1 or 2 doses of vaccination had higher odds of infection with B.1.617.2 compared to unvaccinated cases (OR 1.40; 95%CI: 1.13-1.75).

**Details zu Vaxzevria in V13\_April**

**RCT (Südafrika)  
1467 Geimpfte  
geringe Wirksamkeit  
gegen B.1.351**

**post-hoc Analyse:  
geringere Wirksamkeit  
gegen B.1.1.7 (70%) als  
gegen andere Mutationen  
(82%)**

**Wirksamkeit von  
BioNTech + Vaxzevria  
gegen B.1.617.2 (Delta)**

Sheikh et al. 2021 published results from observational study in Scotland [72]: they report 19% reduced AZD1222 efficacy following two doses (60%) relative to two doses of BNT162b2 (79%) against the B.1.617.2 variant and similar to reduced efficacy against the B.1.1.7 variant following two doses (73% for AZD1222 vs 92% for BNT162b2). Wall et al. 2021 [87] published results of their analysis aimed to determine B.1.617.2 sensitivity to AZD1222-induced neutralising antibodies (NAbs) and to compare this to our previous measurements of NAbs induced by BNT162b2 (Pfizer–BioNTech): AZD1222 recipients have lower NAbTs than BNT162b2 recipients against SARS-CoV-2 variants, including B.1.617.2. Liu et al. 2021 [67] published results from analysis tested neutralization of B.1.617.1 and B.1.617.2 using serum from individuals who had received 2 doses of the BNT162b2 Pfizer-BioNTech or ChAdOx1 nCoV-19 Oxford- AstraZeneca vaccine. Geometric mean neutralization titres against B.1.617.1 were reduced 2.7-fold ( $p < 0.0001$ ) relative to the Victoria virus for the Pfizer-BioNTech vaccine serum and 2.6-fold ( $p < 0.0001$ ) for the Oxford-AstraZeneca vaccine. For B.1.617.2 titres were reduced 2.5-fold ( $p < 0.0001$ ) relative to the Victoria virus for the Pfizer-BioNTech vaccine serum and 4.3-fold ( $p < 0.0001$ ) for the Oxford-AstraZeneca vaccine.

Flaxman et al. 2021 [88] published as preprint results related to reactogenicity and immunogenicity of a delayed second dose or a third dose of ChAdOx1 nCoV-19 vaccine. A longer delay before the second dose of ChAdOx1 nCoV-19 leads to an increased antibody titre after the second dose. A third dose of ChAdOx1 nCoV-19 induces antibodies to a level that correlate with high efficacy after second dose and boosts T-cell 33 responses.

Data related to development of vaccine in children can be found in Table 2-3.

**vergleichende Studien zur Wirksamkeit BioNTech + Vaxzevria gene Alpha und Delta**

**Vorteil für BioNTech**

**Wirkung einer verzögerten Veabreichung der 2. oder 3. Impfdosis**

## 2.3 BioNTech/Fosun Pharma/Pfizer

The reader is referred to the earlier version (V13\_April) for more details on the vaccines developed by BioNTech and Pfizer – **Comirnaty**.

On May 3, 2021 EMA's human medicines committee started an accelerated assessment of data submitted on Comirnaty, including results from a large ongoing clinical study involving adolescents from 12 years of age, in order to decide whether to recommend the extension of indication [80].

On May 10, 2021 FDA authorised expanded the emergency use authorization (EUA) for the Pfizer-BioNTech COVID-19 Vaccine for the prevention of COVID-19 to include adolescents 12 through 15 years of age [81].

Current data related to clinical effectiveness and in vitro neutralisation, on **Alpha (B.1.1.7)**, **Beta (B.1.351)**, **Gamma (P.1)** and **Delta (B.1.617.2) SARS-CoV-2 variants** can be found in Table 2-2.

**Real-world observational studies** found high effectiveness of the BNT162b2 Covid-19 Vaccine against the **B.1.1.7** (in Israel: vaccine effectiveness at 7 days or longer after the second dose was 95% against SARS-CoV-2 infection, 97% against symptomatic COVID-19, 97% against hospitalisation, and 98% against severe or critical disease) and **B.1.351** variants: in Qatar (estimated effectiveness against any documented infection with the B.1.1.7 variant was 89.5% at 14 days or more after the second dose; effectiveness against any documented infection with the B.1.351 variant was 75%; effectiveness against

**Details zu Comirnaty in V13\_April**

**Wirksamkeit bei Mutanten in Tabelle 2-2**

**RWD: Wirksamkeit von BioNTech gegen B.1.1.7 (Alpha), B.1.351 (Beta)**

severe, critical, or fatal disease with the B.1.1.7 and B.1.351 variants was very high, at 97%) [89, 90].

Lopez Bernal et al. 2021 published as preprint results from observational study related to the effectiveness of the BNT162b2 and ChAdOx1 COVID-19 vaccines against B.1.617.2 COVID-19 variant [55]. Effectiveness was notably lower after 1 dose of vaccine with B.1.617.2 cases 33.5% (95%CI: 20.6 to 44.3) compared to B.1.1.7 cases 51.1% (95%CI: 47.3 to 54.7) with similar results for both vaccines. With BNT162b2 2 dose effectiveness reduced from 93.4% (95%CI: 90.4 to 95.5) with B.1.1.7 to 87.9% (95%CI: 78.2 to 93.2) with B.1.617.2. With ChAdOx1 2 dose effectiveness reduced from 66.1% (95% CI: 54.0 to 75.0) with B.1.1.7 to 59.8% (95%CI: 28.9 to 77.3) with B.1.617.2. Sequenced cases detected after 1 or 2 doses of vaccination had higher odds of infection with B.1.617.2 compared to unvaccinated cases (OR 1.40; 95%CI: 1.13-1.75).

On **July 5, 2021** according to a preliminary study announced by Israel's health ministry, BioNTech/Pfizer vaccine is less effective at halting the spread of the Delta variant: the vaccine is 64% effective at preventing infection among those who are fully inoculated but 93% effective against serious illness and hospitalisation, <https://www.timesofisrael.com/israel-confirms-vaccine-less-effective-against-delta-variant-eyes-third-dose/>. Based on these data, on July 8, 2021 Pfizer announced that it will seek FDA authorisation for a booster shot.

Data related to development of vaccine in children can be found in Table 2-3.

Freneck et al. 2021 [28] published results from ongoing multinational, placebo-controlled, observer-blinded trial (NCT04368728) in which **12-to-15-year-old participants** were randomly assigned in a 1:1 ratio to receive two injections, 21 days apart, of 30 µg of BNT162b2 or placebo. Noninferiority of the immune response to BNT162b2 in 12-to-15-year-old participants as compared with that in 16-to-25-year old participants was an immunogenicity objective. Safety (reactogenicity and adverse events) and efficacy against confirmed coronavirus disease 2019 (Covid-19; onset, ≥7 days after dose 2) in the 12-to-15-year-old cohort were assessed. 2260 adolescents 12 to 15 years of age received injections; 1131 received BNT162b2, and 1129 received placebo. As has been found in other age groups, BNT162b2 had a favorable safety and side-effect profile, with mainly transient mild to-moderate reactogenicity (predominantly injection-site pain [in 79 to 86% of participants], fatigue [in 60 to 66%], and headache [in 55 to 65%]); there were no vaccine related serious adverse events and few overall severe adverse events. The geometric mean ratio of SARS-CoV-2 50% neutralizing titers after dose 2 in 12-to-15-year-old participants relative to 16-to-25-year-old participants was 1.76 (95% confidence interval [CI], 1.47 to 2.10), which met the noninferiority criterion of a lower boundary of the two-sided 95% confidence interval greater than 0.67 and indicated a greater response in the 12-to-15-year-old cohort. Among participants without evidence of previous SARS-CoV-2 infection, no Covid-19 cases with an onset of 7 or more days after dose 2 were noted among BNT162b2 recipients, and 16 cases occurred among placebo recipients. The observed vaccine efficacy was 100% (95% CI, 75.3 to 100).

**Wirksamkeit von  
BioNTech + Vaxzevria  
gegen B.1.617.2 (Delta)**

**BioNTech weniger  
wirksam, Infektion mit  
Delta zu verhindern, aber  
wirksam gegen schwere  
Erkrankungen**

**laufender RCT: 12-15 J  
2.260 Teilnehmer\*innen**

## 2.4 Janssen Pharmaceutical/ Johnson & Johnson

The reader is referred to the earlier version (V13\_April) for more details on the **COVID-19 Vaccine J&J**

Sadoff et al. 2021[29] published results from an international, randomized, double-blind, placebo-controlled, **phase 3 trial**, in which adult participants were randomly assigned in a 1:1 ratio to receive a single dose of Ad26.COV2.S (5×10<sup>10</sup> viral particles) or placebo (**ENSEMBLE**, NCT04505722). The per-protocol population included 19,630 SARS-CoV-2–negative participants who received Ad26.COV2.S and 19,691 who received placebo. On the basis of interim sequencing data from 512 unique RT-PCR–positive samples obtained from 714 participants (71.7%) with SARS-CoV-2 infection, the reference sequence (Wuhan-Hu-1 including the D614G mutation) was detected predominantly in the United States (190 of 197 sequences [96.4%]) and the 20H/501Y.V2 variant (also called **B.1.351**) was detected predominantly in South Africa (86 of 91 sequences [94.5%]), whereas in Brazil, the reference sequence was detected in 38 of 124 sequences (30.6%) and the reference sequence with the E484K mutation (**P.2 lineage**) was detected in 86 of 124 sequences (69.4%).

Ad26.COV2.S protected against moderate to severe–critical Covid-19 with onset at least 14 days after administration (116 cases in the vaccine group vs. 348 in the placebo group; efficacy, 66.9%; adjusted 95% confidence interval [CI], 59.0 to 73.4) and at least 28 days after administration (66 vs. 193 cases; efficacy, 66.1%; adjusted 95% CI, 55.0 to 74.8). Vaccine efficacy was higher against severe–critical Covid-19 (76.7% [adjusted 95% CI, 54.6 to 89.1] for onset at ≥14 days and 85.4% [adjusted 95% CI, 54.2 to 96.9] for onset at ≥28 days). Despite 86 of 91 cases (94.5%) in South Africa with sequenced virus having the 20H/501Y.V2 **variant** (also called **B.1.351**), vaccine efficacy was 52.0% and 64.0% against moderate to severe–critical Covid-19 with onset at least 14 days and at least 28 days after administration, respectively, and efficacy against severe–critical Covid-19 was 73.1% and 81.7%, respectively. Reactogenicity was higher with Ad26.COV2.S than with placebo but was generally mild to moderate and transient. The incidence of serious adverse events was balanced between the two groups. Three deaths occurred in the vaccine group (none were Covid-19–related), and 16 in the placebo group (5 were Covid-19–related).

On **July 1, 2021** Johnson & Johnson **announced** data that demonstrated its single-shot COVID-19 vaccine generated strong, persistent activity against the rapidly spreading **Delta variant** and other highly prevalent SARS-CoV-2 viral variants. It elicited neutralizing antibody activity against the Delta variant at an even higher level than what was recently observed for the Beta (B.1.351) variant in South Africa where high efficacy against severe/critical disease was demonstrated (see above ENSEMBLE trial results). In addition, the data showed that the durability of the immune response lasted through at least eight months, the length of time evaluated to date, <https://www.jnj.com/positive-new-data-for-johnson-johnson-single-shot-covid-19-vaccine-on-activity-against-delta-variant-and-long-lasting-durability-of-response>.

Current data related to clinical effectiveness and in vitro neutralisation, on **Alpha (B.1.1.7)**, **Beta (B.1.351)**, **Gamma (P.1)** and **Delta (B.1.617.2)** SARS-CoV-2 variants can be found in Table 2-2.

Data related to development of vaccine in children can be found in Table 2-3.

**Details zu J&J in V13\_April**

**Phase 3 RCT  
19.630 Geimpfte**

**Auswertung der Mutanten  
und Analyse der  
Wirksamkeit**

**Wirksamkeit  
bei Mutanten in  
Tabelle 2-2**

**Daten, dass J&J gegen  
Delta wirksamer ist als  
gegen Beta, Dauer der  
Immunantwort:  
zumindest 8 Monate**

## 2.5 Novavax

### About the vaccine

The Novavax COVID-19 vaccine being developed by Novavax and co-sponsored by CEPI [91] is a recombinant protein nanoparticle technology platform that is to generate antigens derived from the coronavirus spike (S) protein [92]. Matrix-M™ is Novavax patented saponin-based adjuvant that has the potential to boost the immune system by stimulating the entry of antigen-presenting cells into the injection site and enhancing antigen presentation in local lymph nodes, boosting immune responses [93, 94].

**CEPI  
Matrix-M™**

### Estimated timeline for approval

The **phase 1/2**, randomized, placebo-controlled, triple-blind, parallel assignment clinical trial (NCT04368988) in 131 healthy adults aims to evaluate the immunogenicity and safety of SARS-CoV-2 rS nanoparticle vaccine with or without Matrix-M adjuvant in healthy participants ≥ 18 to 59 years of age [95-98]. This RCT will be conducted from May 15, 2020 to July 31, 2021. Estimated Primary Completion Date is December 31, 2020.

**Phase 1:  
131 gesunde Erwachsene  
Juli 2021**

A **phase 2b** RCT trial (NCT04533399) aims to evaluate the effectiveness and safety in South Africans adults; 2904 participants are planned to be enrolled, with estimated primary completion date in November 2021 [98].

**Phase 2b RCT  
2.904 Südafrika  
bis 2021**

A **phase 3** RCT (EUdraCT 2020-004123-16) is ongoing, in healthy adults in the UK. Main aim is to demonstrate the efficacy of SARS-CoV-2 rS with Matrix-M1 adjuvant in the prevention of virologically confirmed (by polymerase chain reaction [PCR]) SARS-CoV-2, symptomatic COVID-19, when given as a 2-dose vaccination regimen, as compared to placebo, in serologically negative (to SARS-CoV-2) adult participants. 9000 participants are planned to be enrolled.

**Phase 3  
9.000 Teilnehmer\*innen  
in UK**

### Results of publications

Results from above mentioned randomized, placebo-controlled, **phase 1/2 trial** to evaluate the safety and immunogenicity of the rSARS-CoV-2 vaccine (in 5-µg and 25-µg doses, with or without Matrix-M1 adjuvant, and with observers unaware of trial-group assignments) in 131 healthy adults were published [13]. In phase 1, vaccination comprised two intramuscular injections, 21 days apart. After randomization, 83 participants were assigned to receive the vaccine with adjuvant and 25 without adjuvant, and 23 participants were assigned to receive placebo. No serious adverse events were noted. Unsolicited adverse events were mild in most participants; there were no severe adverse events. The two-dose 5-µg adjuvanted regimen induced geometric mean anti-spike IgG (63,160 ELISA units) and neutralization (3906) responses that exceeded geometric mean responses in convalescent serum from mostly symptomatic Covid-19 patients (8344 and 983, respectively).

**Publikation der Phase 1/2  
keine schwerwiegenden  
NW beobachtet**

Formica et al. 2021 [14] published, as preprint, results from **phase 2 component** of above mentioned RCT (NCT04368988): participants were randomly assigned to receive either one or two intramuscular doses of 5-µg or 25-µg NVX-CoV2373 or placebo, 21 days apart. Approximately 250 participants each were assigned to one of four vaccine groups or placebo. Of these, approximately 45% were older participants. Reactogenicity was

**Phase 2 RCT Publikation  
250 Teilnehmer\*innen  
in 4 Gruppen**

predominantly mild to moderate in severity and of short duration (median <3 days) after first and second vaccination with NVX-CoV2373, with higher frequencies and intensity after second vaccination and with the higher dose, and occurred less frequently and was of lower intensity in older participants. The two-dose regimen of 5-µg NVX-CoV2373 induced robust geometric mean titer (GMT) IgG anti-spike protein (65,019 and 28,137 EU/mL) and wild-type virus neutralizing antibody (2201 and 981 titers) responses in younger and older participants, respectively, with seroconversion rates of 100% in both age groups.

On January 28, 2021 Novavax, Inc. **announced** that NVX-CoV2373, its protein-based COVID-19 vaccine candidate, met the primary endpoint, with a vaccine efficacy of **89.3%**, in its **phase 3** clinical trial conducted in the United Kingdom. The study assessed efficacy during a period with high transmission and with a **new UK variant strain** of the virus emerging and circulating widely. It was conducted in partnership with the UK Government's Vaccines Taskforce.

Novavax also announced successful results of its **phase 2b** study conducted in South Africa in which approximately 90% of COVID-19 cases attributed to **South Africa** escape **variant: 60% efficacy** for the prevention of mild, moderate and severe COVID-19 disease was observed [99].

Heath et al. 2021 [16] published results as preprint from this **phase 3 RCT** in **UK** mentioned above (EudraCT 2020-004123-16): A total of 15,187 participants were randomized, of whom 7569 received NVXCoV2373 and 7570 received placebo. NVX-CoV2373 was 89.7% (95% confidence interval, 80.2 to 94.6) effective in preventing Covid-19, with no hospitalisations or deaths reported. There were five cases of severe Covid-19, all in the placebo group. Post hoc analysis revealed efficacies of 96.4% (73.8 to 99.5) and **86.3%** (71.3 to 93.5) against the prototype strain and **B.1.1.7 variant**, respectively. Vaccine efficacy was similar across subgroups, including participants with comorbidities and those ≥65 years old. Reactogenicity was generally mild and transient. The incidence of serious adverse events was low and similar in the two groups.

Shinde et al. 2021 [15] published as preprint, and then as scientific publication [100] **preliminary results** from phase **2a/b RCT** in **South Africa**; a total of 4387 participants were randomized and dosed at least once, 2199 with NVX-CoV2373 and 2188 with placebo. Vaccine efficacy was 49.4% (95% confidence interval [CI]: 6.1 to 72.8). Efficacy in HIV-negative participants was 60.1% (95% CI: 19.9 to 80.1), and did not differ by baseline serostatus. Of the primary endpoint cases with available whole genome sequencing, 38 (92.7%) of 41 were the B.1.351 variant. Post-hoc vaccine efficacy against B.1.351 was 51.0% (95% CI: - 0.6 to 76.2) in HIV-negative participants. Preliminary local and systemic reactogenicity events were more common in the vaccine group; serious adverse events were rare in both groups.

On 16 June 2021 Novavax announced preliminary positive results from **PREVENT-19, phase 3** trial (NCT04611802) [101] (a 2:1 randomized, placebo-controlled, observer-blinded study to evaluate the efficacy, safety and immunogenicity of NVX-CoV2373 with Matrix-M™ adjuvant in 29,960 participants 18 years of age and older in 119 locations in the **United States and Mexico**, compared with placebo): NVX-CoV2373 demonstrated overall efficacy of 90.4% (95% CI: 82.9, 94.6), achieving its primary endpoint; 93% efficacy against predominantly circulating Variants of Concern and Variants of Interest; 91% efficacy in high-risk populations; 100% efficacy against variants "not considered Variants of Concern/Interest"; All COVID-19 hospitalizations/death occurred in the placebo group. Preliminary safety data

**Phase 3 RCT: UK**  
**15.187 Teilnehmer\*innen**

**89,7% Wirksamkeit**  
**(auch bei hohem Anteil**  
**von UK-Mutation)**

**Phase 2a/b RCT**  
**4.387 Teilnehmer\*innen**

**Wirksamkeit**  
**bei Mutanten in**  
**Tabelle 2-2**

**Phase 3 RCT**  
**PREVENT-19**  
**29.960 Teilnehmer\*innen**  
**Teil von PREVENT-19**  
**an 12-18 jährigen**  
**vorläufige Ergebnisse:**  
**hohe Wirksamkeit**



from PREVENT-19 showed the vaccine to be generally well-tolerated. Serious and severe adverse events were low in number and balanced between vaccine and placebo groups. No single adverse event term was reported by more than 1% of participants. In assessing reactogenicity 7 days after Dose 1 and Dose 2, injection site pain and tenderness, generally mild to moderate in severity, were the most common local symptoms, lasting less than 3 days. Fatigue, headache and muscle pain were the most common systemic symptoms, lasting less than 2 days. The **placebo-controlled portion of PREVENT-19 continues in adolescents from 12 to less than 18 years of age**, which recently completed enrollment with 2,248 participants.

Current data related to clinical effectiveness and in vitro neutralisation, on **Alpha (B.1.1.7), Beta (B.1.351), Gamma (P.1) and Delta (B.1.617.2) SARS-CoV-2 variants** can be found in Table 2-2.

Data related to development of vaccine in children can be found in Table 2-3.

## 2.6 CureVac

### About the vaccine

The vaccine candidate CVnCoV, developed by CureVac, is a protamine-complexed mRNA-based vaccine expressing undisclosed SARS-CoV-2 protein(s). Each CureVac product is a tailored molecular creation that contains 5' and 3' untranslated regions and the open reading frame to make sure translation of the messenger RNA (mRNA) sequence results in appropriate levels of proteins in the body. This means that CureVac's technology uses mRNA as a data carrier in order to train the human body to produce ideal levels of proteins. Thereby the immune system is stimulated and can respond to antigens [102, 103].

CureVac and Bayer joint forces in January 2021 on COVID-19 vaccine candidate CVnCoV to ramp up the production and distribution of vaccine. Vaccine remains stable and within defined specifications for at least three months when stored at a standard refrigerator temperature of +5°C (+41°F) and for up to 24 hours as ready-to-use vaccine when stored at room temperature, <https://www.curevac.com/en/covid-19/>.

### Estimated timeline for approval

**Phase 1** (NCT04449276) study aims to evaluate the safety and reactogenicity profile after 1 and 2 dose administrations of CVnCoV at different dose levels. It is funded by Coalition for Epidemic Preparedness Innovations (CEPI), and located in Belgium and Germany. More than 250 healthy participants are enrolled in the trial. Preliminary results reported as preprint in November 2020 strongly supported the decision to advance a 12µg dose in the pivotal phase 2b/3 study [30], <https://www.curevac.com/en/covid-19/>.

**Phase 2**, RCT (NCT04515147) initiated in September 2020 aims to evaluate the safety and reactogenicity profile after 1 and 2 dose administrations of investigational SARS-CoV-2 mRNA vaccine (CVnCoV) at different dose levels and to evaluate the humoral immune response after 1 and 2 dose administrations of CVnCoV. 691 participants are planned to be enrolled in the trial, with estimated study completion date in November 2021 [98].

mRNA

**Jänner 2021: CureVac kooperiert mit Bayer**

**Phase 1:  
Beginn klinische Studie:  
Sommer 2020**

**Phase 2**

**Phase 2/3**

Pivotal **phase 2b/3** study (NCT04652102/EUdraCT 2020-00399822), initiated in December 2020, assesses a 12µg dose of CVnCoV in two parts: an initial phase 2b trial which is expected to seamlessly merge into a phase 3 efficacy trial. Both the phase 2b and phase 3 trials are randomized, observer-blind, placebo-controlled studies in adults over 18 years of age or older. While the objective of the phase 2b study is to further characterize the safety, reactogenicity and immunogenicity of CVnCoV, the phase 3 assesses CVnCoV efficacy. Subjects will be enrolled at multiple sites and vaccinations follow a two-dose schedule on day 1 and day 29 of either CVnCoV or a placebo. In total, more than 35,000 participants will be included in the phase 2b/3 **HERALD study** at multiple sites in Europe and Latin America, <https://www.curevac.com/en/covid-19/>.

A **phase 3** RCT (NCT04674189) aims to evaluate the safety and immunogenicity of CVnCoV vaccine in adult health care workers in Germany. Estimated enrollments is 2520 participants, with estimated primary completion date in June 2021 [98].

### Phase 3

### Results of publications

**Preliminary results** related to **phase 1** (NCT04449276) reported as **preprint** in November 2020 showed that two doses of CVnCoV ranging from 2 µg to 12 µg per dose, administered 28 days apart were safe. No vaccine-related serious adverse events were reported. There were dose-dependent increases in frequency and severity of solicited systemic adverse events, and to a lesser extent of local reactions, but the majority were mild or moderate and transient in duration. Median titers measured in assays two weeks after the second 12 µg dose were comparable to the median titers observed in convalescent sera from COVID-19 patients. Seroconversion (defined as a 4-fold increase over baseline titer) of virus neutralizing antibodies two weeks after the second vaccination occurred in all participants who received 12 µg doses [30].

**Phase 1:**  
**akzeptable**  
**Sicherheitsdaten**

On **June 30, 2021** CureVac **announced** results from the final analysis of its 40,000 subject international pivotal **phase 2b/3 study** (the **HERALD study**, NCT04652102/EUdraCT 2020-00399822) of the first-generation COVID-19 vaccine candidate, CVnCoV [104]. In the context of 15 strains circulating within the study population at the time of final analysis, CVnCoV demonstrated an **overall vaccine efficacy of 48%** (vaccine 83 vs. 145 placebo) against COVID-19 disease of any severity, including single non-respiratory mild symptoms. Significant protection was demonstrated among participants in the age group of 18 to 60, with an efficacy of 53% (vaccine 71 vs. 136 placebo) against disease of any severity and across all 15 identified strains; protection against moderate to severe disease was calculated to be 77% (9 vaccine vs. 36 placebo). In the same age group, CVnCoV provided 100% protection (vaccine 0 vs. 6 placebo) against hospitalization or death. In participants above 60 years, who represented 9% of the analysed cases, the available data did not enable a statistically significant determination of efficacy. The data confirm the favorable safety profile of CVnCoV in all age groups. The study will continue to complete follow-up analyses for trial participants. Available data have been communicated to the European Medicines Agency (EMA).

**Phase 2b/3 RCT**  
**HERALD**  
**40.000 Teilnehmer\*innen**  
  
**moderate Wirksamkeit**

Current data related to clinical effectiveness and in vitro neutralisation, on **Alpha (B.1.1.7)**, **Beta (B.1.351)**, **Gamma (P.1)** and **Delta (B.1.617.2)** **SARS-CoV-2 variants** can be found in Table 2-2.

Data related to development of vaccine in children can be found in Table 2-3.

## 2.7 Sanofi and GSK

### About the vaccine

In April 2020, Sanofi and GSK agreed to develop an adjuvanted vaccine for COVID-19, using innovative technology from both companies. Sanofi through its S-protein COVID-19 antigen, based on recombinant DNA technology (this technology has produced an exact genetic match to proteins found on the surface of the virus, and the DNA sequence encoding this antigen has been combined into the DNA of the baculovirus expression platform, the basis of Sanofi's licensed recombinant influenza product in the US). GSK through its proven pandemic adjuvant technology which can be of particular importance in a pandemic situation since it may reduce the amount of vaccine protein required per dose, allowing more vaccine doses to be produced and therefore contributing to protect more people. Development of the recombinant-based COVID-19 vaccine candidate is being supported through funding and a collaboration with the Biomedical Advanced Research and Development Authority (BARDA), part of the office of the Assistant Secretary for Preparedness and Response at the U.S. Department of Health and Human Services, <https://www.sanofi.com/en/media-room/press-releases/2020/2020-04-14-13-00-00>. Vaccine could be kept in standard refrigerators, making it easier to distribute.

### Estimated timeline for approval

On December 11, 2020 Sanofi and GSK announced a delay in their adjuvanted recombinant protein-based COVID-19 vaccine program to improve immune response in older adults. <https://www.sanofi.com/en/media-room/press-releases/2020/2020-12-11-07-00-00>.

### Phase 1/2 study

The interim RCT, **phase 1/2** results (NCT04537208, as preprint, and now as scientific publication) showed a level of neutralising antibody titers after two doses comparable to sera from patients who recovered from COVID-19, a balanced cellular response in adults aged 18 to 49 years, but insufficient neutralising antibody titers in adults over the age of 50. The candidate showed transient but higher than expected levels of reactogenicity likely due to the suboptimal antigen formulation, with no serious adverse events related to the vaccine candidate. The most favorable results were observed in the group which tested the highest antigen concentration, combined with the GSK adjuvant, showing neutralisation titers in 88% of participants. Seroconversion was observed in 89.6% of the 18 to 49 age group; 85% in the >50 age group; and 62.5% in the >60 age group [32] [105].

### Phase 2b and phase 3 studies

The Companies initiate a **phase 2b** study with an improved antigen formulation in February 2021. On May 17, 2021 Sanofi and GSM announced in a press release that adjuvanted recombinant COVID-19 vaccine candidate achieved strong rates of neutralizing antibody responses, in line with those measured in people who have recovered from COVID-19, in all adult age groups in a phase 2 study with 722 volunteers. The phase 2 interim results showed 95% to 100% seroconversion following a second injection in all age groups (18 to 95 years old) and across all doses, with acceptable tolerability and with no safety concerns. Overall, the vaccine candidate elicited strong neutralizing antibody levels that were comparable to those generated by natural infection, with higher levels observed in younger adults (18 to 59 years

**Protein subunit**

**Phase 1/2**

**Zwischenauswertung**

**Antikörperbildung  
am besten bei 18-49 J,  
weniger bei ≥ 50 J oder  
gar bei ≥ 60 J**

**Phase 2b,  
722 Teilnehmer\*innen**

**Phase 3:  
35.000 Teilnehmer\*innen**

old). After a single injection, high neutralizing antibody levels were generated in participants with evidence of prior SARS-CoV-2 infection, suggesting strong potential for development as a booster vaccine. Based on these positive phase 2 interim results, the companies initiates a global phase 3, randomized, double-blind study with the 10µg dose, in combination with GSK's pandemic adjuvant. This phase 3 trial is expected to enroll more than 35000 adult participants from a broad range of countries and will assess the efficacy of two vaccine formulations including the D614 (Wuhan) and B.1.351 (South African) variants.

In parallel, the companies intend to conduct booster studies with various variant formulations in order to assess the ability of a lower dose of the vaccine to generate a strong booster response regardless of the initial vaccine platform received. Pending positive phase 3 outcomes and regulatory reviews, the vaccine is expected to be approved in the fourth quarter of 2021 [106].

Current data related to clinical effectiveness and in vitro neutralisation, on **Alpha (B.1.1.7)**, **Beta (B.1.351)**, **Gamma (P.1)** and **Delta (B.1.617.2) SARS-CoV-2 variants** can be found in Table 2-2.

Data related to development of vaccine in children can be found in Table 2-3.

**Zulassung  
Q4 2021 geplant**

## 2.8 Valneva

### About the vaccine

**Valneva vaccine candidate VLA2001** consists of inactivated whole virus particles of SARS-CoV-2 with high S-protein density, in combination with two adjuvants, alum and CpG 1018. This adjuvant combination has consistently induced higher antibody levels in preclinical experiments than alum-only formulations and shown a shift of the immune response towards Th1. VLA2001 is produced on Valneva's established Vero-cell platform, leveraging the manufacturing technology for Valneva's licensed Japanese encephalitis vaccine, IXIARO®. The process, which has already been upscaled to final industrial scale, includes inactivation with BPL to preserve the native structure of the S-protein.

VLA2001 is expected to conform with standard cold chain requirements (2-8° C).

**inaktivierte  
SARS-CoV-2-Viren**

### Estimated timeline for approval

Valneva initiated **phase 1/2** clinical study in December 2020; randomised, double blind trial evaluating the safety and immunogenicity for three dose levels in approximately 150 healthy adults. The primary endpoint read-out will be two weeks after completion of the two-dose primary immunization (day 0, 21). Subject to analysis of this data, additional trials are expected to commence immediately thereafter. A total of 150 healthy adults aged 18 to 55 years have been recruited. Initial results are expected in April 2021, <https://valneva.com/press-release/valneva-commences-manufacturing-of-its-inactivated-adjuvanted-covid-19-vaccine-completes-phase-1-2-study-recruitment/>.

**Phase 1/2 RCT began im  
Dez 2020  
150 Teilnehmer\*innen**

**Ergebnisse im April 2021**

On 6 April 2021, Valneva announced results from above mentioned RCT, suggested the vaccine is immunogenic, with more than 90% of all study participants developing significant levels of antibodies to the SARS-CoV-2 virus spike protein across three dose groups tested. In the high dose group, after two doses, antibody titres were at or above levels for a panel of convalescent sera, 2021 <https://valneva.com/press-release/valneva-reports-positive-phase-1-2-data-for-its-inactivated-adjuvanted-covid-19-vaccine-candidate-vla2001/>.

The Company currently plans to include more than 4,000 participants in additional trials, which it believes could support an initial regulatory approval as soon as the fourth quarter of 2021.

Current data related to clinical effectiveness and in vitro neutralisation, on **Alpha (B.1.1.7)**, **Beta (B.1.351)**, **Gamma (P.1)** and **Delta (B.1.617.2) SARS-CoV-2 variants** can be found in Table 2-2.

Data related to development of vaccine in children can be found in Table 2-3.

**Presseaussendung: 90% der Impfstudien-Teilnehmer\*innen entwickelten Antikörper**

**Planung von RCT mit 4.000 Teilnehm\*innen**

## 2.9 Sinovac Life Science Co., Ltd

The reader is referred to the earlier version (V09\_December 2020, subsection 2.5) for more details on the inactivated **CoronaVac vaccine** developed by **Sinovac Life Sciences Co., Ltd.**

On **May 4, 2021**, **EMA's** human medicines committee has started a **rolling review** of COVID-19 Vaccine (Vero Cell) Inactivated, developed by Sinovac Life Sciences Co., Ltd. The EU applicant for this medicine is Life'On S.r.l [9].

Han et al. 2021 [107] published results (as preprint) and then as scientific article [108] from randomised, double-blind, placebo-controlled **phase 1/2** clinical trial of CoronaVac in **healthy children and adolescents aged 3-17 years old** in Zhanhuang (Hebei, China) (NCT04551547). CoronaVac was well tolerated and induced strong neutralising antibody responses in children and adolescents aged 3-17 years. Vaccine (in 0.5ml aluminum 10 hydroxide adjuvant) or placebo (adjuvant only) was given by intramuscular injection in two doses (day 0 and day 28). Phase 1 trial was conducted in **724** participants with an age de-escalation in three groups and dose-escalation in two blocks (1.5ug or 3ug per injection). Within each block, participants were randomly assigned (3:1) using block randomisation to receive CoronaVac or placebo. In phase 2, 480 participants were randomly assigned (2:2:1) using block randomisation to receive either CoronaVac at 1.5ug or 3ug per dose, or placebo. The primary safety endpoint was adverse reactions within 28 days after each injection in all participants who received at least one dose. The primary immunogenicity endpoint was seroconversion rate at 28 days after the second injection and its GMT as the secondary endpoint.

This study is ongoing and is registered with ClinicalTrials.gov (NCT04551547). 2550 participants received at least one dose of vaccine or placebo (n=71 for phase 1 and n=479 for phase 2; safety population). In the combined safety profile of phase 1 and phase 2, any adverse reactions within 28 days after injection occurred in 56 (26%) of 219 participants in the 1.5ug group, 63 (29%) of 217 in the 3ug group and 27 (24%) of 114 in the placebo group, without significant difference. Most **adverse reactions were mild** and moderate in severity and injection site pain (73[13%]) of 550 participants was the most frequently reported event. As of June 12, 2021, only one serious

**Details zu J&J in V13\_April**

**Mai EMA beginnt "Rolling Review"**

**Phase 1/2 RCT  
Kinder 3-17 J**

**Phase 1: Dosisfindung  
Phase 2: 2.480  
Teilnehmer\*innen**

**Studie läuft noch**

**Nebenwirkungen: mild  
hohe Wirksamkeit**

adverse event of pneumonia has been reported in the alum-only group, which was considered unrelated to vaccination. In phase 1, seroconversion after the second dose was observed in 27 of 27 participants (100.0% [95%CI 87.3-100.0]) in the 1.5ug groups and 26 of 26 participants (100.0% [86.8-100.0]) in the 3ug group, with the geometric mean titers of 55.0 (95%CI 38.9-77.9) and 117.4 (87.8-157.0). In phase 2, **seroconversion** was seen in 180 of 186 participants (**96.8%** [93.1-98.8]) in the 1.5ug group and 180 of 180 participants (**100.0%** [98.0-100.0]) in the 3ug group, with the geometric mean titers of 86.4 (73.9-101.0) and 142.2 (124.7-162.1). There were no detectable antibody responses in the placebo groups. Neutralising antibody titres induced by the 3·0 µg dose were higher than those of the 1·5 µg dose. The results support the use of 3·0 µg dose with a two-immunisation schedule for further studies in children and adolescents.

Current data related to clinical effectiveness and in vitro neutralisation, on **Alpha (B.1.1.7)**, **Beta (B.1.351)**, **Gamma (P.1)** and **Delta (B.1.617.2)** SARS-CoV-2 variants can be found in Table 2-2.

Data related to development of vaccine in children can be found in Table 2-3.

**Wirksamkeit  
bei Mutanten in  
Tabelle 2-2**



### 3 Results: Therapeutics

On **May 5, 2021** the **European Commission proposed EU Strategy for the development and availability of COVID-19 therapeutics**, to support the development and availability of much-needed COVID-19 therapeutics, including for the treatment of 'long COVID'. This Strategy covers the full lifecycle of medicines: from research, development and manufacturing to procurement and deployment. It includes clear actions and targets in the research, development and innovation; access to and swift approval of clinical trials; scanning for candidate therapeutics; supply chains and delivery of medicine; regulatory flexibility; joint procurement and financing and international cooperation to make medicine available to all, [https://ec.europa.eu/commission/presscorner/detail/en/IP\\_21\\_2201](https://ec.europa.eu/commission/presscorner/detail/en/IP_21_2201).

On **June 29, 2021** the EC announced that the EU Strategy on COVID-19 Therapeutics delivers its first outcome: the first portfolio of **five promising therapeutics identified that could soon be available** to treat patients across the EU. Four of these therapeutics are monoclonal antibodies under rolling review by the European Medicines Agency (**combination of bamlanivimab and etesevimab; combination of casirivimab and imdevimab; regdanvimab; and sotrovimab.**) Another one is an immunosuppressant, which has a marketing authorisation that could be extended to include the treatment of COVID-19 patients (**baricitinib**), [https://ec.europa.eu/commission/presscorner/detail/en/ip\\_21\\_3299](https://ec.europa.eu/commission/presscorner/detail/en/ip_21_3299).

**Current therapeutic management of patients with COVID-19 (outpatients and hospitalised patients): Summary**

**Dexamethasone** (and other corticosteroids)

In EU, **dexamethasone use** is endorsed by EMA following referral procedure: it is **indicated in adults and adolescents (from 12 years of age and weighing at least 40 kg) who require supplemental oxygen therapy**. In all cases, the recommended dose in adults and adolescents is **6 milligrams once a day for up to 10 days**.

In current **WHO living guidance** the WHO panel made two recommendations: a **strong recommendation** (based on moderate certainty evidence) **for systemic** (i.e. intravenous or oral) **corticosteroid therapy** (e.g. 6 mg of dexamethasone orally or intravenously daily or 50 mg of hydrocortisone intravenously every 8 hours) for 7 to 10 days in **patients with severe and critical COVID-19**, and a **conditional recommendation** (based on low certainty evidence) **not to use corticosteroid therapy in patients with non-severe COVID-19**.

The **US COVID-19 Treatment Guidelines Panel recommends using dexamethasone** (at a dose of 6 mg per day for up to 10 days) in patients with COVID-19 who are mechanically ventilated (**AI**) and in patients with COVID-19 who require supplemental oxygen but who are not mechanically ventilated (**BI**). The Panel **recommends against** using dexamethasone in patients with COVID-19 who do not require supplemental oxygen (**AI**). If dexamethasone is not available, the Panel **recommends using** alternative glucocorticoids such as **prednisone, methylprednisolone, or hydrocortisone (AIII)**. *For more details related to combination therapy with remdesivir or tocilizumab or baricitinib see remdesivir, baricitinib and tocilizumab below.*

**EU-Strategie:  
Unterstützung bei  
Medikamenten-  
entwicklung entlang des  
gesamten Lebenszyklus**

**öffentliche F&E**

**Ende Juni 2021: EC EV  
verlautbart EU Strategie  
für Therapeutika –  
zentraler Ankauf**

**5 Hoffnungsträger**

**derzeitige Therapien im  
Management von Covid-  
19 Patient\*innen**

**zugelassen:**

**Dexamethasone (und  
andere Kortikosteroide)**

**von WHO & US COVID-19  
Treatment Guidelines  
Panel empfohlen**



Daily regimen of dexamethasone 6 mg once daily is equivalent to 160 mg of hydrocortisone, 40 mg of prednisone, and 32 mg of methylprednisolone.

### Remdesivir (Veklury)

Remdesivir (Veklury) is an antiviral medicine for systemic use which received a **conditional marketing authorisation** in EU. It is **indicated** for the treatment of coronavirus disease 2019 (COVID-19) in **adults and adolescents** (aged 12 years and older with body weight at least 40 kg) with **pneumonia requiring supplemental oxygen**. On June 11, 2021 EMA stated that PRAC has recommended a **change to the product information to include sinus bradycardia** as an **adverse reaction of unknown frequency** for this medicine.

The **FDA approved** remdesivir for use in **adult and pediatric patients 12 years of age and older** and weighing at least 40 kilograms (about 88 pounds) for the treatment of **COVID-19 requiring hospitalisation**.

**Current WHO living guidance** on remdesivir for COVID-19 has a **conditional recommendation against the use of remdesivir in hospitalised patients with COVID-19, regardless of disease severity**.

The **US COVID-19 Treatment Guidelines Panel** issued new recommendations on remdesivir treatment for patients with COVID-19: There are insufficient data to recommend either for or against the routine use of remdesivir in hospitalised but does not require supplemental oxygen. For patients at high risk of disease progression, the use of remdesivir may be appropriate.

**Remdesivir is recommended for use in hospitalised patients who require supplemental oxygen (BIIa); Dexamethasone plus remdesivir** (e.g., for patient who required increasing amounts of supplemental oxygen) (BIII); **Dexamethasone** (e.g., when combination therapy with remdesivir cannot be used or is not available) (BI). For hospitalized patients with COVID-19 who require oxygen delivery through a high-flow device or, noninvasive ventilation. Use one of the following options: **Dexamethasone (AI); Dexamethasone plus remdesivir** (e.g., for patients who require increasing amounts of oxygen) (BIII). For patients who were recently hospitalised with rapidly increasing oxygen needs and systemic inflammation: **Add tocilizumab** to one of the two options above (BIIa).

For hospitalised patients with COVID-19 who require invasive mechanical ventilation or extracorporeal membrane oxygenation: **Dexamethasone (AI)**. For patients who are within 24 hours of administration to the ICU **dexamethasone plus tocilizumab (BIIa)**.

### Baricitinib

The **FDA** recently issued an **emergency use authorization (EUA)** for the Janus kinase inhibitor **baricitinib** to be used **in combination with remdesivir** in patients with **COVID-19** who **require oxygen or ventilatory support**.

The **US COVID-19 Treatment Guidelines Panel** recommends using either **baricitinib (BIIa)** or **tocilizumab (BIIa)** in combination with **dexamethasone** alone or **dexamethasone plus remdesivir** for the treatment of COVID-19 patients who were recently hospitalised with rapidly increasing oxygen needs and systemic inflammation.

The Panel **recommends against** the use of **baricitinib** in combination with **tocilizumab** for the treatment of COVID-19 (AIII). There is insufficient evidence for the Panel to recommend either for or against the use of baricitinib for the treatment of COVID-19 in children.

**EMA vorläufige Zulassung: Remdesivir (Veklury)**

**PRAC: Sinusbradycardie**

**von WHO nicht empfohlen**

**US COVID-19 Treatment Guidelines Panel: insuffiziente Datenlage bei Pt ohne Sauerstoff Hochrisiko Pt: ev. angemessen**

**Empfehlung: Pts, die zusätzlich Sauerstoff benötigen, nicht aber für jene, die bereits künstlich beatmet werden**

**zugelassen nur in USA (EUA): Baricitinib als Kombinationstherapie mit Remdesivir**

**US COVID-19 Treatment Guidelines Panel: Empfehlung für Baricitinib oder Tocilizumab in Kombination mit Dexamethasone**

### Casirivimab and imdevimab (REGN-COV2)

The **U.S. Food and Drug Administration** issued an **emergency use authorization (EUA)** for casirivimab and imdevimab (REGN-COV2) to be administered together for the **treatment of mild to moderate COVID-19 in adults and pediatric patients** (12 years of age or older weighing at least 40 kilograms [about 88 pounds]) with positive results of direct SARS-CoV-2 viral testing and who are **at high risk for progressing to severe COVID-19**.

On **February 26, 2021** **EMA** stated that the CHMP has completed its review to provide a **harmonised scientific opinion** at EU level to support national decision making on the possible use of the antibodies **before a formal authorisation is issued**. The Agency concluded that the combination (REGN-COV2) **can be used** for the treatment of **confirmed COVID-19 in patients who do not require supplemental oxygen and who are at high risk of progressing to severe COVID-19**.

The **US COVID-19 Treatment Guidelines Panel** recommends using one of the following combination anti-SARS-CoV-2 monoclonal antibodies to treat outpatients with mild to moderate COVID-19 who are at high risk of clinical progression, as defined by the Emergency Use Authorization (EUA) criteria (listed in alphabetical order):

#### Casirivimab plus imdevimab; or Sotrovimab.

There are currently **no comparative data** to determine whether there are differences in clinical efficacy or safety between **casirivimab plus imdevimab and bamlanivimab plus etesevimab or sotrovimab**.

The Panel **recommends against** the use of **anti-SARS-CoV-2 monoclonal antibodies** for patients who are hospitalized because of COVID-19, except in a clinical trial (AIIa). However, their use should be considered for persons with mild to moderate COVID-19 who are hospitalized for a reason other than COVID-19 but who otherwise meet the EUA criteria.

#### Bamlanivimab monotherapy or in combination with etesevimab

The **U.S. Food and Drug Administration** revoked an **Emergency Use Authorization (EUA)** for the investigational monoclonal antibody therapy bamlanivimab (previously LY-CoV555), when administered alone, for the treatment of **mild-to-moderate COVID-19** in adult and pediatric patients due to sustained increase of SARS-CoV-2 viral variants that are resistant to bamlanivimab alone resulting in the increased risk for treatment failure.

On February 9, 2021 the **FDA** issued an **EUA** for **bamlanivimab and etesevimab administered together** for the treatment of **mild to moderate COVID-19** in adults and pediatric patients (12 years of age or older weighing at least 40 kilograms [about 88 pounds]) who test positive for SARS-CoV-2 and who are **at high risk for progressing to severe COVID-19**.

On March 5, 2021 **EMA** stated that the CHMP has completed its review started in February 2021, to provide a **harmonised scientific opinion** at EU level to support national decision making on the possible use of the antibodies **before a formal authorisation is issued**. The Agency concluded that **bamlanivimab monotherapy and bamlanivimab and etesevimab combination** can be used together to treat confirmed COVID-19 in patients who **do not require supplemental oxygen and who are at high risk of their COVID-19 disease becoming severe**.

zugelassen nur in USA (EUA): Casirivimab and imdevimab (REGN-COV2)

Feb - EMA rolling review: nur bestätigte Covid-19, die keine Beatmung brauchen, aber Hochrisiko für Fortschreiten zu schwerer Erkrankung

US COVID-19 Treatment Guidelines Panel: Empfehlung FÜR Verwendung von Kombinationstherapien bei mild/ moderater Erkr.

Kombinationstherapien

Empfehlung GEGEN Therapie bei hospitalisierten Pts.

Widerruf der EUA in USA: Bamlanivimab Monotherapie

Feb 2021: zugelassen in USA (EUA) als Kombinationstherapie bamlanivimab + etesevimab

März - EMA rolling review: nur für bestätigte Covid-19, die keine Beatmung brauchen, aber Hochrisiko für Fortschreiten zu schwerer Erkrankung

The **US COVID-19 Treatment Guidelines Panel recommends against** using **Bamlanivimab plus etesevimab** to treat outpatients with mild to moderate COVID-19 who are at high risk of clinical progression, as defined by the Emergency Use Authorization (EUA) criteria due to increase in the proportion of potentially resistant variants (AIII).

#### **Sotrovimab (VIR-7831)**

On **May 21, 2021** EMA stated that the CHMP has completed its review started in April 2021, to provide a **harmonised scientific opinion** at EU level to support national decision making on the possible use of the antibodies **before a formal authorisation is issued**. EMA concluded that sotrovimab can be used to treat confirmed COVID-19 in **adults and adolescents (aged 12 years and above and weighing at least 40 kg) who do not require supplemental oxygen therapy and who are at risk of progressing to severe COVID-19**.

The **US COVID-19 Treatment Guidelines Panel recommends** using one of the following combination anti-SARS-CoV-2 monoclonal antibodies to treat outpatients with mild to moderate COVID-19 who are at high risk of clinical progression, as defined by the Emergency Use Authorization (EUA) criteria (listed in alphabetical order):

#### **Casirivimab plus imdevimab; or Sotrovimab.**

The Panel **recommends against** the use of **anti-SARS-CoV-2 monoclonal antibodies** for patients who are hospitalized because of COVID-19, except in a clinical trial (AIIa). However, their use should be considered for persons with mild to moderate COVID-19 who are hospitalized for a reason other than COVID-19 but who otherwise meet the EUA criteria.

#### **Regdanvimab (Regkirona)**

On 26 March 2021 **EMA** announced that CHMP has completed a review of Celltrion's monoclonal antibody regdanvimab (CT-P59) to **support national authorities** who may decide on the use of this medicine for COVID-19 prior to authorisation. EMA **concluded** that regdanvimab can be used for the **treatment of confirmed COVID-19 in adult patients that do not require supplemental oxygen for COVID-19 and who are at high risk of progressing to severe COVID19**.

#### **Convalescent plasma**

On **February 4 2021**, **FDA** announced that former **EUA** is **being revised** to authorize **only the use of high titer COVID-19 convalescent plasma**, for the treatment of **hospitalised patients with COVID-19, early in the disease course** and those hospitalised with **impaired humoral immunity**.

#### **Tocilizumab**

**RECOVERY Collaborative Group** published **results** from the **RECOVERY trial** related to tocilizumab arm: tocilizumab improved survival and other clinical outcomes in severe and critical COVID-19 patients. These benefits were seen regardless of the level of respiratory support and were additional to the benefits of systemic corticosteroids.

On **24 June 2021** **FDA** issued an **emergency use authorization (EUA)** for the drug Actemra (tocilizumab) for the treatment of **hospitalised adults and pediatric patients (2 years of age and older) who are receiving systemic corticosteroids and require supplemental oxygen, non-invasive or invasive mechanical ventilation, or extracorporeal membrane oxygenation (ECMO)**.

**US COVID-19 Treatment Guidelines Panel: Empfehlung GEGEN Verwendung bei ambulanten Pts mit mild/moderater Erkr. (wegen Resistenzen)**

**Mai - EMA rolling review: nur für bestätigte Covid-19, die keine Beatmung brauchen, aber Hochrisiko für Fortschreiten zu schwerer Erkrankung**

**US COVID-19 Treatment Guidelines Panel: Empfehlung FÜR Verwendung von Kombinationstherapien bei mild/moderater Erkr.**

**März - EMA rolling review: nur für bestätigte Covid-19, die keine Beatmung brauchen, aber Hochrisiko für Fortschreiten zu schwerer Erkrankung**

**FDA-Revision der Zulassung von Reconvalezentenplasma: nur mit hohem Titer**

**RECOVERY Ergebnisse: bessere Ergebnisse bei schwerer/ kritischer Erkrankung unter tocilizumab**

**Juni 2021: FDA EUA Verwendung bei hospitalisierten Pts. mit nicht invasiver Beatmung**

The **US COVID-19 Treatment Guidelines Panel** recommends the use of **tocilizumab** (single intravenous dose of 8 mg/kg of actual body weight, up to 800 mg) **in combination with dexamethasone** (6 mg daily for up to 10 days) in certain hospitalised patients who are exhibiting rapid respiratory decompensation due to COVID-19. The patients included in this population are: Recently hospitalised patients who have been admitted to the intensive care unit (ICU) within the prior 24 hours and who require invasive mechanical ventilation or ECMO (**BIIa**); hospitalised who require noninvasive mechanical ventilation (NIV), or high-flow nasal canula (HFNC) oxygen (>0.4 FiO<sub>2</sub>/30 L/min of oxygen flow with rapidly increasing oxygen needs and systemic inflammation (**BIIa**). For the latest group of patients tocilizumab could be added to remdesivir also.

On July 6, 2021 the WHO recommends treatment with IL-6 receptor blockers (tocilizumab or sarilumab) for patients with severe or critical COVID-19 infection (strong recommendation). Corticosteroids have previously been strongly recommended in patients with severe and critical COVID-19, and WHO recommends patients meeting these severity criteria should now receive both corticosteroids and IL-6 receptor blockers.

#### **Lopinavir + ritonavir, chloroquine and hydroxychloroquine**

Lopinavir + ritonavir, chloroquine and hydroxychloroquine are **not effective** in treating COVID-19 patients.

#### **Other pharmaceuticals listed in this document**

Related to other pharmaceuticals listed in this document the **current evidence** is **uncertain or very uncertain** about their effect on different clinical outcomes in **COVID-19 patients**. Further RCTs are currently ongoing.

EMA is providing guidance to assist developers of potential COVID-19 medicines, to prepare for eventual applications for marketing authorisation. This includes scientific advice, as well as informal consultation with the COVID-19 EMA pandemic Task Force (COVID-ETF). The outcome of any consultation or advice from EMA is not binding on developers. COVID-19 medicines that have received EMA advice can be found in Table 3-1 below,

<https://www.ema.europa.eu/en/human-regulatory/overview/public-health-threats/coronavirus-disease-covid-19/treatments-vaccines/treatments-covid-19/covid-19-treatments-research-development>.

**US COVID-19 Treatment Guidelines Panel: Empfehlung in Kombination mit Dexamethasone in einigen Patientengruppen, ICU, beatmet, etc.**

**Juli 2021: WHO empfiehlt Interleukin-6-Rezeptorblocker für Pts. mit schwere Erkrankung**

**Lopinavir + ritonavir, chloroquine and hydroxychloroquine: Nachweis für keine Wirksamkeit**

**EMA scientific advice für viele unterschiedliche Medikamente**

Table 3-1: COVID-19 medicines that have received EMA advice

Product	Developer	Therapeutic class/drug type	Development stage at time of guidance
<b>VIR-7831, VIR-7832</b>	Vir Biotechnology/GSK	Antiviral (monoclonal antibody)	Clinical phase
<b>UNI911</b>	Union Therapeutics	Antiviral	Clinical phase
<b>Tocilizumab</b>	Roche	Immunomodulator	Clinical phase
<b>SNG-001</b>	Synargein	Immunomodulator	Clinical phase
<b>Siltuximab</b>	EUSapharma	Immunomodulator	Clinical phase
<b>Sarilumab</b>	Sanofi Aventis	Immunomodulator	Clinical phase
<b>Remdesivir</b>	Gilead	Antiviral	Clinical phase
<b>RBT-9</b>	Renibus Therapeutics Inc	Antiviral	Clinical phase
<b>Ravulizumab</b>	Alexion	Other therapeutics	Clinical phase
<b>Otilimab</b>	GSK	Immunomodulator	Clinical phase
<b>Meplazumab</b>	Jiangsu Pacific Meinuoke Biophar.	Antiviral (mAb)	Clinical phase
<b>Mavrilimumab</b>	Kiniksa Pharmaceuticals	Immunomodulator	Clinical phase
<b>Gimsilumab</b>	Roivant	Immunomodulator	Clinical phase
<b>Favipiravir</b>	Glenmark Pharmaceuticals Ltd	Antiviral	Clinical phase
<b>Emapalumab and anakinra</b>	Swedish Orphan Biovitrum AB	Immunomodulator	Clinical phase
<b>Eculizumab</b>	Alexion	Immunomodulator	Clinical phase
<b>Danoprevir</b>	Asclepis Pharmaceuticals Co Ltd	Antiviral	Clinical phase
<b>Copper chloride</b>	ACOM srl	Antiviral	Clinical phase
<b>Chloroquine and hydroxychloroquine cyclops DPI</b>	PureIMS	Other therapeutics	Clinical phase
<b>Chloroquine</b>	Oxford University	Other therapeutics	Clinical phase
<b>CD24Fc</b>	Oncoimmune Inc	Immunomodulator	Clinical phase
<b>Baricitinib</b>	Eli Lilly	Immunomodulator	Clinical phase
<b>Apremilast</b>	Amgen Europe BV	Immunomodulator	Clinical phase
<b>APN01</b>	Apeiron Biologics	Immunomodulator	Clinical phase
<b>Anti-SARS-CoV-2 polyclonal hyperimmune immunoglobulin</b>	Alliance hyperimmune project (Biotest AG, Bio Products Laboratory, LFB, Octapharma, CSL Behring and Takeda)	Antiviral	Clinical phase
<b>Acalabrutinib</b>	Acerta Pharma BV	Immunomodulator	Clinical phase
<b>ABBV-47D11</b>	AbbVie	Antiviral	Clinical phase
<b>AT-527</b>	Roche	Antiviral	Clinical phase
<b>Aviptadil</b>	Relief Therapeutics Holding S.A	Other therapeutics	Clinical Phase
<b>BI 764198</b>	Boehringer Ingelheim International GmbH	Other therapeutic	Clinical phase
<b>Emiplacel</b>	Biopharma Excellence GmbH	Other therapeutic	Clinical Phase
<b>Itozumab</b>	Biocon Biologics Limited	Immunomodulator (monoclonal antibody)	Clinical phase
<b>SCTA01</b>	Sinocelltech Ltd.	Antiviral (monoclonal antibody)	Clinical phase
<b>Colchicine</b>	Pharmascience Inc. / Montreal Health Institute	Immunomodulator	Clinical phase
<b>IgM enriched human immune globulin (Trimodulin) (BT588)</b>	Biotest AG	Antiviral	Clinical phase
<b>Vilobelimab</b>	InflaRx Pharma GmbH	Immunomodulator	Clinical phase
<b>Vidofludimus calcium (IMU-836)</b>	Immunic AG	Immunomodulator/Antiviral	Clinical phase
<b>Proxalumatide</b>	Suzhou Kintor Pharmaceuticals / Applied Biology	Other	Clinical phase
<b>Opaganib</b>	RedHill Biopharma	Other	Clinical phase
<b>Nezulcitinib</b>	Therevance Biopharma	Immunomodulator	Clinical phase
<b>MK-7110</b>	MSD	Immunomodulator	Clinical phase
<b>MAD0004J08</b>	Menarini Recherche	Antiviral Monoclonal antibody	Clinical phase
<b>Imlifidase</b>	Hansa Biopharma AB	Immunomodulator	Clinical phase
<b>FBR-002</b>	Fabentech	Antiviral Monoclonal antibody	Clinical phase
<b>CoviFab</b>	Immunova SA/mAbxience	Antiviral	Clinical phase
<b>C-21</b>	Vicore Pharma	Immunomodulator	Clinical phase
<b>Bemcentinib</b>	BergenBio	Antiviral	Clinical phase
<b>Azelastine</b>	Ursapharm	Immunomodulator	Clinical phase

In this document we present information for some therapies in development.

Table 3 -2: Most advanced therapeutics in the R&D pipeline

Drug	Mechanism of operation	Approval Status Withdrawn, suspended or terminated
<b>Remdesivir</b> (Veklury®)	Antiviral agent	<b>EMA: Conditional marketing authorisation granted</b> <b>FDA: Marketing authorisation granted</b> 2 RCTs (suspended and terminated)
<b>Favipiravir</b> (Avigan, T-705)	Antiviral agent	No withdrawn or terminated studies found, 1 suspended
<b>Darunavir</b> (Prezista®)	Antiviral agent	No withdrawn, suspended or terminated studies found
<b>Camostat Mesilate</b> (Foipan®)	Antiviral cell-entry inhibitor	1 RCT-withdrawn, no suspended or terminated studies found
<b>APN01</b> (rhACE2)	Antiviral cell-entry inhibitor	1 RCT withdrawn
<b>Tocilizumab</b> (RoActemra®)	Monoclonal antibody	1 RCT withdrawn, 4 RCTs terminated
<b>Sarilumab</b> (Kevzara®)	Monoclonal antibody	1 RCT suspended, 1 RCTs terminated
<b>Interferon beta 1a</b> (SNG001) <b>and 1b</b>	Interferon	1 RCT suspended, 1 RCTs terminated
<b>Convalescent Plasma</b>	Convalescent Plasma	<b>FDA revised Emergency Use Authorisation (EUA): only the use of high titer COVID-19 convalescent plasma, for hospitalised patients, early in the disease course, with impaired humoral immunity)</b> 1 RCT terminated, 1 RCT withdrawn
<b>Plasma derived medicinal products: REGN-COV2; LY-CoV555 (bamlanivimab); LY-CoV016 (etesevimab); AZD7442; sotrovimab (VIR-7831); regdanvimab</b>	Neutralizing monoclonal antibodies	<b>FDA Emergency Use Authorisation (EUA): REGN-COV2 (casirivimab+imdevimab)</b> <b>EMA: Use endorsed after Article 5(3) review: REGN-COV2 (casirivimab+imdevimab)</b> <b>FDA revoked Emergency Use Authorisation (EUA): Bamlanivimab</b> <b>EMA: Use endorsed after Article 5(3) review: Bamlanivimab</b> <b>FDA Emergency Use Authorisation (EUA): Bamlanivimab+etesevimab</b> <b>EMA: Use endorsed after Article 5(3) review: Bamlanivimab+etesevimab</b> <b>FDA Emergency Use Authorisation (EUA): Sotrovimab</b> <b>EMA: Use endorsed after Article 5(3) review: Sotrovimab</b> <b>EMA: Use endorsed after Article 5(3) review Regdanvimab</b> No withdrawn, suspended or terminated studies found
<b>Solnatide</b>	Synthetic peptide	No withdrawn, suspended or terminated studies found
<b>Umifenovir</b> (Arbidol®)	Antiviral agent	No withdrawn, suspended or terminated studies found
<b>Dexamethasone and other corticosteroids</b> <b>Inhaled corticosteroids: Budesonide</b>	Glucocorticoid	<b>EMA: Dexamethasone use endorsed after Article 5(3) review</b> 2 RCTs terminated, 1 RCT suspended, 1 RCT withdrawn 1 RCT terminated
<b>Anakinra</b> (Kyneret®)	Interleukin 1 receptor antagonist	1 RCT suspended, 2-RCT terminated
<b>Colchicine</b>	An alkaloid, with anti-gout and anti-inflammatory activities	1 RCT withdrawn, no suspended or terminated studies found
<b>Nafamostat</b> (Futhan©)	Trypsin-like serine protease inhibitor	No withdrawn, suspended or terminated studies found
<b>Gimsilumab</b>	Human monoclonal antibody	No withdrawn, suspended or terminated studies found
<b>Canakinumab</b>	Human monoclonal antibody	No withdrawn, suspended or terminated studies found
<b>Lenzilumab</b>	Recombinant monoclonal antibody	No withdrawn, suspended or terminated studies found
<b>Vitamin D</b>	Vitamin	No withdrawn or suspended, 1 terminated studies found
<b>Baricitinib</b>	Inhibitor of Janus kinase (JAK)1 and JAK2	<b>FDA Emergency Use Authorisation (EUA): Baricitinib in combination with remdesivir</b> No withdrawn, suspended or terminated studies found
<b>Molnupiravir</b>	Pro-drug of the nucleoside analogue N4-hydroxycytidine (NHC)	No withdrawn, suspended or terminated studies found
<b>Ivermectin</b>	Antiparasitic	No withdrawn, suspended or terminated studies found
<b>Aspirin</b> (acetylsalicylic acid)	Antitrombotic	1 RCT withdrawn, no suspended or terminated studies found
<b>Aviptadil</b> (RLF-100)	Synthetic form of Human Vasoactive Intestinal Polypeptide (VIP)	No withdrawn, suspended or terminated studies found
<b>Artesunate</b>	Anti-malaria drug	No withdrawn, suspended or terminated studies found
<b>Tofacitinib</b>	Selective inhibitor of the JAK family	No withdrawn, suspended or terminated studies found

### 3.1 Remdesivir (Veklury®)

The reader is referred to the earlier version (V13\_April) for more details on **remdesivir (Veklury)**.

On June 11, 2021 **EMA** stated that PRAC has recommended a **change to the product information** for Veklury (remdesivir) **to include sinus bradycardia** (heart beats more slowly than usual) as an **adverse reaction of unknown frequency** for this medicine. The majority of events of sinus bradycardia resolved a few days after the treatment with Veklury was discontinued [109].

**Details in V13\_April**

**PRAC: Sinusbradykardie**

### 3.2 Lopinavir + Ritonavir (Kaletra®)

Due to the lack of effectiveness of lopinavir/ritonavir in treating adults hospitalized with COVID-19 patients and the decisions to stop enrolling participants to the lopinavir/ritonavir (Kaletra) arms of the RECOVERY, SOLIDARITY and DISCOVERY studies in adults hospitalized with COVID-19, our reporting related to lopinavir/ritonavir was stopped also.

Last reporting V6/September 2020:

[https://eprints.aihta.at/1234/50/Policy\\_Brief\\_002\\_Update\\_09.2020.pdf](https://eprints.aihta.at/1234/50/Policy_Brief_002_Update_09.2020.pdf)

**wegen erwiesenem Mangel an Wirksamkeit wurde Beobachtung beendet**

### 3.3 Favipiravir (Avigan®)

The reader is referred to the earlier version (V15\_June 2021) for more details on favipiravir treatment in hospitalised or nonhospitalised COVID-19 patients.

The US COVID-19 Treatment Guidelines Panel (last update February 11, 2021) **recommends against** using the **Lopinavir/ritonavir (AI) or other HIV protease inhibitors** for the treatment of COVID-19 in **hospitalised patients (AI)**.

They **recommends against** using the **Lopinavir/ritonavir (AI) or other HIV protease inhibitors** for the treatment of COVID-19 in **nonhospitalised patients (AIII)** [112].

**Beobachtung bis v15 (Juni)**

**Empfehlungen des US COVID-19 Treatment Guidelines Panel GEGEN jegliche HIV Protease Inhibitoren**

### 3.4 Darunavir

The reader is referred to the earlier version (V15\_June 2021) for more details on darunavir treatment in hospitalised or nonhospitalised COVID-19 patients.

The US COVID-19 Treatment Guidelines Panel (last update February 11, 2021) **recommends against** using the **Lopinavir/ritonavir (AI) or other HIV protease inhibitors** for the treatment of COVID-19 in **hospitalised patients (AI)**.

**Beobachtung bis v15 (Juni)**

**Empfehlungen des US COVID-19 Treatment Guidelines Panel GEGEN jegliche HIV Protease Inhibitoren**

They **recommends against** using the **Lopinavir/ritonavir (AI) or other HIV protease inhibitors** for the treatment of COVID-19 in **nonhospitalised patients (AIII)** [112].

### 3.5 Chloroquine (Resochin®) and

### 3.6 Hydroxychloroquine (Plaquenil®)

Due to the lack of effectiveness of chloroquine and hydroxychloroquine in treating COVID-19 patients; in the light of serious adverse effects as well as the decisions to stop enrolling participants to the hydroxychloroquine arm of the RECOVERY and SOLIDARITY trials, the reporting related to these two pharmaceuticals was stopped also.

Last reporting V4/ July:

[https://eprints.aihta.at/1234/10/Policy\\_Brief\\_002\\_Update\\_07.2020.pdf](https://eprints.aihta.at/1234/10/Policy_Brief_002_Update_07.2020.pdf)

**wegen erwiesenem Mangel an Wirksamkeit wurde Beobachtung beendet**

### 3.7 Camostat Mesilate (Foipan®)

#### About the drug under consideration

Camostat Mesilate (Foipan®) is classified as a so-called serine protease inhibitor, blocking several pancreatic and plasmatic enzymes like trypsin, thrombin and plasmin [128]. Studies showed effects on the cell-entry mechanism of coronaviruses (e.g. SARS-CoV and SARS-CoV-2) in in-vitro human cells [129, 130] as well as in pathogenic mice-models [131] by inhibiting the enzyme Transmembrane protease, serine 2 (TMPRSS2).

Camostat Mesilate (Foipan®) ist not approved for any anti-viral use (FDA, EMA).

It is one of the drugs for which the German Federal Ministry of Health initiated centralized procurement in April 2020 for the treatment of infected and seriously ill COVID-19 patients in Germany (<https://www.abda.de>). Up to August 1, 2020, 35 to 60 Covid-19 patients have been treated with the centrally procured medicinal product Foipan (Camostat) as part of an individual medical treatment. There was no obligation for the treating physicians to collect data in a registry [132].

**Protease-Inhibitor bei Entzündung der Bauchspeicheldrüse  
Zulassung: Japan, Südkorea**

**nicht EMA, FDA  
FDA: Orphan Drug  
Designation seit 2011  
vom dt. BMG für schwere Erkrankungen zentral eingekauft**

#### Withdrawn, suspended or terminated studies

One withdrawn RCT was found (NCT04338906) related to combination therapy camostat + hydroxychloroquine because hydroxychloroquine not being standard of care anymore); no suspended or terminated studies were found in ClinicalTrials.gov and EUdraCT registers.

**in ClinicalTrials.gov and EUdraCT keine abgeschlossenen klinischen Studien registriert**

#### Results of publications

One scientific publication on a RCT of Camostat Mesilate (Foipan®) in Covid-19 patients is currently identified.

**1 Publikation zu RCT: kein Unterschied zwischen den Gruppen**



Gunst et al. 2021 [133] published results from investigator-initiated, double-blind, randomized, placebo-controlled multicenter trial in patients hospitalised with confirmed SARS-CoV-2 infection (NCT04321096, EudraCT 2020-001200-42). Within 48 h of admission, 205 participants were randomly assigned in a 2:1 ratio to receive camostat mesilate 200 mg three times daily for 5 days or placebo. The primary outcome was time to discharge or clinical improvement measured as  $\geq 2$  points improvement on a 7-point ordinal scale. Other outcomes included 30-day mortality, safety and change in oropharyngeal viral load. 137 patients were assigned to receive camostat mesilate and 68 to placebo. Median time to clinical improvement was 5 days (interquartile range [IQR], 3 to 7) in the camostat group and 5 days (IQR, 2 to 10) in the placebo group ( $p = 0.31$ ). The hazard ratio for 30-day mortality in the camostat compared with the placebo group was 0.82 (95% confidence interval [CI], 0.24 to 2.79;  $p = 0.75$ ). The frequency of adverse events was similar in the two groups. Median change in viral load from baseline to day 5 in the camostat group was  $-0.22 \log_{10}$  copies/mL ( $p < 0.05$ ) and  $-0.82 \log_{10}$  in the placebo group ( $p < 0.05$ ).

### 3.8 APN01/ Recombinant Human Angiotensin-converting Enzyme 2 (rhACE2)

#### Drug under consideration

APN01 (alunacedase alfa) is a recombinant human Angiotensin Converting Enzyme 2 (rhACE2) developed by Apeiron Biologics under Phase 2 clinical development in ALI (Acute Lung Injury) and PAH (Pulmonal arterial hypertension) [134], [135], [136].

The therapy with APN01 is currently not approved by the European Medicine Agency (EMA) and Food and Drug Administration (FDA) for COVID-19.

#### Withdrawn, suspended or terminated studies

One RCT number NCT04287686 is visible as withdrawn (without CDE Approval).

#### Results of publications

No relevant finished publications or finished trials assessing the efficacy and safety could be identified. First results, related to a phase 2/3 study of hrsACE2 in 178 hospitalised patients with severe COVID-19, with primary composite outcome – All-cause mortality or invasive mechanical ventilation are recently announced (NCT04335136). Both groups, APN01 ( $n = 88$ ) and placebo ( $n = 90$ ), also additionally received standard of care (SOC). Patients received treatment for 7 days with follow-ups until day 28. The data showed that fewer patients treated with APN01 ( $n = 9$ ) died or received invasive ventilation compared to placebo ( $n = 12$ ), although statistical significance was not achieved due to the low total number of events. The data demonstrated a statistically significant improvement in mechanical ventilator-free days in alive patients and reduction in viral load in the group treated with APN01 compared to placebo. APN01 also demonstrated a positive impact on key biomarkers of the renin angiotensin system (RAS), demonstrating in vivo efficacy of the drug. Treatment with APN01 was safe and well tolerated and no drug-related severe adverse events were observed during the study.

**aus SARS-Forschung hervorgegangen**

**keine Zulassung  
1 Studie (Phase 2 RCT), vor Rekrutierung**

**in ClinicalTrials.gov and EudraCT keine abgeschlossene, aber eine zurückgezogene Studie registriert**

**Phase 2/3 RCT  
178 Pt.  
hospitalisiert,  
schwere Erkrankung**

**besser bei  
beatmungsfreien Tagen**

In addition, APEIRON was invited to participate in the US ACTIV-4d RAAS trial, part of Accelerating COVID-19 Therapeutic Interventions and Vaccines (ACTIV), initiated and funded by the National Heart Lung and Blood Institute (NHLBI), part of the United States' National Institutes of Health (NIH). APN01 was prioritized for study by a broad panel of clinical trial experts through the Collaborative Network of Networks for Evaluating COVID19 Therapeutic Strategies (CONNECTS). The trial is anticipated to begin in Q2-2021, [https://www.apeiron-biologics.com/wp-content/uploads/20210519\\_PR\\_APN01-development\\_ENG.pdf](https://www.apeiron-biologics.com/wp-content/uploads/20210519_PR_APN01-development_ENG.pdf).

In parallel to the US clinical trial with APN01 as intravenous application, APEIRON is preparing a company-sponsored phase 1 trial to evaluate drug delivery of APN01 through inhalation in order to target all infected or at-risk patients earlier in the course of the disease. Preliminary data from ongoing evaluations with inhalation of ACE2 based therapeutics show high efficacy in SARS-CoV-2 animal models.

**APN01 in ACTIV-4  
Plattform Studie  
aufgenommen**

**Phase 1 Studie  
Erprobung von APN01 als  
Inhalation**

### 3.9 Tocilizumab (Roactemra®)

The reader is referred to the earlier version (V14\_May 2021) for more details on **tocilizumab (RoActemra)**.

On 24 June 2021 FDA issued an **emergency use authorization (EUA)** for the drug Actemra (tocilizumab) for the treatment of **hospitalised adults and pediatric patients** (2 years of age and older) who are **receiving systemic corticosteroids and require supplemental oxygen, non-invasive or invasive mechanical ventilation, or extracorporeal membrane oxygenation (ECMO)** [137].

On July 6, 2021 the WHO recommends treatment with **IL-6 receptor blockers (tocilizumab or sarilumab)** for **patients with severe or critical COVID-19 infection (strong recommendation)**. Corticosteroids have previously been strongly recommended in patients with severe and critical COVID-19, and WHO recommends patients meeting these severity criteria should now receive both corticosteroids and IL-6 receptor blockers [138].

**Beobachtung bis v14 (Mai)**

**Juni 2021:  
FDA EUA für  
hospitalisierte Pts mit  
nicht-invasiver Beatmung**

**Juli 2021: WHO  
Empfehlung Interleukin-  
6-Rezeptorblocker für  
schwer+ kritisch  
Erkrankte**

### 3.10 Sarilumab (Kevzara®)

#### Drug under consideration

Sarilumab (*Kevzara*) is a human monoclonal antibody that specifically binds to soluble and membrane-bound interleukin (IL)-6 receptors (IL-6R $\alpha$ ), and inhibits IL-6-mediated signalling [139]. It is being investigated as a possible treatment for patients with moderate to severe or critical COVID-19. The therapy is currently not approved by the European Medicine Agency (EMA) and Food and Drug Administration (FDA) for COVID-19.

The **US COVID-19 Treatment Guidelines Panel** Statement (April 21, 2021) [169]: There are insufficient data for the Panel to recommend either for or against the use of sarilumab for hospitalized patients with COVID-19 who are within 24 hours of admission to the ICU and who require invasive mechanical ventilation, noninvasive ventilation, or high-flow oxygen (>0.4 FiO<sub>2</sub>/30 L/min of oxygen flow).

**Interleukin-6-  
Rezeptorblocker für  
rheumatoide Arthritis  
zugelassen (EMA)**

**Covid-10: bei erhöhten IL-  
6-Spiegeln  
US COVID-19 Treatment  
Guidelines Panel (April)  
insuffiziente Datenlage  
für/gegen Empfehlung**

On July 6, 2021 the WHO recommends treatment with IL-6 receptor blockers (tocilizumab or sarilumab) for patients with severe or critical COVID-19 infection (strong recommendation). Corticosteroids have previously been strongly recommended in patients with severe and critical COVID-19, and WHO recommends patients meeting these severity criteria should now receive both corticosteroids and IL-6 receptor blockers [138].

The prospective and living network meta-analyses showed that in severely or critically ill patients, administering these drugs reduce the odds of death by 13%, compared to standard care: will be 15 fewer deaths per thousand patients, and as many as 28 fewer deaths for every thousand critically ill patients. The odds of mechanical ventilation among severe and critical patients are reduced by 28%, compared with standard care. This translates to 23 fewer patients out of a thousand needing mechanical ventilation, [140].

#### Withdrawn, suspended or terminated studies

One RCT found as suspended, NCT04341870 - CORIMUNO-VIRO Trial (DSMB recommendation (futility)). One RCT found as terminated, NCT04322773 (TOCIVID) in Denmark, due to changed clinical conditions and too few patients available).

#### Results of publications

On July 03, 2020 in press release related to sarilumab RCT conducted in US, <https://www.clinicaltrialsarena.com/news/kevzara-us-covid19-trial-data/>, Sanofi and Regeneron Pharmaceuticals have reported that this phase III clinical trial of sarilumab, compared 400mg dose of the drug plus best supportive care to best supportive care alone, failed to meet its primary and key secondary endpoints in 194 **critically ill Covid-19 patients** who required mechanical ventilation in the US. In the primary analysis arm, adverse events were reported in 80% of patients treated with sarilumab and 77% of those on placebo. Serious adverse events in at least 3% of patients, more frequent among sarilumab patients, were multi-organ dysfunction syndrome and hypotension. Based on the data, the companies have halted this US-based trial, including a second cohort of patients who were on a higher 800mg dose of the drug. The trial being conducted outside of the US was continuing, in hospitalised patients with severe and critical Covid-19 using a different dosing regimen, with published **negative results** in March 2021 by **Lescure et al.** (NCT04327388, EudraCT 2020-001162-12)[141] 420 patients were randomly assigned and 416 received placebo (n=84 [20%]), **sarilumab 200 mg** (n=159 [38%]), or **sarilumab 400 mg** (n=173 [42%]). At day 29, no significant differences were seen in median time to an improvement of two or more points between placebo (12·0 days [95% CI 9·0 to 15·0]) and sarilumab 200 mg (10·0 days [9·0 to 12·0]; hazard ratio [HR] 1·03 [95% CI 0·75 to 1·40]; log-rank p=0·96) or sarilumab 400 mg (10·0 days [9·0 to 13·0]; HR 1·14 [95% CI 0·84 to 1·54]; log-rank p=0·34), or in proportions of patients alive (77 [92%] of 84 patients in the placebo group; 143 [90%] of 159 patients in the sarilumab 200 mg group; difference -1·7 [-9·3 to 5·8]; p=0·63 vs placebo; and 159 [92%] of 173 patients in the sarilumab 400 mg group; difference 0·2 [-6·9 to 7·4]; p=0·85 vs placebo). At day 29, there were numerical, non-significant survival differences between sarilumab 400 mg (88%) and placebo (79%; difference +8·9% [95% CI -7·7 to 25·5]; p=0·25) for patients who had critical disease. No unexpected safety signals were seen. The rates of treatment-emergent adverse events were 65% (55 of 84) in the placebo group, 65% (103 of 159) in the sarilumab 200 mg group, and 70% (121 of 173) in the sarilumab 400 mg group, and of those leading to death 11% (nine of 84) were in the placebo group, 11% (17 of 159) were in the sarilumab 200 mg group, and 10% (18 of 173) were in the sarilumab 400 mg group.

**Juli 2021: WHO Empfehlung Interleukin-6-Rezeptorblocker für schwer+ kritisch Erkrankte**

**Network Metaanalyse  
RR Tod -13%  
RR künstliche Beatmung -28%**

**Juli 2020: Pressemeldung zu RCT mit 194 Pts**

**kein Unterschied mehr SAE in Sarilumab Gruppe**

**Publikation der Ergebnisse März 2021:**

**keine Unterschiede, negative Ergebnisse**

As already described in Tocilizumab Section above, **Gordon et al. 2021 [142](REMAP-CAP, NCT02735707)** published **preliminary report** as preprint, with **positive results** related to IL-6 receptor antagonist, tocilizumab and sarilumab, to improve outcome, including survival, in **critical COVID-19 patients** who were randomised to receive either tocilizumab (8mg/kg) or **sarilumab (400mg)** or standard care (control). At the time of full analysis 353 patients had been assigned to tocilizumab, 48 to sarilumab and 402 to control. Median organ support-free days were 11 (IQR 0, 16) sarilumab and 0 (IQR -1, 15) for control. Relative to control, median adjusted odds ratio was 1.76 (95%CrI 1.17, 2.91) for sarilumab, compared with control. Hospital mortality was 22.2% (10/45) for sarilumab and 35.8% (142/397) for control. All secondary outcomes and analyses supported efficacy of these IL-6 receptor antagonists. There were no serious adverse events in the sarilumab group.

**Derde et al. 2021** published **final report** as **preprint** [143] from above mentioned **REMAP-CAP RCT (NCT02735707)**: Adult participants with **critical COVID-19** were randomized to receive **tocilizumab, sarilumab, anakinra, or standard care (control)**. In addition, a small group (n=21) of participants were randomized to interferon-β1a. The primary outcome was an ordinal scale combining in-hospital mortality (assigned -1) and days free of organ support to day 21. The trial used a Bayesian statistical model with pre-defined triggers for superiority, equivalence or futility. Statistical triggers for equivalence between tocilizumab and sarilumab; and for inferiority of anakinra to the other active interventions were met at a planned adaptive analysis. Of the 2274 critically ill participants enrolled, 972 were assigned to tocilizumab, **485 to sarilumab 400 mg as a single intravenous infusion**, 378 to anakinra and **418 to control**. Median organ support-free days were 7 (interquartile range [IQR] -1, 16), 9 (IQR -1, 17), 0 (IQR -1, 15) and 0 (IQR -1, 15) for tocilizumab, sarilumab, anakinra and control, respectively. Median adjusted odds ratios were 1.46 (95%CrI 1.13, 1.87), 1.50 (95%CrI 1.13, 2.00), and 0.99 (95%CrI 0.74, 1.35) for tocilizumab, sarilumab and anakinra, yielding 99.8%, 99.8% and 46.6% posterior probabilities of superiority, respectively, compared to control. Median adjusted odds ratios for hospital survival were 1.42 (95%CrI 1.05,1.93), 1.51 (95%CrI 1.06, 2.20) and 0.97 (95%CrI 0.66, 1.40) for tocilizumab, sarilumab and anakinra respectively, compared to control, yielding 98.8%, 98.8% and 43.6% posterior probabilities of superiority, respectively, compared to control. All treatments appeared safe. In critical COVID-19, tocilizumab and sarilumab are similarly effective at improving survival and reducing duration of organ support. Anakinra is not effective in this population.

**Sivapalasingam et al. 2021** [144] published as **preprint** results from adaptive, phase 2/3, randomized, double-blind, placebo-controlled trial of intravenous sarilumab **200 mg or 400 mg** in adults **hospitalised** with Covid-19 **requiring supplemental oxygen and/or assisted ventilation (NCT04315298)**. The **phase 3** primary analysis population (cohort 1) was patients with **critical Covid-19** receiving mechanical ventilation (MV) randomized to sarilumab 400 mg or placebo. The primary end point for phase 3 was the proportion of patients with ≥1-point improvement in clinical status from baseline to day 22. 457 and 1365 patients were randomized and treated in phases 2 and 3, respectively. Among phase 3 critical patients receiving MV (n=289; 34.3% on corticosteroids), the proportion with ≥1-point improvement in clinical status (alive not receiving MV) at day 22 was 43.2% in sarilumab 400 mg and 35.5% in placebo (risk difference [RD] +7.5%; 95% confidence interval [CI], -7.4 to 21.3; p=0.3261), representing a relative risk improvement of 21.7%. Day 29 all-cause mortality was 36.4% in sarilumab 400 mg versus 41.9% in placebo (RD -5.5%; 95% CI, -20.2 to 8.7; relative risk reduction 13.3%). In post hoc analyses pooling phase 2 and 3 critical patients receiving MV, the hazard ratio (HR) for death in sarilumab 400 mg compared with placebo was 0.76 (95%

**REMAP-CAP Studienarm  
48 Pts.**

**Vorteile bei  
Soitalsmortalität,  
90-Tages Überleben,  
Zeit bis zur  
Intensivmedizin  
Spitalsentlassung  
klinische Verbesserung**

**Plattform Studie:  
REMAP-CAP  
2.274 kritisch Erkrankte**

**Tocilizumab & Sarilumab  
gleichermaßen wirksam  
bei Überleben und Dauer  
der Unterstützung bei  
Beatmung**

**Phase 2/3 RCT**

**457 Pts hase 2  
1.365 Pts Phase 3**

**geringfügig bessere  
Ergebnisse**

CI, 0.51 to 1.13) overall, improving to 0.49 (95% CI, 0.25 to 0.94) in patients receiving corticosteroids at baseline.

Summary of finding table 3.10-1. related to these four RCTs mentioned above can be found below. In summary, evidence is very uncertain about the effect of sarilumab on outcomes All-cause mortality D28 (RR 0.94, 95% CI 0.63 to 1.41, 3 RCTs, very low certainty of evidence); All-cause mortality D60 (RR 0.96, 95% CI 0.85 to 1.09, 4 RCTs, very low certainty of evidence); Clinical improvement D28 (RR 0.98, 95% CI 0.87 to 1.10, 1 RCT, very low certainty of evidence) and SAEs (RR 1.06, 95% CI 0.94 to 1.20, 4 RCTs, very low certainty of evidence), compared to standard care for severe/critical COVID-19 patients. Sarilumab compared to standard care may not increase AEs (RR 1.08, 95% CI 0.99 to 1.17, 3 RCTs, moderate certainty of evidence).

**SoF von 4 RCTS:  
unsichere Evidenz zu  
Sarilumab**

Table 3.10-1: Summary of findings table on **Sarilumab compared to Standard Care for Severe/Critical COVID-19** (4 RCTs: Gordon REMAP-CAP, Lescure, Sivapalasingam, Derde REMAP-CAP)

**Sarilumab compared to Standard Care for Severe/Critical COVID-19** (last update 11/07/2021)

**Patient or population:** Hospitalised (Severe/Critical COVID-19)

**Setting:** Worldwide

**Intervention:** Sarilumab

**Comparison:** Standard Care

Outcome	Anticipated absolute effects (95% CI) <sup>a</sup>		Relative effect (95% CI)	Number of participants (studies)	Certainty of evidence	Comments
	Risk with Standard treatment	Risk with Sarilumab				
<b>All-cause mortality D28</b>	272 per 1000	255 per 1000	<b>RR: 0.94</b> (0.63 - 1.41)	2210 (3 RCTs) <sup>b, c, d</sup>	⊕⊕⊕○ VERY LOW <sup>e</sup>	<b>Absolute effect (95% CI)</b> <b>16 fewer per 1000</b> (from 101 fewer to 111 more)
<b>All-cause mortality D60</b>	305 per 1000	292 per 1000	<b>RR: 0.96</b> (0.85 - 1.09)	3110 (4 RCTs) <sup>f</sup>	⊕⊕⊕○ VERY LOW <sup>g</sup>	<b>Absolute effect (95% CI)</b> <b>12 fewer per 1000</b> (from 46 fewer to 27 more)
<b>Clinical improvement D28</b>	559 per 1000	548 per 1000	<b>RR: 0.98</b> (0.87 - 1.10)	1330 (1 RCTs) <sup>h</sup>	⊕⊕⊕○ VERY LOW <sup>i</sup>	<b>Absolute effect (95% CI)</b> <b>11 fewer per 1000</b> (from 73 more to 56 more)
<b>Number of patients with any adverse event</b>	574 per 1000	619 per 1000	<b>RR: 1.08</b> (0.99 - 1.17)	2207 (3 RCTs) <sup>j</sup>	⊕⊕○○ LOW <sup>k</sup>	<b>Absolute effect (95% CI)</b> <b>46 more per 1000</b> (from 6 fewer to 98 more)
<b>Number of patients with serious adverse events</b>	225 per 1000	239 per 1000	<b>RR: 1.97</b> (0.94 - 1.20)	2667 (4 RCTs) <sup>l</sup>	⊕○○○ VERY LOW <sup>m</sup>	<b>Absolute effect (95% CI)</b> <b>14 more per 1000</b> (from 14 fewer to 45 more)

CI: Confidence interval; RR: Risk ratio

**GRADE Working Group grades of evidence High certainty:** We are very confident that the true effect lies close to that of the estimate of the effect; **Moderate certainty:** We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different; **Low certainty:** Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect; **Very low certainty:** We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

**Explanations:** aThe risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI); b Lescure, 2021; c Gordon, REMAP-CAP, 2021; d Sivapalasingam, 2021 (2); e Risk of bias: Serious Risk of bias downgraded by 1 level: regarding adequate randomisation, deviation from intended intervention and missing data Imprecision: Very serious Imprecision downgraded by 2 level: due to wide confidence interval consistent with the possibility for benefit and the possibility for harm low number of participants f Lescure, 2021; Sivapalasingam, 2021 (1); Sivapalasingam, 2021 (2); Derde, 2021; g Risk of bias: Serious Risk of bias downgraded by 1 level: some concerns regarding adequate randomization, deviation from intended intervention, missing data and selection of reported results Imprecision: Very serious Imprecision downgraded by 2 level: due to wide confidence interval consistent with the possibility for benefit and the possibility for harm and low number of participants; h Sivapalasingam, 2021 (2); i Risk of bias: Serious Risk of bias downgraded by 1 level: some concerns or high risk regarding adequate randomization, missing data and selection of reported results Indirectness: Serious despite a multicentre design this is a single study from a single country, therefore results in this population might not be generalizable to other settings. Imprecision: Serious due to low number of participants; j Lescure, 2021; Sivapalasingam, 2021 (1); Sivapalasingam, 2021 (2); k Risk of bias: Serious Risk of bias downgraded by 1 level: some concerns regarding adequate randomization, missing data and selection of reported results Imprecision: Serious due to low number of participants; l Lescure FX, 2021; Gordon AC, REMAP-CAP, 2021; Sivapalasingam S, 2021 (1); Sivapalasingam S, 2021 (2); m Risk of bias: Serious Risk of bias downgraded by 1 level: some concerns regarding adequate randomization, deviation from intended intervention, missing data and outcome measurement Imprecision: Very serious Imprecision downgraded by 2 level: Imprecision downgraded by 2 level: due to wide confidence interval consistent with the possibility for no effect and the possibility for harm and low number of participants.

### 3.11 Interferon beta 1a (SNG001) (Rebif®, Avonex®) and Interferon beta 1b (Betaferon®, Extavia®)

#### About the drug under consideration

Interferon beta-1a (INFb) is a cytokine in the interferon family used to treat relapsing multiple sclerosis (MS). Finding of studies in patients with MERS-CoV have led to exploration of treatment with INFb in COVID-19 [145].

Two pharmaceuticals which the active substance Interferon beta-1a are commercially available: Rebif® and Avonex®. They are used to slow the progression of disability and reduce the number of relapses in MS. Rebif is approved by the European Medicines Agency (EMA) since 1998 and by the American Food and Drug Administration (FDA) since 2002. Avonex is approved by EMA since 1997 and by the FDA since 1996. Both drugs are approved for the treatment of relapsing forms of multiple sclerosis (MS), in cases of clinically isolated syndromes, as well as relapsing remitting disease, and active secondary progressive disease in adults.

Two pharmaceuticals, with the active substance Interferon beta-1b, are commercially available in EU: Betaferon® and Extavia® to treat adults with multiple sclerosis (MS) [146, 147]. Betaferon® is approved by the European Medicines Agency (EMA) since 1995. Extavia® is approved by EMA since 2008. Interferon beta-1a and beta-1b are not approved for COVID-19 patients treatment.

The US COVID-19 Treatment Guidelines Panel [113] **recommends against** use of the **interferons (alfa or beta)** for the treatment of **severely or critically ill** patients with COVID-19, except in the context of a clinical trial (AIII).

There are **insufficient data** for the Panel to recommend **either for or against** the use of the **Interferon-beta** for the treatment of early (i.e., <7 days from symptom onset) **mild and moderate** COVID-19.

#### Withdrawn, suspended or terminated studies

One RCT was found as suspended, NCT04469491 (COV-NI), on interferon beta 1b by nebulization in France (in anticipation for Data and Safety Monitoring Board). One RCT, on interferon beta 1a, was found as terminated (NCT04449380, INTERCOP) due to futility.

#### Results of publications

The results from the first randomised controlled trial on triple combination of interferon beta-1b, lopinavir–ritonavir and ribavirin, in comparison with lopinavir–ritonavir (NCT04276688) are presented in Section 3.14 of this report [148].

Results from **Huang et al. 2020 (ChiCTR2000029387)** [149] related to Ribavirin Plus Interferon-Alpha, Lopinavir/Ritonavir Plus Interferon-Alpha, and Ribavirin Plus Lopinavir/Ritonavir Plus Interferon-Alpha in Patients With Mild to Moderate COVID-19 were presented in Section 3.14 of this report.

**INFb Präparate bei Multipler Sklerose zugelassen (EMA)**

**Interferon beta-1a: Rebif® Avonex® seit 1997/1998 zugelassen nicht für Covid-19**

**Interferon beta-1b: Betaferon® and Extavia® seit 1995/2008 zugelassen nicht für Covid-19**

**Empfehlung des US COVID-19 Treatment Guidelines Panel: nur in klinischen Studien**

**Kombinationstherapie: Ergebnisse in 3.14**

**August 2020: 2 RCTs publiziert 1 RCT zu Kombinations-therapie in 3.14**



**Esquivel-Moynelo et al. 2020** [150] presented the results from a RCT for efficacy and safety evaluation of subcutaneous **IFN -α2b and IFNγ** administration in 79 patients positive to SARS-CoV-2. Patients were randomly assigned in a 1:1 ratio to receive either, subcutaneous treatment with a combination of 3.0 MIU IFN-α2b and 0.5 MIU IFN-γ, twice a week for two weeks, or thrice a week intramuscular injection of 3.0 MIU IFN-α2b. Additionally, all patients received lopinavir-ritonavir 200/50 mg every 12 h and chloroquine 250 mg every 12 h (standard of care). None of the patients developed severe COVID-19 during the study or the epidemiological follow-up for 21 more days.

**1 RCT**  
**79 Pts.**  
**Kombinationstherapie IFN (unterschiedliche Dosierungen) + Kaletra**  
**79 symptomatische/ asymptomatische Pts.**

**Monk et al. 2020** published results from randomised, double-blind, placebo-controlled, phase 2 pilot trial at nine UK sites (NCT04385095) [151]. 101 COVID-19 hospitalized adult patients were randomly assigned (1:1) to receive **inhaled nebulised interferon beta-1a** (SNG001) (6 MIU) or **placebo** by inhalation via a mouthpiece daily for 14 days. 66 (67%) patients required oxygen supplementation at baseline: 29 in the placebo group and 37 in the SNG001 group. Patients receiving SNG001 had greater odds of improvement on the OSCI scale (odds ratio 2.32 [95% CI 1.07–5.04]; p=0.033) on day 15 or 16 and were more likely than those receiving placebo to recover to an OSCI score of 1 (no limitation of activities) during treatment (hazard ratio 2.19 [95% CI 1.03–4.69]; p=0.043). No significant difference was found between treatment groups in the odds of hospital discharge by day 28: 39 (81%) of 48 patients had been discharged in the nebulised interferon beta-1a group compared with 36 (75%) of 48 in the placebo group (OR 1.84 [95% CI 0.64–5.29]; p=0.26). There was no significant difference between treatment groups in the odds of intubation or the time to intubation or death. SNG001 was well tolerated: the most frequently reported treatment-emergent adverse event was headache (seven [15%] patients in the SNG001 group and five [10%] in the placebo group). There were three deaths in the placebo group and none in the SNG001 group.

**1 RCT**  
**101 Pts**  
**inhaltiertes INF**

**Vorteil bei klinischen Verbesserungen, nicht aber bei Dauer des Spitalsaufenthalts**

**Davoudi-Monfared et al. 2020** published results related to the RCT on **Interferon beta-1a** treatment (n=46) vs the **standard of care** (n=46), in 92 patients with severe COVID-19 in Iran (**IRCT20100228003449N28**) [152]. Finally 81 patients (42 in the IFN and 39 in the control group) completed the study. Time to the clinical response was not significantly different between the IFN and the control groups (9.7 +/- 5.8 vs. 8.3 +/- 4.9 days respectively, P=0.95). On day 14, 66.7% vs. 43.6% of patients in the IFN group and the control group were discharged, respectively (OR= 2.5; 95% CI: 1.05- 6.37). The 28-day overall mortality was significantly lower in the IFN then the control group (19% vs. 43.6% respectively, p= 0.015). Early administration significantly reduced mortality (OR=13.5; 95% CI: 1.5-118).

**RCT (Iran)**  
**92 Pts**

**Reduktion der 28-Tages Mortalität insb. bei früherer Verabreichung von IFN**

**Rahmani et al. 2020** [153] published the results of RCT evaluated efficacy and safety of interferon (IFN) β-1b in the treatment of 80 patients with severe COVID-19 (**IRCT20100228003449N27**). Patients in the IFN group received **IFN β-1b** (250 mcg subcutaneously every other day for two consecutive weeks) along with the national protocol medications while in the **control** group, patients received only the **national protocol medications** (lopinavir/ritonavir or atazanavir/ritonavir plus hydroxychloroquine for 7–10 days). 33 patients in each group completed the study. Time to clinical improvement in the IFN group was significantly shorter than the control group ([9(6–10) vs. 11(9–15) days respectively, p = 0.002, HR = 2.30; 95% CI: 1.33–3.39]). At day 14, the percentage of discharged patients was 78.79% and 54.55% in the IFN and control groups respectively (OR = 3.09; 95% CI: 1.05–9.11, p = 0.03). ICU admission rate in the control group was significantly higher than the IFN group (66.66% vs. 42.42%, p = 0.04). The duration of hospitalization and ICU stay

**RCT (Iran)**  
**80 Pts**  
**Zeit zur klinischen Verbesserung signifikant kürzer mit IFN, weniger ICU Einweisungen**

**nicht aber Dauer der Hospitalisierung und in ICU**

were not significantly different between the groups. All-cause 28-day mortality was 6.06% and 18.18% in the IFN and control groups respectively ( $p = 0.12$ ).

In **SOLIDARITY (INF) RCT (ISRCTN83971151)** results on comparisons of subcutaneous **interferon beta-1a vs standard care** in patients with mild to critical COVID-19 admitted to 405 centers in 30 countries were published as preprint [154, 155]. In 11,266 adults were randomized, with 2750 allocated remdesivir, 954 hydroxychloroquine, 1411 lopinavir, 651 interferon plus lopinavir, 1412 only interferon, and 4088 no study drug. Death rate ratio for interferon was not statistically significant different in comparison with control group: RR=1.16 (0.96-1.39,  $p=0.11$ ; 243/2050 vs 216/2050) (or 1.12, 0.83-1.51, without lopinavir co-administration). The same is true for outcomes Initiation of ventilation or Hospitalisation duration.

**Pandit et al. 2021** [156] published results of RCT conducted in 40 patients with moderate COVID-19 (**PEG IFN- $\alpha$ 2b** plus SOC, vs SOC alone). The primary endpoint was improvement in clinical status on day 15, measured by the WHO 7-point ordinal scale. Overall, 19 (95.00%) subjects in PEG IFN- $\alpha$ 2b plus SOC had achieved clinical improvement on day 15 compared to 13 (68.42%) subjects in SOC ( $p < 0.05$ ); 80% and 95% of subjects in the PEG IFN- $\alpha$ 2b plus SOC group had a negative RT-PCR result on day 7 and day 14, respectively, compared to 63% and 68% in the SOC group. Adverse events were reported for eleven subjects in the PEG IFN- $\alpha$ 2b plus SOC group and eight subjects in the SOC group. All reported AEs were mild.

**Darazam et al.** [157] published as preprint as well as scientific article [158] results from three-armed, individually-randomized, open-label, controlled trial of **IFN $\beta$ 1a** and **IFN $\beta$ 1b**, comparing them against each other and a **control** group (**NCT04343768**). Patients were randomly assigned in a 1:1:1 ratio to IFN $\beta$ 1a (subcutaneous injections of 12,000 IU on days 1, 3, 6), IFN $\beta$ 1b (subcutaneous injections of 8,000,000 IU on days 1, 3, 6), or the control group. A total of 60 severely ill patients with positive RT-PCR and Chest CT scans underwent randomization (20 patients to each arm). In the Intention-To-Treat population, IFN $\beta$ 1a was associated with a significant difference against the control group, in the outcome Time to clinical improvement ( $\bar{}$ ; (HR; 2.36, 95% CI=1.10-5.17,  $p=0.031$ ) while the IFN $\beta$ 1b indicated no significant difference compared with the control; HR; 1.42, (95% CI=0.63-3.16,  $p=0.395$ ). The mortality was numerically lower in both of the intervention groups (20% in the IFN $\beta$ 1a group and 30% in the IFN $\beta$ 1b group vs. 45% in the control group). There were no significant differences between the three arms regarding the adverse events.

**Summary of Findings table** related to **meta-analysis** on results of **4 RCTs** (Davoudi-Monfared, Rahmani, SOLIDARITY-INF, Darazam COVIFERON), on comparisons of **interferon beta-1a vs standard of care** in patients with moderate/severe/critical COVID-19 patients, is presented in Table 3.11-1. In summary, according to currently available very low certainty of evidence, the evidence is very uncertain about the effect of interferon beta-1a on outcomes: WHO progression score level 7 or above D28 (RR 0.46, 95% CI 0.24 to 0.90, 2 RCTs) and All-cause mortality D28 (RR 0.67, 95% CI 0.38 to 1.18, 4 RCTs).

**SOLIDARITY**  
**651 Pts INF + lopinavir,**  
**1.412 Pts. nur INF**  
**keine Unterschiede bei**  
**den Endpunkten**

**RCT**  
**40 Pts.**  
**geringe Unterschiede bei**  
**Endpunkten**

**3-armiger RCT:**  
**60 Patient\*innen**  
**schwer Erkrankung**

**bessere klin. Ergebnisse**  
**und Mortalität unter**  
**IFN $\beta$ 1a und IFN $\beta$ 1b**

**SoF Tabelle zu 4 RCTs:**  
**niedrige**  
**Aussagesicherheit der**  
**Studien zur**  
**Verbesserungen und**  
**Gesamtmortalität**

Table 3.11-1: Summary of findings table on **Interferon  $\beta$ -1a compared to Standard Care for Hospitalised COVID-19 patients** (4 RCTs: Davoudi-Monfared, Rahmani, SOLIDARITY-INF, Darazam COVIFERON) – [https://covid-nma.com/living\\_data/index.php](https://covid-nma.com/living_data/index.php)

### Interferon $\beta$ compared to Standard Care for Hospitalised COVID-19 patients

**Patient or population:** COVID-19

**Setting:** Worldwide Hospital

**Intervention:** Interferon  $\beta$

**Comparison:** Standard Care/Placebo

Outcome	Anticipated absolute effects (95% CI) <sup>a</sup>		Relative effect (95% CI)	Number of participants (studies)	Certainty of evidence	Comments
	Risk with Standard treatment/Placebo	Risk with Interferon Beta				
<b>All-cause mortality D28</b>	115 per 1,000	77 per 1,000	<b>RR: 0.67</b> (0.38 - 1.18)	4352 (4 RCTs) b	Very low certainty d	<b>38 fewer per 1000</b> (from 71 fewer to 21 more)
<b>Viral negative conversion D7</b>	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported
<b>Clinical improvement D28</b>	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported
<b>WHO progression score (level 7 or above) D28</b>	268 per 1,000	123 per 1,000	<b>RR: 0.46</b> (0.24 - 0.9)	165 (2RCTs) c	Very low certainty e	<b>145 fewer per 1000</b> (from 204 fewer to 27 fewer)
<b>Number of patients with adverse events</b>	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported
<b>Number of patients with serious adverse events</b>	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported

**Explanations:** a The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI); b Davoudi-Monfared E, 2020; Rahmani H, 2020; Pan H, SOLIDARITY, 2020; Darazam IA, COVIFERON, 2021; c Davoudi-Monfared E, 2020; Rahmani H, 2020; d Risk of bias: Serious some concerns regarding adequate randomization, deviation from intended intervention and selection of reported results, and high risk regarding missing data Inconsistency: Serious I<sup>2</sup>=66% Imprecision: Serious due to wide confidence interval consistent with the possibility for benefit and the possibility for no effect and low number of participants and events; e Risk of bias: Serious Risk of bias downgraded by 1 level: some concerns regarding adequate randomization, deviation from intended intervention, selection of reported results and high risk regarding missing data Indirectness: Serious Indirectness downgraded by 1 level: studies from a single country, therefore results in this population might not be generalizable to other settings Imprecision: Serious due to low number of events and/or participants. **GRADE Working Group grades of evidence: High certainty:** We are very confident that the true effect lies close to that of the estimate of the effect; **Moderate certainty:** We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different; **Low certainty:** Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect; **Very low certainty:** We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

## 3.12 Convalescent plasma transfusion

The reader is referred to the earlier version (V15\_June 2021) for more details on Convalescent plasma treatment in COVID-19 patients.

On August 23, 2020 the FDA issued an **emergency use authorization (EUA)** for investigational convalescent plasma for the treatment of COVID-19 in hospitalized patients [165]. On **February 4 2021**, FDA announced that this **EUA** is **being revised** to authorize **only the use of high titer COVID-19 convalescent plasma**, for the treatment of **hospitalized patients** with COVID-19, **early in the disease course** and those hospitalized with **impaired humoral immunity**. The use of low titer COVID-19 convalescent plasma is no longer authorized under this EUA. COVID-19 convalescent plasma should not be considered a new standard of care for the treatment of patients with COVID-19. Given that the clinical evidence supporting this EUA remains limited, data from additional randomized, controlled trials are needed. Under this EUA, authorized COVID-19 convalescent plasma will be obtained from registered or licensed blood establishments from donors in the United States or its territories in accordance with applicable regulations, policies, and procedures. Testing for relevant transfusion-transmitted infections must be performed and the donation must be found suitable. Plasma donations must be tested by registered or licensed blood establishments for anti-SARSCoV-2 antibodies as a manufacturing step to determine suitability before release, using one of the tests listed in the EUA document, <https://www.fda.gov/media/141477/download>.

Current US **NIH COVID-19 Treatment Guidelines** (last updated April 21, 2021): The Panel **recommends against** the use of **low-titer COVID-19 convalescent plasma** for the treatment of COVID-19 (**AIIB**).

For hospitalised patients with COVID-19 who do not have impaired immunity

1. The Panel **recommends against** the use of COVID-19 **convalescent plasma** for the treatment of COVID-19 in mechanically ventilated patients (**AI**).
2. The Panel **recommends against** the use of **high-titer COVID-19 convalescent plasma** for the treatment of COVID-19 in hospitalised patients who do not require mechanical ventilation, except in a clinical trial (**AI**).

For hospitalised patients with COVID-19 who have impaired immunity

- There are insufficient data for the Panel to recommend either for or against the use of high-titer COVID-19 convalescent plasma for the treatment of COVID-19.

For nonhospitalised patients with COVID-19

- There are insufficient data for the Panel to recommend either for or against the use of high-titer COVID-19 convalescent plasma for the treatment of COVID-19 in patients who are not hospitalised, except in a clinical trial.

### Results of publications

The reader is referred to the earlier version (V15\_June 2021) for more details on previously published results from RCTs.

Two more studies were published from previous version (June 2021).

**FDA im August 2020:  
Emergency  
UseAuthorization (EUA)**

**Feb 2021: EUA Revision**

**Verabreichung von  
Rekonvalszentenplasma  
nur mehr im frühen  
Stadium von  
hospitaliserten  
Patient\*innen und  
mit Plasma mit hohem  
Titer zugelassen**

**US NIH COVID-19  
Treatment Guidelines:**

**Empfehlung gegen CVP  
oder  
insuffiziente Datenlage**

**Estcourt et al. 2021** on behalf of the **REMAP-CAP Investigators** published as preprint [181] results from ongoing adaptive platform trial (NCT02735707), in which **critically ill patients** with confirmed Covid-19, defined as receiving intensive care-level organ support, were randomized to open-label convalescent plasma or not (i.e., control group). The primary end point was organ support-free days (i.e., days alive and free of ICU-based organ support) up to day 21. The convalescent plasma intervention was stopped after pre-specified criteria for futility were met. At that time, 1084 participants had been randomized to convalescent plasma and 916 to no convalescent plasma (control). The median organ support-free days were 0 (interquartile range, -1 to 16) for the convalescent plasma group and 3 (interquartile range, -1 to 16) days for the control group. The median adjusted odds ratio (OR) was 0.97 (95% credible interval 0.83 to 1.15) and posterior probability of futility (OR < 1.2) was 99.4% for convalescent plasma compared to control. In-hospital mortality was 37.3% (401/1075) in convalescent plasma group, and 38.4% (347/904) in controls. The observed treatment effects were consistent across primary and secondary outcomes. Authors concluded that in critically ill adults with confirmed Covid-19, treatment with convalescent plasma, did not improve clinical outcomes.

**Begin et al. 2021** on behalf of CONCOR-1 Study Group [182] published, as preprint, results from RCT of convalescent plasma for adults with **COVID-19 receiving oxygen** within 12 days of respiratory symptom onset. Patients were allocated 2:1 to 500 mL of convalescent plasma or standard of care. The composite primary outcome was intubation or death by 30 days. The trial was terminated at 78% of planned enrollment after meeting stopping criteria for futility. 940 patients were randomized and 921 patients were included in the intent-to-treat analysis. Intubation or death occurred in 199/614 (32.4%) in the convalescent plasma arm and 86/307 (28.0%) in the standard of care arm; relative risk (RR) 1.16 (95% confidence interval (CI) 0.94-1.43; p=0.18). Patients in the convalescent plasma arm had more serious adverse events (33.4% vs.26.4%; RR=1.27, 95% CI 1.02-1.57, p=0.034).

The **Living Systematic Review with meta-analysis**, related to **17 RCTs**: Li et al. 2020 [166], Gharbharan et al. 2020 [167], Avendano-Sola et al. 2020 [141], Agarwal et al. 2020 [169], Simonovich [172], AlQahtani et al. 2020, Libster et al. 2020 [173], Ray et al. 2020, Rasheed et al. 2020 [174], Salman et al. 2020 [175], Horby RECOVERY [183], O'Donnell [179], Bajpai et al. 2021, Pouladzadeh et al. 2021, Bennett-Guerrero et al. 2021, Koerper et al. 2021 and Estcourt REMAP-CAP Investigators 2021 [181] with **Summary of findings** table is provided in Table 3.12-1. In summary, according to currently available evidence, convalescent plasma probably does not reduce All-cause mortality D28 (RR 0.97, 95% CI 0.92 to 1.03, 13 RCTs, moderate certainty of evidence); probably does not increase incidence of clinical improvement D28 (RR 1.00, 95% CI 0.97 to 1.03, 6 RCTs, moderate certainty of evidence); may not decrease WHO progression score level 7 or above D28 (RR 0.77, 95% CI 0.56 to 1.07, 4 RCTs, low certainty of evidence); probably does not increase incidence of Adverse events (RR 1.05, 95% CI 0.94 to 1.18, 6 RCTs, moderate certainty of evidence) and may not increase Serious adverse events (RR 1.09, 95% CI 0.77 to 1.53, 10 RCTs, low certainty of evidence). The evidence is very uncertain about the effect of convalescent plasma on further outcome: Viral negative conversion D7 (RR 1.64, 95% CI 0.88 to 3.06, 3 RCTs, very low certainty of evidence).

**Plattform Studie  
REMAP-CAP  
2.000 Patient\*innen,  
schwere Erkrankungen  
1.084 bekamen CVP**

**früher Stopp des  
Studienarms, weil  
CVP bei diesen Pts keine  
Verbesserungen zeigte**

**RCT CONCOR-1  
940 Patient\*innen  
CVP-Therapiebeginn  
innerhalb von 12 Tagen  
nach ersten Symptome  
früher Stopp, weil  
CVP bei diesen Pts keine  
Verbesserungen zeigte**

**Zusammenfassung von 17  
RCTs:  
niedrige Aussagsicherheit**

**kein Unterschied bei  
Gesamtmortalität,  
bei klinischer  
Verbesserung**

*Table 3.12-1: Summary of findings table on Convalescent plasma compared to Standard Care for Mild/Moderate/Severe/Critical COVID-19 (16 RCTs: Li, Gharbharan, Avendano-Sola, Agarwal, AlQahtani, Simonovich, Libster, Ray, Rasheed, Salman, Horby RECOVERY, O'Donnell, Bajpai, Pouladzadeh, Bennett-Guerrero, Koerper, Estcourt REMAP-CAP)*

**Convalescent plasma compared to Standard Care for Hospitalised COVID-19 patients** (update 28/06/2021)

**Patient or population:** Hospitalised COVID-19

**Setting:** Worldwide

**Intervention:** Convalescent plasma

**Comparison:** Standard Care/Placebo

Outcome	Anticipated absolute effects (95% CI) <sup>a</sup>		Relative effect (95% CI)	Number of participants (studies)	Certainty of evidence	Comments
	Risk with Standard treatment/Placebo	Risk with Convalescent plasma				
All-cause mortality D28	253 per 1,000	245 per 1,000	RR: 0.97 (0.92 - 1.03)	15253 (13 RCTs) b	Moderate certainty h	<b>8 fewer per 1000</b> (from 20 fewer to 8 more)
Viral negative conversion D7	482 per 1,000	791 per 1,000	RR: 1.64 (0.88 - 3.06)	459 (3 RCTs) c	Very low certainty i	<b>309 more per 1000</b> (from 58 fewer to 993 more)
Clinical improvement D28	650 per 1,000	650 per 1,000	RR: 1.00 (0.97 - 1.03)	12195 (6 RCTs) d	Moderate certainty j	<b>0 fewer per 1000</b> (from 19 fewer to 19 more)
WHO progression score (level 7 or above) D28	166 per 1,000	127 per 1,000	RR: 0.77 (0.56 - 1.07)	798 (4 RCTs) e	Low certainty k	<b>38 fewer per 1000</b> (from 73 fewer to 12 more)
Number of patients with adverse events	397 per 1,000	417 per 1,000	RR: 1.05 (0.94 - 1.18)	956 (6 RCTs) f	Moderate certainty l	<b>20 more per 1000</b> (from 24 fewer to 71 more)
Number of patients with serious adverse events	67 per 1,000	73 per 1,000	RR: 0.94 (0.72 - 1.23)	3197 (10 RCTs) g	Low certainty m	<b>6 more per 1000</b> (from 15 fewer to 35 more)

**GRADE Working Group grades of evidence:** **High certainty:** We are very confident that the true effect lies close to that of the estimate of the effect; **Moderate certainty:** We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different; **Low certainty:** Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect; **Very low certainty:** We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

**Explanations:** a The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI); b AlQahtani M, 2020; Avendaño-Solà C, 2020; Agarwal A, PLACID, 2020; Horby P, RECOVERY, 2021; Li L, 2020; Simonovich VA, PlasmAr, 2020; Ray Y, 2020, O Donnell M, 2021; Bajpai M, 2020; Koerper S, 2021; Bennett-Guerrero E, 2021; Libster 2021; Estcourt REMAP-CAP 2021; c Agarwal A, PLACID, 2020; Li L, 2020; Salman OH, 2020; d Horby P, RECOVERY, 2021; AlQahtani M, 2020; Gharbharan A, 2020; Li L, 2020; Simonovich VA, PlasmAr, 2020; Bennet-Guerrero E, 2021; e Avendaño-Solà C, 2020; Simonovich VA, 2020, O Donnell M, 2021, Libster 2021; f Li L, 2020; Libster R, 2020; Simonovich VA, 2020, O Donnell M, 2021; Bajpai M, 2020; Koerper S, 2021; g Avendaño-Solà C, 2020; Gharbharan A, 2020; Li L, 2020; Libster R, 2020; Simonovich VA, 2020, O Donnell M, 2021; Bajpai M, 2020; Koerper S, 2021; Bennet-Guerrero E, 2021; Estcourt REMAP-CAP 2021; h-Imprecision: Serious Imprecision downgraded by 1 level: due to wide confidence interval consistent with the possibility for benefit and the possibility for no effect; i Risk of bias: Serious Risk of bias downgraded by 1 level:some concerns regarding adequate randomization, deviations from intended interventions, missing data and selection of the reported result Inconsistency: Serious Inconsistency downgraded by 1 level:  $I^2=76%$  Imprecision: Very serious Imprecision downgraded by 2 levels: due to wide confidence interval consistent with the possibility for benefit and the possibility for no effect and low number of participants. j Risk of bias: Serious Risk of bias downgraded by 1 level: some concerns regarding adequate randomization, deviation from intended intervention, outcome measurement and selection of reported results; k Imprecision: Very serious due to wide confidence interval consistent with the possibility for benefit and the possibility for no effect and low number of participants; l Imprecision: Serious due to low number of participants; m Imprecision: Very serious Imprecision downgraded by 2 level: due to wide confidence interval consistent with the possibility for benefit and the possibility for no effect and low number of participants

### 3.13 Plasma derived medicinal products

#### Neutralizing monoclonal antibodies

As Marovich et al. 2020 [184] stated, **neutralizing monoclonal antibodies** to SARS-CoV-2 have the potential to be used for both prevention and treatment of infection. They can help to guide vaccine design and development as well. The main target of SARS-CoV-2 neutralizing monoclonal antibodies is the surface spike glycoprotein that mediates viral entry into host cells. Some products will include of a combination of 2 monoclonal antibodies targeting different sites on the spike protein. Due to long half-life of most monoclonal antibodies (approximately 3 weeks for IgG1), a single infusion should be sufficient. A potential limitation of monoclonal antibodies for treatment of COVID-19 is the unknown bioavailability of passively infused IgG in tissues affected by the disease, especially the lungs, which serve as a key target of SARS-CoV-2 infection. Due to the effect of viral diversity it will be important to monitor for the emergence of resistant viral mutations under selective pressure of monoclonal antibody treatment.

Possible disease enhancement include antibody-mediated enhancement of viral entry and replication in target cells (Fc-bearing monocytes or macrophages) and virus-antibody immune complexes and the associated cytokine release [184].

**neutralisierende  
monoklonale Antikörper:  
Prävention und  
Behandlung**

**Halbwertszeit bis  
3 Wochen von Vorteil**

**Nachteil: unbekannte  
Bioverfügbarkeit der  
infundierten Antikörper**

#### 3.13.1 REGN-COV2 - combination of two monoclonal antibodies (REGN10933 and REGN10987)

REGN-COV2 is combination of two monoclonal antibodies (REGN10933 and REGN10987) which bind non-competitively to the critical receptor binding domain of the virus's spike protein, which diminishes the ability of mutant viruses to escape treatment and protects against spike variants that have arisen in the human population.

A **phase 3 prevention trial** evaluates REGNCOV2's ability to prevent infection among uninfected people who have had close exposure to a COVID-19 patient (such as the patient's housemate) at approximately 100 sites and is expected to enroll 2,000 patients in the U.S.; the trial will assess SARS-CoV-2 infection status.

REGN-COV2 has also moved into the **phase 2/3** portion of **two adaptive phase 1/2/3 trials** testing the cocktail's ability to **treat hospitalised and non-hospitalised (or "ambulatory") patients with COVID-19**. The two phase 2/3 treatment trials in hospitalized (estimated enrollment =1,850) and non-hospitalized (estimated enrollment =1,050) patients are ongoing. Results from outpatient setting can be found below.

On September 14, 2020 the University of Oxford and Regeneron Pharmaceuticals, Inc. announced that **RECOVERY** (Randomised Evaluation of COVID-19 thERapY will evaluate Regeneron's investigational anti-viral antibody cocktail, REGNCOV2, <https://www.recoverytrial.net/news/recovery-covid-19-phase-3-trial-to-evaluate-regeneron2019s-regn-cov2-investigational-antibody-cocktail-in-the-uk>. The phase 3 open-label trial in patients hospitalised with COVID-19 will compare the effects of adding REGN-COV2 to the usual standard-of-care versus standard-of-care on its own.

**Kombination aus 2  
monoklonalen  
Antikörpern: Casirivimab  
+ Imdevimab**

**Phase 3  
REGNCOV2 Studie  
NIAID (NIH) Studie mit  
2.000 Teilnehmer\*innen**

**Behandlung von  
hospitalisierten und  
ambulanten  
Patiente\*innen  
1.050 Pts.  
In Planung**

**Sept 2020:  
RECOVERY nimmt  
REGNCOV2 als  
Studienmedikament auf**



## New SARS-CoV-2 Variants

On January 27, 2021, Regeneron Pharmaceuticals, Inc. announced that researchers in Columbia University lab [185] and Regeneron scientists have independently confirmed that REGEN-COV™ (casirivimab and imdevimab antibody cocktail) successfully neutralizes the circulating SARS-CoV-2 variants first identified in the UK (B.1.1.7) and South Africa (B.1.351), in preclinical research. Both antibodies retaining their potency against the B.1.1.7 variant; against the B.1.351 variant, imdevimab retained its potency and, while the casirivimab potency was reduced, it was still comparable to the potency that other single antibodies in development have against the original virus. Regeneron is conducting additional preclinical research against the variant first identified in Brazil (1.1.248), <https://investor.regeneron.com/news-releases/news-release-details/regen-covtm-antibody-cocktail-active-against-sars-cov-2-variants>.

In the FDA new revision related to REGN-COV2 and new variants, published on March 2021, casirivimab and imdevimab individually and together retained neutralization activity against pseudovirus expressing all spike protein substitutions found in the B.1.1.7 lineage (UK origin) and against pseudovirus expressing only N501Y found in B.1.1.7 and other circulating lineages (Table 3.13-1). Casivirimab and imdevimab together retained neutralization activity against pseudovirus expressing all spike protein substitutions, or individual substitutions K417N, E484K or N501Y, found in the B.1.1351 lineage (South Africa origin), and against K417T+E484K, found in the P.1 lineage (Brazil origin), although casirivimab alone, but not imdevimab, had reduced activity against pseudovirus expressing K417N or E484K, as indicated above. The E484K substitution is also found in the B.1.526 lineage (New York origin). Casivirimab and imdevimab, individually and together, retained neutralization activity against the L452R substitution found in the B.1.427/B.1.429 lineages (California origin). It is not known how pseudovirus data correlate with clinical outcomes [186].

**in präklinischer  
Forschung:  
REGN-COV auch gegen  
Mutationen wirksam**

**FDA Analyse zur  
Wirksamkeit bei  
unterschiedlichen  
Mutationen:**

**gleiche Wirksamkeit**

*Table 3.13-1. Pseudovirus neutralization data for SARS-CoV-2 variant substitutions with Casirivimab and Imdevimab together*

Lineage with Spike Protein Substitution	Key substitutions tested	Fold reduction in susceptibility
B.1.1.7 (UK origin)	N501Y <sup>a</sup>	no change <sup>c</sup>
B.1.351 (South Africa origin)	K417N, E484K, N501Y <sup>b</sup>	no change <sup>c</sup>
P.1 (Brazil origin)	K417T + E484K	no change <sup>c</sup>
B.1.427/B.1.429 (California origin)	L452R	no change <sup>c</sup>
B.1.526 (New York origin) <sup>d</sup>	E484K	no change <sup>c</sup>

a Pseudovirus expressing the entire variant spike protein was tested. The following changes from wild-type spike protein are found in the variant: del69-70, del145, N501Y, A570D, D614G, P681H, T716I, S982A, D1118H.

b Pseudovirus expressing the entire variant spike protein was tested. The following changes from wild-type spike protein are found in the variant: D80Y, D215Y, del241-243, K417N, E484K, N501Y, D614G, A701V.

c No change: <2-fold reduction in susceptibility.

d Not all isolates of the New York lineage harbor the E484K substitution (as of February 2021).

Source: [186]

### US COVID-19 Treatment Guidelines (last update July 8, 2021)

- The **US COVID-19 Treatment Guidelines Panel** recommends using one of the following combination anti-SARS-CoV-2 monoclonal antibodies to treat outpatients with mild to moderate COVID-19 who are at high risk of clinical progression, as defined by the EUA criteria (listed in alphabetical order):

#### Casirivimab plus imdevimab; *or* Sotrovimab.

- At this time, the Panel **recommends against** the use of **bamlanivimab plus etesevimab** in these patients due to an increase in the prevalence of potentially resistant variants (**AIII**).
- Treatment should be started as soon as possible after the patient receives a positive result on a SARS-CoV-2 antigen or nucleic acid amplification test (NAAT) and within 10 days of symptom onset.
- There are no comparative data to determine whether there are differences in clinical efficacy or safety between bamlanivimab plus etesevimab, casirivimab plus imdevimab, or sotrovimab.
- The Panel **recommends against** the use of **anti-SARS-CoV-2 monoclonal antibodies** for patients who are hospitalised because of COVID-19, except in a clinical trial (**AIIa**). However, their use should be considered for persons with mild to moderate COVID-19 who are hospitalized for a reason other than COVID-19 but who otherwise meet the EUA criteria [112].

The Panel **recommends** (June 17, 2021,

<https://www.covid19treatmentguidelines.nih.gov/therapies/statement-on-casirivimab-plus-imdevimab-eua/>)

- Using the dose of casirivimab 600 mg plus imdevimab 600 mg (**AIIa**).
- Using IV infusion of casirivimab plus imdevimab (**AIIa**).
- When IV infusion is not feasible or would lead to delay in treatment, SQ injection of casirivimab plus imdevimab can be used as an alternative route of administration (**BIII**).

### Results of publication

On December 17 2020, Weinreich et al. [187] published **preliminary results of phase 1-2 portion** of ongoing double-blind, **phase 1–3 trial (NCT04425629)** involving **nonhospitalised** patients with **Covid-19**, randomly assigned (1:1:1) to receive placebo, **2.4 g** of REGN-COV2, or **8.0 g** of REGN-COV2 and were prospectively characterized at baseline for endogenous immune response against SARS-CoV-2 (serum antibody–positive or serum antibody–negative). In this interim analysis, data from 275 patients are reported: the REGN-COV2 antibody cocktail reduced viral load, with a greater effect in patients whose immune response had not yet been initiated or who had a high viral load at baseline. The same is true for medically attended visit, with a greater effect among patients who were serum antibody–negative at baseline. The percentages of patients with hypersensitivity reactions, infusion-related reactions, and other adverse events were similar in the combined REGN-COV2 dose groups and the placebo group.

### US COVID-19 Treatment Guidelines Panel

**Empfehlung FÜR Antikörper Kombinationstherapien**

**Casirivimab + Imdevimab oder Sotrovimab GEGEN Bamlanivimab + Etesevimab**

**keine Vergleichsstudien**

**Empfehlung gegen Antikörper Monotherapie Bamlanivimab**

**Empfehlung gegen Antikörpertherapie bei hospitalisierten Patient\*innen**

**Teilergebnisse von Phase 1–3 RCT**

**275 Pts.**

**Vorteile bei Viruslastreduktion Reduktion von Arztbesuchen**

On May 21, 2021 Weinreich et al. [188] published as preprint results from **phase 3 portion** of above mentioned adaptive, randomized, master protocol, included 4057 Covid-19 outpatients **with one or more risk factors for severe disease (NCT04425629)**. Patients were randomized to a single treatment of **intravenous** placebo, or various doses of REGEN-COV, and followed for 28 days. The prespecified hierarchical analysis first compared REGEN-COV **2400mg dose** vs concurrent placebo, then compared the **1200mg dose** vs concurrent placebo, for endpoints assessing risk of hospitalization or death, and time to symptom resolution. Safety was evaluated in all treated patients. Demographic and baseline medical characteristics were balanced between the placebo and REGEN-COV groups. Both REGEN-COV 2400mg and 1200mg significantly reduced Covid-19-related hospitalization or all-cause death compared to placebo (71.3% reduction [1.3% vs 4.6%;  $p < 0.0001$ ] and 70.4% reduction [1.0% vs 3.2%;  $p = 0.0024$ ], respectively). The median time to resolution of Covid-19 symptoms was 4 days shorter in both dose arms vs placebo (10 vs 14 days;  $p < 0.0001$ ). Efficacy of REGEN-COV was consistent across subgroups, including patients who were SARS-CoV-2 serum antibody-positive at baseline. REGEN-COV more rapidly reduced viral load than placebo. Serious adverse events occurred more frequently in the placebo group (4.0%) than in the 1200mg (1.1%) and 2400mg (1.3%) groups and grade  $\geq 2$  infusion-related reactions were infrequent ( $< 0.3\%$  in all groups).

On June 14, 2021 O'Brien et al. [189] published as preprint **phase 3 results** of early treatment of **asymptomatic, SARS-CoV-2-positive** adults and adolescents with **subcutaneous** REGEN-COV (NCT04452318). Individuals  $\geq 12$  years of age were eligible if identified within 96 hours of a household contact being diagnosed as SARS-CoV-2-positive; 314 were randomized 1:1 to receive subcutaneous REGEN-COV **1200 mg** or placebo. The primary endpoint was the proportion of infected participants without evidence of prior immunity (i.e., SARS-CoV-2-RT-qPCR-positive/seronegative) who subsequently developed symptomatic Covid-19 during a 28-day efficacy assessment period. Subcutaneous REGEN-COV 1200 mg significantly prevented progression from asymptomatic to symptomatic disease compared with placebo (31.5% relative risk reduction; 29/100 [29.0%] vs. 44/104 [42.3%], respectively;  $p = 0.0380$ ). REGEN-COV also reduced the overall population burden of high viral load weeks (39.7% reduction vs. placebo; 48 vs. 82 total weeks;  $p = 0.0010$ ) and of symptomatic weeks (45.3% reduction vs. placebo; 89.6 vs. 170.3 total weeks;  $p = 0.0273$ ), the latter corresponding to an approximately 5.6-day reduction per symptomatic participant. Six placebo-treated participants had a Covid-19-related hospitalisation or ER visit versus none for those receiving REGEN-COV. The proportion of participants receiving placebo who had  $\geq 1$  treatment-emergent adverse events was 48.1% compared to 33.5% for those receiving REGEN-COV, including Covid-19-related (39.7% vs. 25.8%, respectively) or non-Covid-19-related (16.0% vs. 11.0%, respectively) events. Authors concluded that subcutaneous REGEN-COV 1200mg prevented progression from asymptomatic to symptomatic infection, reduced the duration of high viral load and symptoms, and was well tolerated.

Data on moderate to very low certainty of evidence, related to effectiveness and safety of **REGN-COV2 1200 mg** compared to placebo, reported in these 2 RCTs mentioned above, can be found in the Table 3.13-2. In summary, based on the results of the phase 3 portion of two RCTs in **outpatients** with asymptomatic or mild Covid-19, the evidence is very uncertain about the effect of REGN-COV2 on outcome All-cause mortality D28 (RR 1.00, 95% CI 0.06 to 16.00, very low certainty of evidence). REGN-COV2 probably does not increase AEs (RR 0.70, 95% CI 0.53 to 0.93, moderate certainty of evidence) and may not increase SAEs (RR 0.11, 95% CI 0.01 to 2.07, low certainty of evidence).

**Phase 3 RCT**  
**4.057 ambulante Pts**  
**Risiko auf Progression**

**signifikante Reduktion**  
**der Hospitalisierungen**  
**in allen Subgruppen**

**Phase 3 RCT**  
**314 asymptomatische**  
**(covid-19 positive)**  
**Erwachsene**  
**subkutane Verabreichung**

**signifikant geringere**  
**Progression zu**  
**symptomatischer**  
**Erkrankung**

**SoF von 2 RCTs**  
**zu ambulanten**  
**Patient\*innen,**  
**asymptomatische/ mile**  
**Erkrankung**

**wenig sichere Evidenz**

Table 3.13-2: Summary of findings table, on *REGN-COV2 1200 mg vs placebo* (2 RCTs: O'Brien; Weinreich)**REGN-COV2 compared to Placebo for Asymptomatic and Mild outpatients** (last update 27/06/2021)**Patient or population:** Mild COVID-19**Setting:** Worldwide Outpatient**Intervention:** REGN-COV2 1200 mg**Comparison:** Placebo

Outcome	Anticipated absolute effects (95% CI) <sup>a</sup>		Relative effect (95% CI)	Number of participants (studies)	Certainty of evidence	Comments
	Risk with Placebo	Risk with REGN-COV2				
All-cause mortality D28	1 per 1000	1 per 1000	RR: <b>1.00</b> (0.06 - 16.00)	1992 (2 RCTs) <sup>b</sup>	○○○⊕ VERY LOW <sup>c</sup>	<b>Absolute effect (95% CI)</b> 0 fewer per 1000 (from 1 fewer to 15 more)
Number of patients with adverse events	475 per 1000	332 per 1000	RR: <b>0.70</b> (0.53 - 0.93)	314 (1 RCT) <sup>d</sup>	⊕⊕⊕○ MODERATE <sup>e</sup>	<b>Absolute effect (95% CI)</b> 142 fewer per 1000 (from 223 fewer to 33 fewer)
Number of patients with serious adverse events	25 per 1000	3 per 1000	RR: <b>0.11</b> (0.01 - 2.07)	314 (1 RCT) <sup>d</sup>	⊕⊕○○ LOW <sup>f</sup>	<b>Absolute effect (95% CI)</b> 23 fewer per 1000 (from 25 fewer to 27 more)

**CI:** Confidence interval; **RR:** Risk ratio; <sup>a</sup> The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI) <sup>b</sup> O'Brien, 2021; Weinreich, 2021 <sup>c</sup> Risk of bias: Serious Risk of bias downgraded by 1 level: some concerns regarding adequate randomization and missing data Imprecision: Very serious due to very wide confidence interval consistent with the possibility for benefit and the possibility for harm and low number of participants; <sup>d</sup> O'Brien, 2021; <sup>e</sup> Imprecision: Serious due to low number of participants; <sup>f</sup> Imprecision: Very serious due to very wide confidence interval consistent with the possibility for benefit and the possibility for harm and low number of participants

**GRADE Working Group grades of evidence**

**High certainty:** We are very confident that the true effect lies close to that of the estimate of the effect; **Moderate certainty:** We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different; **Low certainty:** Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect; **Very low certainty:** We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

On June 16, 2021 **RECOVERY** Collaborative Group published as preprint results from randomised, controlled, open-label platform trial, in which eligible and consenting **hospitalised COVID-19** patients were randomly allocated (1:1) to either usual standard of care alone (usual care group) or usual care plus a single dose of **REGEN-COV 8 g (casirivimab 4 g and imdevimab 4 g)** by **intravenous** infusion (REGEN-COV group). The primary outcome was 28-day mortality assessed first among patients without detectable antibodies to SARS-CoV-2 at randomisation (seronegative) and then in the overall population (**ISRCTN 50189673, NCT04381936**) [190]. 9785 patients were randomly allocated to receive usual care plus REGEN-COV or usual care alone, including 3153 (32%) seronegative patients, 5272 (54%) seropositive patients and 1360 (14%) patients with unknown baseline antibody status. In the primary efficacy population of **seronegative patients**, 396 (24%) of 1633 patients allocated to REGEN-COV and 451 (30%) of 1520 patients allocated to usual care died within 28 days (rate ratio 0.80; 95% CI 0.70-0.91;  $p=0.0010$ ). In an analysis involving all randomised patients (regardless of baseline antibody status), 944 (20%) of 4839 patients allocated to REGEN-COV and 1026 (21%) of 4946 patients allocated to usual care died within 28 days (rate ratio 0.94; 95% CI 0.86-1.03;  $p=0.17$ ). The proportional effect of REGEN-COV on mortality differed significantly between seropositive and seronegative patients ( $p$  value for heterogeneity = 0.001). For the **seronegative patients**, the **duration of hospital stay was four days shorter** (median 13 days vs. 17 days) among those allocated to the antibody combination than the usual care group, and the **proportion of patients discharged alive by day 28 was greater** (64% vs. 58%; rate ratio 1.19, 95% confidence interval 1.08 to 1.30). Among the seronegative patients not on invasive mechanical ventilation at baseline, **the risk of progressing to the composite endpoint of invasive mechanical ventilation or death was lower** among those allocated to the antibody combination than the usual care group (30% vs. 37%; risk ratio 0.83, 95% confidence interval 0.75 to 0.92). No such benefits were seen in the overall study population (combining patients with negative, positive, or unknown serostatus).

### Dose-ranging Virology Trial

A companion dose-ranging phase 2 trial of 803 outpatient COVID-19 patients was conducted to evaluate the antiviral effect of several different REGEN-COV doses (IV: 2,400 mg, 1,200 mg, 600 mg and 300 mg; SC: 1,200 mg and 600 mg). All tested doses met the primary endpoint, rapidly and significantly reducing patients' viral load (log<sub>10</sub> copies/mL) compared to placebo ( $p<0.001$ ). Each dose demonstrated similar efficacy, including the lowest doses tested (IV: 300 mg; SC: 600 mg). In addition, a companion phase 2 trial showed that even the lowest doses tested (IV: 300 mg; subcutaneous [SC]: 600 mg) had significant viral load reductions over the first 7 study days, comparable to the 2,400 mg and 1,200 mg IV doses. A safety assessment conducted on all available patient data up to day 169 identified no new safety signals. Serious adverse events (SAEs) were largely related to COVID-19 and occurred in 1.1% of patients in the 1,200 mg group, 1.3% in the 2,400 mg group and 4.0% in the placebo group.

### Safety issue in hospitalised patients

On 30 October 2020, Regeneron Pharmaceuticals, Inc. received a recommendation from the independent data monitoring committee (IDMC) for the REGN-COV2 antibody cocktail treatment trials for COVID-19 that the current **hospitalised patient** trial be modified. Specifically, based on a potential safety signal and an unfavorable risk/benefit profile at this time, the IDMC recommends further enrollment of patients requiring high-flow oxygen or mechanical ventilation be placed on hold pending collection and analysis of further data on patients already enrolled. The IDMC also

**Plattform Studie  
RECOVERY  
9.785 hospitalisierte Pts.**

**kein Unterschied in  
Mortalität bei  
seropositiven, wohl aber  
bei seronegativen Pts.**

**ebenso bei frühere  
Spitalsentlassung,  
Progression, invasive  
Beatmung**

**Phase 2  
Dosisfindungsstudie  
803 Pts.**

**auch niedrige  
Dosierungen reduzieren  
Viruslast**

**geringe Nebenwirkungen**

**Sicherheitswarnung für  
Kohorte hospitalisierte  
und künstlich beatmete  
Pts.**

recommends continuing enrollment of hospitalised patients requiring either no or low-flow oxygen as the risk/benefit remains acceptable in these cohorts. Finally, the IDMC recommends continuation of the outpatient trial without modification, <https://investor.regeneron.com/news-releases/news-release-details/regn-cov2-independent-data-monitoring-committee-recommends>.

#### Regulatory update:

On November 21, 2020, the U.S. Food and Drug Administration issued an **emergency use authorization (EUA)** for casirivimab and imdevimab to be administered together for the **treatment of mild to moderate COVID-19** in adults and pediatric patients (12 years of age or older weighing at least 40 kilograms [about 88 pounds]) with positive results of direct SARS-CoV-2 viral testing and who are **at high risk for progressing to severe COVID-19, including hospitalisation or death**. This includes those who are 65 years of age or older or who have certain chronic medical conditions [191]. In the **revised June 2021 version**, updates on authorized dosage (600 mg of casirivimab and 600 mg of imdevimab), routes of administration (subcutaneous route as an alternative for those who cannot receive intravenous infusion), as well as additional phase 3 results and safety with subcutaneous dosing are provided [192].

On **February 1<sup>st</sup>, 2021** EMA's human medicines committee (CHMP) has started a '**rolling review**' of data on REGN-COV2 antibody combination (casirivimab / imdevimab), based on preliminary results from a study that indicate a beneficial effect of the medicine in reducing the amount of virus in the nose and throat of non-hospitalised patients with COVID-19 [193]. Once finalised it will be the **basis for an EU marketing authorisation for this combination**.

On **February 26, 2021** EMA stated that the CHMP has completed its review to provide a **harmonised scientific opinion** at EU level to support national decision making on the possible use of the antibodies **before a formal authorisation is issued**. The Agency concluded that the combination (REGN-COV2) **can be used** for the treatment of **confirmed COVID-19 in patients who do not require supplemental oxygen and who are at high risk of progressing to severe COVID-19**. Risk factors may include but are not limited to advanced age; obesity; cardiovascular disease, including hypertension; chronic lung disease, including asthma; type 1 or type 2 diabetes mellitus; chronic kidney disease, including those on dialysis; chronic liver disease; immunosuppressed, based on prescriber's assessment [194, 195].

Regeneron is collaborating with Roche to increase global supply of REGEN-COV2. Regeneron is responsible for development and distribution of the treatment in the U.S., and Roche is primarily responsible for development and distribution outside the U.S.

**FDA: Notzulassung von von REGN-COV2**

**für milde bis moderate Erkrankung**

**seit Juni 2012: alternative Applikationsmöglichkeit**

**subkutan**

**Feb 2021: EMA beginnt "Rolling Review" zu REGN-COV**

**EMA: REGN-COV2 kann für bestätigte Covid-19 Pts, die hohes Risiko auf Fortschreiten zu schwerer Erkrankung haben, eingestzt werden**

**Regeneron Kooperation mit Roche**

### 3.13.2 LY-CoV555 - neutralizing IgG1 monoclonal antibody (bamlanivimab) and LY-CoV016 - recombinant fully human monoclonal neutralizing antibody (etesevimab)

LY-CoV555 is a neutralizing IgG1 monoclonal antibody (mAb) directed against the spike protein of SARS-CoV-2. It is designed to block viral attachment and entry into human cells, thus neutralizing the virus, potentially preventing and treating COVID-19.

**2 weitere mAb: LY-CoV555 (Bamlanivimab)**

LY-CoV016 (also known as JS016) is a recombinant fully human monoclonal neutralizing antibody, which specifically binds to the SARS-CoV-2 surface spike protein receptor binding domain with high affinity and can effectively block the binding of the virus to the ACE2 host cell surface receptor.

**LY-CoV016  
(Etesevimab)**

Lilly has successfully completed enrollment and primary safety assessments of LY-CoV555 in a **phase 1** study of hospitalised patients with COVID-19 (NCT04411628) and long-term follow-up is ongoing.

**LY-CoV555: Phase 1**

**BLAZE-1** (NCT04427501) is ongoing randomized, double-blind, placebo-controlled **phase 2** study designed to assess the efficacy and safety of LY-CoV555 and LY-CoV016 for the treatment of symptomatic COVID-19 in the **outpatient setting**. Across all treatment arms, the trial will enroll an estimated 800 participants.

**BLAZE-1: RCT, Phase 2  
800 Pts.  
LY-CoV555 &  
LY-CoV016**

A **phase 3** study for the **prevention** of COVID-19 in residents and staff at long-term care facilities (NCT04497987, **BLAZE-2**) is recently initiated.

**BLAZE-2: RCT, Phase 3  
initiiert**

In addition, LY-CoV555 is being tested in the National Institutes of Health-led **ACTIV-2** and **ACTIV-3** studies of **ambulatory** and **hospitalised** COVID-19 patients.

**NIH-Studien: ACTIV-2 and  
ACTIV-3**

To generate additional efficacy and safety data, a pragmatic, open-label study enrolling patients treated with either monotherapy or combination therapy, with a focus on collecting data regarding hospitalizations, deaths and safety, planned to be initiated in October 2020.

**pragmatic trial in Planung**

On 27 January 2021, **Eli Lilly and Company, Vir Biotechnology, Inc.** and **Glaxo Smith Kline plc** announced a collaboration to evaluate a **combination of two COVID-19 therapies in low-risk patients with mild to moderate COVID-19**. Lilly has expanded its ongoing **BLAZE-4** trial to evaluate the administration of **bamlanivimab (LY-CoV555) 700mg** with **VIR-7831 (dual-action monoclonal antibody, also known as GSK4182136) 500mg**, two neutralizing antibodies that bind to different epitopes of the SARS-CoV-2 spike protein [196].

**EliLilly Kooperation mit  
GSK zu Kombinations-  
therapie Bamlanivimab +  
VIR-7831**

**bei milder/moderater  
Erkrankung**

## New SARS-CoV-2 Variants

### Bamlanivimab plus etesevimab combination

In the FDA new revision published on May 2021, related to bamlanivimab plus etesevimab combination and new variants, resistant variants were identified using directed evolution of the spike protein and serial passage in cell culture of SARS-CoV-2 in the presence of bamlanivimab or etesevimab individually. Resistant variants were not identified when bamlanivimab and etesevimab were tested together using the same methodology [197]. (Table 3.13-3) [198].

**FDA Analyse zur  
Wirksamkeit bei  
unterschiedlichen  
Mutationen**

Table 3.13-3: Pseudovirus neutralization data for SARS-CoV-2 variant substitutions with bamlanivimab plus etesevimab together (1:2 molar ratio)

Lineage with Spike Protein Substitution	Key substitutions tested <sup>a</sup>	Fold reduction in susceptibility
B.1.1.7 (UK origin)	N501Y	no change <sup>b</sup>
B.1.351 (South Africa origin)	K417N + E484K + N501Y	215 <sup>c</sup>
P.1 (Brazil origin)	K417N + E484K + N501Y	> 46 <sup>c</sup>
B.1.427/B.1.429 (California origin)	L452R	9 <sup>d</sup>
B.1.526 (New York origin) <sup>e</sup>	E484K	31

Source: [197]

<sup>a</sup> For variants with more than one substitution of concern, only the substitution(s) with the greatest impact on activity is(are) listed. For B.1.351, P.1 and B.1.427/B.1.429, spike variants reflective of the consensus sequence for the lineage were tested.

<sup>b</sup> No change: <5-fold reduction in susceptibility

<sup>c</sup> Bamlanivimab and etesevimab together are unlikely to be active against variants from this lineage. No activity observed at the highest concentration tested for the P.1 variant.

<sup>d</sup> Etesevimab retains activity against this variant.

<sup>e</sup> Isolates of the B.1.526 lineage harbor several spike protein amino acid substitutions, and not all isolates contain the E484K substitution (as of February 2021). This assay was conducted using pseudotyped VLPs with the E484K substitution only.

### US COVID-19 Treatment Guidelines (last update July 8, 2021)

- The **US COVID-19 Treatment Guidelines Panel** recommends **against** using **Bamlanivimab plus etesevimab**-combination to treat outpatients with mild to moderate COVID-19 who are at high risk of clinical progression, as defined by the EUA criteria due to an increase in the prevalence of potentially resistant variants (**AIII**) [112].

**US COVID-19 Treatment Guidelines Panel:**  
**Empfehlung GEGEN Bamlanivimab Etesevimab Kombinationstherapie**

### Results of publications

**Final results** of the **phase 2 portion of BLAZE-1**, randomised, double-blind, placebo-controlled trial (**NCT04427501**) were published by Gottlieb et al. 2021 [199]. The BLAZE-1 study is a randomized phase 2/3 trial at 49 US centers including **ambulatory patients** (n = 613) who tested positive for SARS-CoV-2 infection and had 1 or more **mild to moderate** COVID-19 symptoms. Patients who received **bamlanivimab (LY-CoV555) monotherapy** or placebo were enrolled first followed by patients who received **bamlanivimab (LY-CoV555) and etesevimab (LY-CoV016) combination** or **placebo**. Patients were randomized to receive a single infusion of bamlanivimab (700 mg [n=101], 2800 mg [n=107], or 7000 mg [n=101]), the combination treatment (2800mg of bamlanivimab and 2800 mg of etesevimab [n=112]), or placebo (n=156). The primary end point was change in SARS-CoV-2 log viral load at day 11 ( $\pm 4$  days). Nine prespecified secondary outcome measures were evaluated with comparisons between each treatment group and placebo, and included 3 other measures of viral load, 5 on symptoms, and 1 measure of clinical outcome (the proportion of patients with a COVID-19–related hospitalization, an emergency department [ED] visit, or death at day 29).

**Phase 2/3 RCT BLAZE-1 613 Patient\*innen milde/ moderate Erkrankung**

**Monotherapie vs. Kombinationstherapie mit Etesevimab**

**Ergebnisse von Phase 2 Kohorte**



Data on high and moderate certainty of evidence, related to effectiveness and safety of bamlanivimab monotherapy and bamlanivimab + etesevimab compared to placebo and each other, reported in this RCT, prepared by Cruciani et al. [200-203], can be found in the Summary of Findings tables 3.13-3, 4 and 5. In summary, based on the final results of the phase 2 portion of one RCT in **outpatients** with recently diagnosed mild or moderate Covid-19, no deaths occurred in bamlanivimab, bamlanivimab + etesevimab combination and placebo group (high certainty of evidence).

Bamlanivimab 700 mg monotherapy and bamlanivimab 2800 mg + etesevimab 2800 mg treatment compared to placebo reduces COVID-19 related hospitalisation or visit to an emergency department at day 29 (high certainty of evidence). The change in mean total symptom score from baseline to day 11 was favouring the 700 mg monotherapy group (high certainty of evidence) and the bamlanivimab + etesevimab combination group (moderate certainty of evidence).

Bamlanivimab and bamlanivimab + etesevimab treatment compared to placebo does not increase number of patients with adverse events or number of serious adverse events (high certainty of evidence). The same is true for bamlanivimab compared to bamlanivimab + etesevimab treatment. Bamlanivimab monotherapy or bamlanivimab + etesevimab treatment, compared to placebo, does not accelerate the natural decline in viral load over time (high certainty of evidence). The same is true for bamlanivimab compared to bamlanivimab + etesevimab treatment.

On January 26, 2021 Eli Lilly and Company announced **unpublished results from phase 3 BLAZE-1 RCT** on the combination therapy arms enrolled **mild to moderate**, recently diagnosed **COVID-19 patients** who are at **high risk for progressing to severe COVID-19 and/or hospitalisation**, studying **bamlanivimab 2800 mg plus etesevimab 2800 mg versus placebo**. The primary outcome measure for the phase 3 portion of the BLAZE-1 trial was the percentage of participants who experience COVID-related hospitalizations or death from any cause by day 29. The key secondary endpoints were change from baseline to day 7 in SARS-CoV-2 viral load, persistently high SARS-CoV2 viral load on day 7, time to sustained symptom resolution, and COVID-related hospitalization, ER visit or death from any cause from baseline by day 29. Additional endpoints include change from baseline in viral load at other time points, symptom improvement, symptom resolution, as well as safety.

Bamlanivimab (LY-CoV555) 2800 mg and etesevimab (LY-CoV016) 2800 mg together significantly reduced COVID-19-related hospitalisations and deaths in high-risk patients recently diagnosed with COVID-19. Across 1,035 patients, there were 11 events (2.1 percent) in patients taking therapy and 36 events (7.0 percent) in patients taking placebo, representing a 70 percent risk reduction ( $p=0.0004$ ). There were 10 deaths total, all of which occurred in patients taking placebo, and no deaths in patients taking bamlanivimab and etesevimab together. Bamlanivimab and etesevimab together also demonstrated statistically significant improvements on all key secondary endpoints, providing strong evidence that the therapy reduced viral load and accelerated symptom resolution. The safety profile of bamlanivimab and etesevimab together was consistent with observations from other phase 1, phase 2 and phase 3 trials evaluating these antibodies. Serious adverse events were reported at a similar frequency in the bamlanivimab and etesevimab together and placebo groups.

Recently, on May 31, 2021 EUnetHTA Rapid Review was published on this topic [204].

**kein Unterschied bei Mortalität**

**signifikante Unterschiede bei Hospitalisierung, Besuch in Notfallambulanz unter Kombinationstherapie und Monotherapie**

**bessere Symptomkontrolle, aber unter beiden Interventionen**

**aber: keine raschere Viruslastreduktion**

**gleiche Nebenwirkungen**

**Phase 2/3 RCT BLAZE-1 1.035 Patient\*innen Ergebnisse von Phase 3 Kohorte milde/ moderate Erkrankung, aber Risiko progredienter Erkrankung**

**signifikante Reduktion von Hospitalisierung und Mortalität**

**von 1.035 Pts 2,1% Ereignisse in Interventionsgruppe, 7% in Kontrollgruppe**

**Todesfälle nur in Placebogruppe**

**gleiche Nebenwirkungen**

**EUnetHTA Bericht**

On March 10, 2021 Eli Lilly and Company announced new data from the **BLAZE-1 phase 3 study**, demonstrating **bamlanivimab (LY-CoV555) 700 mg** and **etesevimab (LY-CoV016) 1400 mg together** significantly reduced COVID-19 related hospitalizations and deaths ("events") in **high-risk patients** recently diagnosed with COVID-19.

This phase 3 cohort of BLAZE-1 included 769 high-risk patients, aged 12 and older with **mild to moderate COVID-19** (therapy: n=511; placebo: n=258). There were four events in patients taking bamlanivimab with etesevimab and 15 events in patients taking placebo, representing an 87 percent risk reduction ( $p < 0.0001$ ). Bamlanivimab and etesevimab together also demonstrated statistically significant improvements on key secondary endpoints. These results are consistent with those seen in other data sets from BLAZE-1: in the previous phase 3 cohort, bamlanivimab 2800 mg with etesevimab 2800 mg reduced the risk of hospitalizations and deaths by 70 percent and in the phase 2 cohort, bamlanivimab alone reduced the risk of hospitalizations and ER visits by approximately 70 percent. The viral load reductions were also consistent with what was observed in the previous phase 3 cohort of the study. In this phase 3 cohort, there were four deaths total, all of which were deemed related to COVID-19 and all of which occurred inpatients taking placebo; no deaths occurred in patients receiving treatment with bamlanivimab and etesevimab together. Across the two phase 3 cohorts of the study that have been analyzed to date, there have been no deaths in patients receiving treatment with bamlanivimab and etesevimab together, and 14 deaths in patients receiving placebo, 13 of which were deemed COVID-19 related. In this data set, the safety profile of bamlanivimab and etesevimab together was consistent with observations from other phase 1, phase 2 and phase 3 trials evaluating these antibodies, <https://investor.lilly.com/news-releases/news-release-details/lillys-bamlanivimab-and-etesevimab-together-reduced>.

Additionally, initial results from the **ongoing BLAZE-4 trial (NCT04634409)** provide viral load and pharmacodynamic/pharmacokinetic data which demonstrated lower doses, including bamlanivimab 700 mg and etesevimab 1400 mg together, are similar to bamlanivimab 2800 mg and etesevimab 2800 mg together [205].

On March 29, 2021 Eli Lilly and Company, Vir Biotechnology, Inc. and GlaxoSmithKline plc announced data from the **expanded phase 2 BLAZE-4 trial** studying **low-risk adult patients with mild to moderate COVID-19**. Results showed that investigational **bamlanivimab (LY-CoV555) 700 mg co-administered with VIR-7831** (also known as GSK4182136) **500 mg** demonstrated a 70 percent ( $p < 0.001$ ) relative reduction in persistently high viral load ( $> 5.27$ ; cycle threshold value  $< 27.5$ ) at day 7 compared to placebo, meeting the primary endpoint. Bamlanivimab administered with VIR-7831 demonstrated a statistically significant reduction compared to placebo in the key virologic secondary endpoints of mean change from baseline to days 3, 5 and 7 in SARS-CoV-2 viral load. There were no events for the secondary endpoint of COVID-19 related hospitalization or death by day 29 in either study arm. One patient (in the treatment arm) visited the emergency room for COVID-19 related symptoms. No serious adverse events were seen with co-administration of bamlanivimab and VIR-7831. Bamlanivimab and VIR-7831 bind to different regions of the spike protein of SARS-CoV-2. Preclinical data suggest the administration of these two investigational antibodies together may provide protection against current variants of SARS-CoV-2 that are resistant to bamlanivimab.

**Phase 3 RCT BLAZE-1**  
**769 Pts**  
**milde/ moderate**  
**Erkrankung**  
**Kombinationstherapie**

**70%ige Reduktion der**  
**Hospitalisierungen und**  
**Notfallambulanz-Besuche**

**BLAZE-4 laufend**  
**Dosisfindung**

**Pressemeldung:**

**Phase 2 BLAZE-4**  
**Kombinationstherapie mit**  
**VIR-7831**  
**in mild/ moderater**  
**Erkrankung**

**-70% Viruslastreduktion**

Lundgren et al. 2020 (ACTIV-3/TICO LY-CoV555 Study group) published **preliminary** negative results from RCT (NCT04501978) compared LY-CoV555 with placebo in **hospitalised patients** who had Covid-19 without end-organ failure [207]. In addition, all the patients received high-quality supportive care as background therapy, including the antiviral drug remdesivir (95% of patients) and, when indicated, supplemental oxygen and glucocorticoids. The data and safety monitoring board recommended stopping enrollment for futility after 314 patients (163 in the LY-CoV555 group and 151 in the placebo group) had undergone randomization and infusion.

Data on high certainty of evidence, related to effectiveness and safety of bamlanivimab reported in this one RCT mentioned above, prepared by Cruciani et al. [208, 209], can be found in the Table 3.13-4. Based on the interim results from one RCT with high certainty of evidence, in **hospitalised patients**, bamlanivimab compared to standard treatment does not reduce all-cause mortality, does not increase the number of patients with AEs and SAEs, and does not increase the number of patients discharged.

**RCT mit hospitalisierten  
Pts. mit Organversagen**

**Kombinationstherapie  
Bamlanivimab +  
Remdesivir**

**kein Unterschied/ keine  
Wirksamkeit**

**Daten zu hospitalisierten  
Patient\*innen**

**keine Reduktion der  
Gesamtmortalität**

Table 3.13.-4: Summary of findings (SoF) table for published RCTs related to effectiveness and safety of bamlanivimab monotherapy (all doses) compared to placebo and bamlanivimab+etesevimab combination treatment – OUTPATIENT (1 RCT: Gottlieb 2021)

Outcome	Anticipated absolute effects (95% CI)		Relative effect (95% CI)	Number of participants (studies)	Certainty of evidence	Comments
	Risk with Placebo Risk with Bamlanivimab + etesevimab	Risk with Bamlanivimab (previously neutralizing antibody LY-CoV555)				
<b>All-cause mortality</b>						
	No deaths occurred	No deaths occurred	No deaths occurred	No deaths occurred	⊕⊕⊕⊕ HIGH	No deaths occurred
	No deaths occurred	No deaths occurred	No deaths occurred	No deaths occurred	⊕⊕⊕⊕ HIGH	No deaths occurred
<b>Number of patients with any adverse events</b>						
	269 per 1000	242 per 1000	<b>RR 0.90</b> (0.65 to 1.25)	465 (1 RCT) <sup>a</sup>	⊕⊕⊕⊕ HIGH	<b>Absolute effect (95% CI)</b> <b>27 fewer per 1.000</b> (from 94 fewer to 67 more)
	170 per 1000	243 per 1000	<b>RR 1.43</b> (0.91 to 2.25)	421 (1 RCT) <sup>a</sup>	⊕⊕⊕⊕ HIGH	<b>Absolute effect (95% CI)</b> <b>73 more per 1.000</b> (from 15 fewer to 212 more)
<b>Number of patients with serious adverse events</b>						
	60 per 1000	10 per 1000	<b>RR 0.17</b> (0.01 to 4.12)	465 (1 RCT) <sup>a</sup>	⊕⊕⊕⊕ HIGH	<b>Absolute effect (95% CI)</b> <b>5 fewer per 1.000</b> (from 6 fewer to 20 more)
	90 per 1000	11 per 1000	<b>RR 0.12</b> (0.00 to 2.96)	421 (1 RCT) <sup>a</sup>	⊕⊕⊕⊕ HIGH	<b>8 fewer per 1.000</b> (from -- to 17 more)
<b>SARS-CoV-2 clearance</b>						
	368 per 1000	390 per 1000	<b>RR 1.06</b> (0.83 to 1.37)	461 (1 RCT) <sup>a</sup>	⊕⊕⊕⊕ HIGH	<b>Absolute effect (95% CI)</b> <b>22 more per 1.000</b> (from 63 fewer to 136 more)
	367 per 1000	392 per 1000	<b>RR 1.07</b> (0.80 to 1.42)	418 (1 RCT) <sup>a</sup>	⊕⊕⊕⊕ HIGH	<b>Absolute effect (95% CI)</b> <b>26 more per 1.000</b> (from 73 fewer to 154 more)

**Source:** Cruciani F, De Crescenzo F, Vecchi S, Saulle R, Mitrova Z, Amato L, Davoli M. Should LY-CoV555 antibody monotherapy compared to LY-CoV555 antibody + Etesevimab be used for COVID-19 patients? 2021. <sup>a</sup> ref Gottlieb et al [199]

**Abbreviations:** CI=Confidence interval; RR=Risk ratio

Table 3.13.-5: Summary of findings table for published RCT related to effectiveness and safety of bamlanivimab monotherapy (700 mg) compared to placebo and bamlanivimab (2800 mg) + etesevimab (2800 mg) combination treatment – OUTPATIENT (1 RCT: Gottlieb 2021)

Outcome	Anticipated absolute effects (95% CI) <sup>a</sup>		Relative effect (95% CI)	Number of participants (studies)	Certainty of evidence	Comments
	Risk with Placebo	Risk with Bamlanivimab + etesevimab				
		Risk with Bamlanivimab (previously neutralizing antibody LY-CoV555)				
<b>All-cause mortality</b>						
vs Placebo	No deaths occurred	No deaths occurred	No deaths occurred	465 (1 RCT) <sup>b</sup>	⊕⊕⊕⊕ HIGH	No deaths occurred
vs Bamlanivimab + etesevimab	No deaths occurred	No deaths occurred	No deaths occurred	465 (1 RCT) <sup>b</sup>	⊕⊕⊕⊕ HIGH	No deaths occurred
<b>COVID-19 related hospitalisation or emergency department visit at day 29<sup>d</sup></b>						
vs Placebo	58 per 1000	10 per 1000 (1 to 77)	RR 0.17 (0.02 to 1.33)	257 (1 RCT) <sup>b</sup>	⊕⊕⊕⊕ HIGH	Absolute effect (95% CI) 48 fewer per 1000 (from 57 fewer to 19 more)
vs Bamlanivimab + etesevimab	9 per 1000	10 per 1000 (1 to 156)	RR 1.11 (0.07 to 17.50)	213 (1 RCT) <sup>b</sup>	⊕⊕⊕○ MODERATE <sup>c</sup>	Absolute effect (95% CI) 1 more per 1000 (from 8 fewer to 147 more)
<b>Symptom score at day 11<sup>d</sup></b>						
vs Placebo	Mean 1.88 (SD 2.50)	Mean 1.06 (SD 1.58)	MD -0.78 (-1.37 to -0.20) p=0.009 <sup>e</sup>	228 (1 RCT) <sup>b</sup>	⊕⊕⊕⊕ HIGH	
vs Bamlanivimab + etesevimab	Mean 1.28 (SD 2.48)	Mean 1.06 (SD 1.58)	-0.22 (-0.81 to 0.37) <sup>f</sup>	189 (1 RCT) <sup>b</sup>	⊕⊕⊕○ MODERATE <sup>c</sup>	
<b>Symptom score at day 22<sup>d</sup></b>						
vs Placebo	Mean 0.77 (SD 1.67)	Mean 0.46 (SD 1.16)	Mean difference -0.17 (-0.60 to 0.25) p=0.42 <sup>e</sup>	215 (1 RCT) <sup>b</sup>	⊕⊕⊕○ MODERATE <sup>c</sup>	
vs Bamlanivimab + etesevimab	Mean 0.76 (SD 2.00)	Mean 0.46 (SD 1.16)	-0.30 (-0.77 to 0.17) <sup>f</sup>	182 (1 RCT) <sup>b</sup>	⊕⊕⊕○ MODERATE <sup>c</sup>	
<b>SARS-CoV-2 clearance at day 22<sup>d</sup></b>						

Outcome	Anticipated absolute effects (95% CI) <sup>a</sup>		Relative effect (95% CI)	Number of participants (studies)	Certainty of evidence	Comments
	Risk with Placebo	Risk with Bamlanivimab + etesevimab (previously neutralizing antibody LY-CoV555)				
vs Placebo	368 per 1000	405 per 1000	RR 1.10 (0.80 to 1.51)	253 (1 RCT) <sup>b</sup>	⊕⊕⊕⊕ HIGH	Absolute effect (95% CI) 37 more per 1.000 (from 74 fewer to 188 more)
vs Bamlanivimab + etesevimab	367 per 1000	407 per 1000	RR 1.11 (0.79 to 1.56)	210 (1 RCT) <sup>b</sup>	⊕⊕⊕⊕ HIGH	Absolute effect (95% CI) 40 more per 1.000 (from 77 fewer to 206 more)
<b>Number of patients with any adverse events</b>						
vs Placebo	269 per 1000	266 per 1000	RR 0.99 (0.66 to 1.50)	257 (1 RCT) <sup>b</sup>	⊕⊕⊕⊕ HIGH	Absolute effect (95% CI) 3 fewer per 1.000 (from 92 fewer to 135 more)
vs Bamlanivimab + etesevimab	170 per 1000	269 per 1000	RR 1.58 (0.94 to 2.65)	213 (1 RCT) <sup>b</sup>	⊕⊕⊕⊕ HIGH	Absolute effect (95% CI) 98 more per 1.000 (from 10 fewer to 280 more)
<b>Number of patients with serious adverse events</b>						
vs Placebo	60 per 1000	31 per 1000	RR 0.51 (0.02 to 12.47)	257 (1 RCT) <sup>b</sup>	⊕⊕⊕○ MODERATE <sup>c</sup>	Absolute effect (95% CI) 3 fewer per 1.000 (from 6 fewer to 74 more)
vs Bamlanivimab + etesevimab	90 per 1000	33 per 1000	RR 0.37 (0.02 to 8.96)	213 (1 RCT) <sup>b</sup>	⊕⊕⊕○ MODERATE <sup>c</sup>	Absolute effect (95% CI) 6 fewer per 1.000 (from 9 fewer to 71 more)

**Source:** Cruciani F, De Crescenzo F, Vecchi S, Saule R, Mitrova Z, Amato L, Davoli M. Should LY-CoV555 antibody monotherapy compared to LY-CoV555 antibody + Etesevimab be used for COVID-19 patients? 2021; **Explanations:** <sup>a</sup> The risk in the intervention group is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI); <sup>b</sup> [204] Gottlieb et al [199], <sup>c</sup> Downgraded of one level for wide CI; <sup>d</sup> Authors of current rapid review; <sup>e</sup> mean and SD refer to change from baseline values as reported by the trial authors, the mean difference refers to between group differences in change from baseline as reported by the trial authors; <sup>f</sup> Not reported by the trial authors but calculated by the authors of this rapid report, using the reported trial arm mean changes from baseline with standard deviations and group size in Cochrane Review Manager 5.3 software

Table 3.13-6: Summary of findings (SoF) table for published RCTs related to effectiveness and safety of bamlanivimab + etesevimab combination compared to placebo – OUTPATIENT (1 RCT: Gottlieb 2021)

Outcome	Anticipated absolute effects (95% CI) <sup>a</sup>		Relative effect (95% CI)	Number of participants (studies)	Certainty of evidence	Comments
	Risk with Placebo	Risk with Bamlanivimab + etesevimab				
All-cause mortality	No deaths occurred	No deaths occurred	No deaths occurred	268 (1 RCT) <sup>b</sup>	⊕⊕⊕⊕ HIGH	No deaths occurred
COVID-19 related hospitalisation or emergency department visit at day 29 <sup>d</sup>	58 per 1000	9 per 1000 (1 to 69)	RR 0.15 (0.02 to 1.20)	268 (1 RCT) <sup>b</sup>	⊕⊕⊕⊕ HIGH	Absolute effect (95% CI) 49 fewer per 1000 (from 57 fewer to 12 more)
Symptom score at day 11 <sup>d</sup>	Mean 1.88 (SD 2.50)	Mean 1.28 (SD 2.48)	Mean difference -0.60 (-1.18 to -0.03) p=0.04	229 (1 RCT) <sup>b</sup>	⊕⊕⊕○ MODERATE <sup>c</sup>	
Symptom score at day 22 <sup>d</sup>	Mean 0.77 (SD 1.67)	Mean 0.76 (SD 2.00)	Mean difference 0.03 (-0.38 to 0.44)	261 (1 RCT) <sup>b</sup>	⊕⊕⊕○ MODERATE <sup>c</sup>	
SARS-CoV-2 clearance at day 22 <sup>d</sup>	368 per 1000	368 per 1000	RR 1.00 (0.72 to 1.38)	261 (1 RCT) <sup>b</sup>	⊕⊕⊕⊕ HIGH	Absolute effect (95% CI) 0 fewer per 1.000 (from 103 fewer to 140 more)
Number of patients with any adverse events	269 per 1000	170 per 1000	RR 0.63 (0.39 to 1.02)	268 (1 RCT) <sup>b</sup>	⊕⊕⊕⊕ HIGH	Absolute effect (95% CI) 100 fewer per 1.000 (from 164 fewer to 5 more)
Number of patients with serious adverse events	60 per 1000	83 per 1000	RR 1.39 (0.09 to 22.03)	268 (1 RCT) <sup>b</sup>	⊕⊕⊕○ MODERATE <sup>c</sup>	Absolute effect (95% CI) 2 more per 1.000 (from 6 fewer to 135 more)

Source: Ref Cruciani F, De Crescenzo F, Vecchi S, Saule R, Mitrova Z, Amato L, Davoli M. Should LY-CoV555 antibody+ Etesevimab compared to Placebo be used for COVID-19 patients? 2021.;[204] **Explanations:** <sup>a</sup> The risk in the intervention group is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI); <sup>b</sup> [204] Gottlieb et al [199] ; Downgraded of one level for wide CI, including the possibility of trivial or harmful effects; <sup>d</sup> Authors of current rapid review; <sup>c</sup> mean and SD refer to change from baseline values as reported by the trial authors, the mean difference refers to between group differences in change from baseline as reported by the trial authors

#### GRADE Working Group grades of evidence

**High certainty:** We are very confident that the true effect lies close to that of the estimate of the effect

**Moderate certainty:** We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different

**Low certainty:** Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect

**Very low certainty:** We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

**Abbreviations:** CI=confidence interval; RR=risk ratio; SAE=serious adverse event; AE=adverse event; RCT=randomised controlled trial; SD=standard deviation

Table 3.13-7: Summary of findings (SoF) table for published RCTs related to effectiveness and safety of bamlanivimab compared to standard treatment/placebo – HOSPITALISED (1 RCT: Lundgren et al. 2020)

Outcome	Anticipated absolute effects (95% CI)		Relative effect (95% CI)	Number of participants (studies)	Certainty of evidence	Comments
	Risk with Standard treatment/Placebo	Risk with Bamlanivimab (previously neutralizing antibody LY-CoV555)				
All-cause mortality	32 per 1000	53 per 1000	<b>RR 1.67</b> (0.57 to 4.88)	326 (1 RCT) <sup>a</sup>	⊕⊕⊕⊕ HIGH	<b>Absolute effect (95% CI)</b> <b>21 more per 1.000</b> (from 14 fewer to 124 more)
Number of patients with adverse events	172 per 1000	219 per 1000	<b>RR 1.27</b> (0.82 to 1.99)	326 (1 RCT) <sup>a</sup>	⊕⊕⊕⊕ HIGH	<b>Absolute effect (95% CI)</b> <b>46 more per 1.000</b> (from 31 fewer to 170 more)
Number of patients with serious adverse events	32 per 1000	30 per 1000	<b>RR 0.93</b> (0.27 to 3.15)	326 (1 RCT) <sup>a</sup>	⊕⊕⊕⊕ HIGH	<b>Absolute effect (95% CI)</b> <b>2 fewer per 1.000</b> (from 23 fewer to 68 more)
Number of patients discharged	866 per 1000	846 per 1000	<b>RR 0.98</b> (0.89 to 1.07)	326 (1 RCT) <sup>a</sup>	⊕⊕⊕⊕ HIGH	<b>Absolute effect (95% CI)</b> <b>17 fewer per 1.000</b> (from 95 fewer to 61 more)

**Source:** Ref Cruciani F, De Crescenzo F, Vecchi S, Saulle R, Mitrova Z, Amato L, Davoli M. Should LY-CoV555 antibody compared to Standard treatment be used for hospitalised COVID-19 patients? 2020.

<sup>a</sup> ref Lundgren et al 2020 (ACTIV-3/TICO LY-CoV555 Study group) [207]

**Abbreviations:** CI=Confidence interval; RR=Risk ratio



**Regulatory update:**

On April 16, 2021 FDA **revoked Emergency Use Authorization (EUA)** for the investigational monoclonal antibody therapy **bamlanivimab** (previously LY-CoV555), **when administered alone**, for the treatment of **mild-to-moderate COVID-19** in adult and pediatric patients. Based on its ongoing analysis of emerging scientific data, specifically the sustained increase of SARS-CoV-2 viral variants that are resistant to bamlanivimab alone resulting in the increased risk for treatment failure, the FDA has determined that the known and potential benefits of bamlanivimab, when administered alone, no longer outweigh the known and potential risks for its authorized use [210].

On February 9, 2021 the **FDA** issued an **EUA** for **bamlanivimab and etesevimab administered together** for the treatment of **mild to moderate COVID-19** in adults and pediatric patients (12 years of age or older weighing at least 40 kilograms [about 88 pounds]) who test positive for SARS-CoV-2 and who are at high risk for progressing to severe COVID-19. The authorized use includes treatment for those who are 65 years of age or older or who have certain chronic medical conditions. In a clinical trial of patients with COVID-19 at high risk for disease progression, a single intravenous infusion of bamlanivimab and etesevimab administered together significantly reduced COVID-19-related hospitalisation and death during 29 days of follow-up compared to placebo. The safety and effectiveness of this investigational therapy for use in the treatment of COVID-19 continue to be evaluated. Bamlanivimab and etesevimab are not authorized for patients who are hospitalised due to COVID-19 or require oxygen therapy due to COVID-19. Treatment with bamlanivimab and etesevimab has not been studied in patients hospitalised due to COVID-19. Monoclonal antibodies, such as bamlanivimab and etesevimab, may be associated with worse clinical outcomes when administered to hospitalised patients with COVID-19 requiring high flow oxygen or mechanical ventilation, <https://www.fda.gov/news-events/press-announcements/coronavirus-covid-19-update-fda-authorizes-monoclonal-antibodies-treatment-covid-19-0>.

On **March 5, 2021** **EMA** stated that the CHMP has completed its review started in February 2021 [211], to provide a **harmonised scientific opinion** at EU level to support national decision making on the possible use of the antibodies **before a formal authorisation is issued**. The Agency concluded that **bamlanivimab monotherapy** and **bamlanivimab and etesevimab combination** can be used together to treat confirmed COVID-19 in patients who **do not require supplemental oxygen and who are at high risk of their COVID-19 disease becoming severe** [212, 213]. Risk factors may include but are not limited to: advanced age; obesity; cardiovascular disease, including hypertension; chronic lung disease, including asthma; type 1 or type 2 diabetes mellitus; chronic kidney disease, including those on dialysis; chronic liver disease; immunosuppressed, based on prescriber's assessment. Examples include: cancer treatment, bone marrow or organ transplantation, immune deficiencies, HIV (if poorly controlled or evidence of AIDS), sickle cell anemia, thalassemia, and prolonged use of immune-weakening medications.

On **March 11, 2021** **EMA's** CHMP has started a **'rolling review'** of data on the antibodies bamlanivimab and etesevimab to be used in combination for the treatment of COVID-19. The review will also look at bamlanivimab used alone. The rolling review will continue until enough evidence is available to support formal marketing authorisation applications, <https://www.ema.europa.eu/en/news/ema-starts-rolling-review-eli-lilly-antibodies-bamlanivimab-etesevimab-covid-19>.

**April: FDA Widerruf  
EUA für Bamlanivimab  
Monotherapie für  
ambulante Pts mit Risiko  
auf Verschlechterung**

**nicht für bereits  
hospitalisierte Pts.**

**Feb 2021: Zulassung (EUA)  
durch FDA  
Kombinationstherapie bei  
milder/ moderater  
Erkrankung und Risiko für  
prtgrediente Erkrankung**

**März 2021  
EMA: Bamlanivimab kann  
sowohl als Monotherapie  
wie auch als  
Kombinations-therapie  
mit Etesevimab eingesetzt  
werden  
bei Pts mit bestätigtem  
Covid-19, nicht  
beatmungspflichtig, aber  
hohem Risiko auf  
Fortschreiten auf  
schweren Verlauf der  
Erkrankung**

### 3.13.3 AZD7442 - combination of two monoclonal antibodies (AZD8895 + AZD1061)

AZD7442 is a combination of two mAbs (AZD8895 + AZD1061) derived from convalescent patients with SARS-CoV-2 infection. Discovered by Vanderbilt University Medical Center and licensed to AstraZeneca in June 2020, the mAbs were optimised by AstraZeneca with half-life extension and reduced Fc receptor binding. The half-life extended mAbs should afford at least six months of protection from COVID-19.

**AZD7442 Kombination aus 2 monoklonalen Antikörpern Vanderbilt University/ AstraZeneca**

NCT04507256 is a **phase 1**, first time in human, randomised, double-blind, placebo-controlled, and dose escalation study that aims to evaluate the safety, tolerability and pharmacokinetics of AZD7442 in healthy participants. Estimated study completion date is September 2021.

**Phase 1  
Ende Sept 2021**

Larger late-stage **phase 2** and **phase 3** (NCT047233394, TACKLE, in outpatient adults) trials are ongoing to evaluate its efficacy as a potential preventative and treatment approach against COVID-19, <https://www.astrazeneca.com/media-centre/press-releases/2020/phase-1-clinical-trial-initiated-for-monoclonal-antibody-combination-for-the-prevention-and-treatment-of-covid-19.html>.

**Phase 2 & 3 laufend**

**ACTIV-2 phase 2/3 RCT** (NCT04518410) in ambulant patients is also ongoing.

**ACTIV-2 phase 2/3 RCT**

An international randomized, controlled **phase 3** clinical trial has begun in February 2021, evaluating the safety and efficacy of AZD7442 for treating people hospitalised with COVID-19. The trial, **part of a master protocol - ACTIV-3**, has an adaptive design allowing investigators to add new sub-studies of additional investigational agents. ACTIV-3 is sponsored by the National Institute of Allergy and Infectious Diseases (NIAID), part of the National Institutes of Health, <https://www.nih.gov/news-events/news-releases/clinical-trial-hospitalized-covid-19-patients-evaluates-long-acting-antibody-therapy>.

**Feb 2021:  
Phase 3 RCT begonnen**

**Studie ist Arm in ACTIV-3**

AZD7442 is currently evaluated in **DisCoVeRy** clinical trial (NCT04315948), in hospitalised patients with COVID-19. The 1240 patients enrolled in the study in Europe will be followed up over a 15-month period until November 2022. An initial analysis of the results is expected to take place at the end of 2021.

**auch in DisCoVeRy  
Plattform Studie**

### 3.13.4 Sotrovimab (VIR-7831 monoclonal antibody)

**VIR-7831** (Vir Biotechnology company) is a **dual-action monoclonal antibody** that was selected for clinical development based on its potential to both block viral entry into healthy cells and clear infected cells, as well as its potential to provide a high barrier to resistance. It has shown the ability to neutralize SARS-CoV-2 live virus in vitro. The antibody binds to an epitope on SARS-CoV-2 shared with SARS-CoV-1, indicating that the epitope is highly conserved, which may make it more difficult to escape mutants to develop. VIR-7832 has been engineered with the potential to enhance lung bioavailability, have an extended half-life, and function as a **therapeutic** and/or prophylactic T cell vaccine.

**monoklonaler Antikörper**

A **phase 2/3 COMET-ICE** (COVID-19 Monoclonal antibody Efficacy Trial - Intent to Care Early) trial was launched on September 10, 2020, in which subjects with COVID-19 will receive VIR-7831 or placebo and be assessed for safety, tolerability, efficacy, and pharmacokinetics. The **phase 3 part** of the COMET-ICE trial is assessing the safety and efficacy of a single intravenous (IV) infusion of VIR-7831 or placebo in approximately 1,300 **non-hospitalised** participants globally. The primary efficacy endpoint is the proportion of adults who have progression of COVID-19 as defined by the need for hospitalization or death within 29 days of randomization. The COMET clinical development program for VIR-7831 also includes a planned phase 3 trial for the prevention of symptomatic infection.

On March 10, 2021 Vir Biotechnology, Inc. and GlaxoSmithKline plc **announced** that an Independent Data Monitoring Committee (IDMC) recommended that the phase 3 COMET-ICE be stopped for enrollment due to evidence of profound efficacy. The IDMC recommendation was based on an **interim analysis** of data from 583 patients enrolled in the COMET-ICE trial, which demonstrated an **85% (p=0.002) reduction in hospitalisation or death in patients receiving VIR-7831 as monotherapy compared to placebo**, the primary endpoint of the trial. VIR-7831 was well tolerated. As the trial remains ongoing and blinded with patients continuing to be followed for 24 weeks, additional results, including epidemiology and virology data, will be forthcoming once the trial is completed. Based on these results, Vir and GSK plan to submit an Emergency Use Authorization (EUA) application to the FDA and for authorizations in other countries, <https://www.globenewswire.com/news-release/2021/03/11/2190921/0/en/Vir-Biotechnology-and-GSK-Announce-VIR-7831-Reduces-Hospitalization-and-Risk-of-Death-in-Early-Treatment-of-Adults-with-COVID-19.html>.

The COMET clinical development programme for VIR-7831 includes two additional trials – one for the treatment of hospitalised patients and another for the prevention of symptomatic infection, <https://www.gsk.com/en-gb/media/press-releases/vir-biotechnology-and-gsk-announce-global-expansion-to-phase-3-of-comet-ice-study-evaluating-vir-7831-for-the-treatment-of-covid-19/>.

The **ACTIV-3** randomized, placebo-controlled, multicenter, global phase 3 trial investigates the safety and efficacy of VIR-7831 in **hospitalised** adults with COVID-19. The trial has closed enrolment in arm examining VIR-7831 on March 1, 2021 (due to futility), following an interim review and recommendations from the independent Data and Safety Monitoring Board (DSMB), <https://www.nih.gov/news-events/news-releases/nih-sponsored-activ-3-clinical-trial-closes-enrollment-into-two-sub-studies>

On 27 January 2021, **Eli Lilly and Company, Vir Biotechnology, Inc. and Glaxo Smith Kline plc** announced a collaboration to evaluate a **combination of two COVID-19 therapies in low-risk patients with mild to moderate COVID-19**. On March 29, 2021 Eli Lilly and Company, Vir Biotechnology, Inc. and GlaxoSmithKline plc announced data from this expanded phase 2 BLAZE-4 trial studying **low-risk adult patients with mild to moderate COVID-19**. Details could be seen in section on bamlanivimab above.

On **April 15, 2021 EMA** starts **review of VIR-7831** in the treatment of patients with COVID-19. EMA is starting this review to **support national authorities** who may decide on the use of this medicine for COVID-19 **prior to marketing authorisation**. [214]. On **May 21, 2021 EMA** concluded that sotrovimab can be **used** to treat confirmed COVID-19 in **adults and adolescents (aged 12 years**

**Phase 2/3 im Sept 2020  
begonnen  
COMET-ICE  
1.300 Patient\*innen  
nicht-hospitalisiert**

**Endpunkt: Verhinderung  
der Progression**

**März 2021:  
COMET-ICE  
Zwischenauswertung**

**Studie wegen positive  
Ergebnisse angehalten:  
85% Reduktion von  
Hospitalisierung und  
Tod**

**weitere Studien:  
Prävention symptom.  
Erkrankung  
hospitalisierte Pts.**

**ACTIV-3 RCT:  
hospitalisierte Pts.  
Studie wegen negativer  
Ergebnisse angehalten**

**Pressemeldung:  
EliLilly + GSK Kooperation  
zu Kombinationstherapie  
bei milder/ moderater  
Erkrankung**

**April/ Mai: EMA beginnt  
Review von VIR-7831**

and above and weighing at least 40 kg) who do not require supplemental oxygen therapy and who are at risk of progressing to severe COVID-19 [215].

On **May 7, 2021** EMA starts **rolling review** of **VIR-7831**, called now **sotrovimab** [216]. The decision to start the rolling review is based on preliminary results from an ongoing study looking at the ability of the medicine to prevent hospitalisation or death in non-hospitalised patients with COVID-19.

On **May 26, 2021** FDA issued **EUA** for sotrovimab for the treatment of mild-to-moderate COVID-19 in adults and pediatric patients (12 years of age and older weighing at least 40 kilograms [about 88pounds]) with positive results of direct SARS-CoV-2 viral testing and who are at high risk for progression to severe COVID-19, including hospitalization or death, <https://www.fda.gov/news-events/press-announcements/coronavirus-covid-19-update-fda-authorizes-additional-monoclonal-antibody-treatment-covid-19>. The EUA submission included data from published in vitro studies, which demonstrated that sotrovimab **maintains activity** against all known circulating **variants of concern**, including the variants from Brazil (P.1), California (B.1.427/B.1.429), India (B.1.617), New York (B.1.526), South Africa (B.1.351) and the UK (B.1.1.7). GSK and Vir will continue to evaluate the ability of sotrovimab to maintain activity against new and emerging variants, <https://www.gsk.com/en-gb/media/press-releases/gsk-and-vir-biotechnology-announce-sotrovimab-vir-7831-receives-emergency-use-authorization-from-the-us-fda/>.

#### US COVID-19 Treatment Guidelines (last update July 08, 2021)

- The **US COVID-19 Treatment Guidelines Panel** recommends using one of the following combination anti-SARS-CoV-2 monoclonal antibodies to treat outpatients with mild to moderate COVID-19 who are at high risk of clinical progression, as defined by the EUA criteria (listed in alphabetical order):

#### Casirivimab plus imdevimab; *or* Sotrovimab.

- At this time, the Panel **recommends against** the use of **bamlanivimab plus etesevimab** in these patients due to an increase in the prevalence of potentially resistant variants (**AIII**).
- Treatment should be started as soon as possible after the patient receives a positive result on a SARS-CoV-2 antigen or nucleic acid amplification test (NAAT) and within 10 days of symptom onset.
- There are no comparative data to determine whether there are differences in clinical efficacy or safety between bamlanivimab plus etesevimab, casirivimab plus imdevimab, or sotrovimab.
- The Panel **recommends against** the use of **anti-SARS-CoV-2 monoclonal antibodies** for patients who are hospitalized because of COVID-19, except in a clinical trial (**AIIa**). However, their use should be considered for persons with mild to moderate COVID-19 who are hospitalized for a reason other than COVID-19 but who otherwise meet the EUA criteria [112].

### 3.13.5 Regdanvimab (CT-P59)

Regdanvimab (from Celltrion Healthcare) is a monoclonal antibody with activity against COVID-19. In pre-clinical data the treatment candidate demonstrated a 100-fold reduction in viral load of SARS-CoV-2, as well as a reduction in lung inflammation [217].

#### VIR-7831 = Sotrovimab

**Mai: FDA erlässt EUA (Notfallszulassung): Sotrovimab für Pts., die keine zusätzlichen Sauerstoff brauchen, aber Risiko für progrediente Erkrankung haben**

**keine Resistenzen**

**US COVID-19 Treatment Guidelines: Empfehlung FÜR Casirivimab + Imdevimab oder Sotrovimab bei milder/ moderater Erkrankung, aber Risiko für progrediente Erkrankung**

**rascher Therapiebeginn nach bestätigter Diagnose**

**Empfehlung gegen Therapie von hospitalisierten Pts.**

**monoklonaler Antikörper**

Results from the global **phase 1** clinical trial of CT-P59 demonstrated promising safety, tolerability, antiviral effect and efficacy profile in patients with mild symptoms of COVID-19.

On January 13, 2021 Celltrion Group announced positive efficacy and safety results from global **phase 2/3** clinical trial of COVID-19 treatment candidate CT-P59: CT-P59 (40mg/kg) treated patients reported reduced progression rates to severe COVID-19 by 54% for patients with mild-to-moderate symptoms and 68% for moderate patients aged 50 years and over; a significantly shortened time to clinical recovery ranging from 3.4 to 6.4 days quicker compared to placebo and a significant reduction of viral load compared to placebo was reported at Day7 in patients treated with CT-P59. No drug-related serious adverse events reported, [https://www.celltrionhealthcare.com/en-us/board/newsdetail?modify\\_key=433](https://www.celltrionhealthcare.com/en-us/board/newsdetail?modify_key=433)

On **March 26, 2021 EMA** announced that the CHMP has completed its **review** of Celltrion's monoclonal antibody regdanvimab (CT-P59) to **support national authorities** who may decide on the use of this medicine for COVID-19 **prior to authorisation**. EMA concluded that regdanvimab **can be used for the treatment of confirmed COVID-19 in adult patients who do not require supplemental oxygen therapy and who are at high risk of progressing to severe COVID-19**. Risk factors may include but are not limited to: advanced age; obesity; cardiovascular disease, including hypertension; chronic lung disease, including asthma; type 1 or type 2 diabetes mellitus; chronic kidney disease, including those on dialysis; chronic liver disease; immunosuppressed, based on prescriber's assessment. Examples include: cancer treatment, bone marrow or organ transplantation, immune deficiencies, HIV (if poorly controlled or evidence of AIDS), sickle cell anemia, thalassemia, and prolonged use of immune-weakening medications. The recommended dosage of regdanvimab is a single intravenous (IV) infusion of 40 mg/kg [218, 219].

On May 18, 2021 Celltrion announced that its regdanvimab (CT-P59) demonstrated neutralising potency against emerging **SARS-CoV-2 variants** first detected in New York, US (B.1.526), Nigeria (B.1.525) and India (B.1.617). The company plans to study neutralising titers against additional emerging strains, including the Brazil variant (P.1), in order to proactively address the pandemic as the virus continues to evolve. Regdanvimab is known to successfully neutralise the SARS-CoV-2 variants first identified in the UK (B.1.1.7), California (B.1.427/B.1.429), Brazil (P.2), in addition to the previously identified six variant genome mutations of SARS-CoV-2 (variants S-L-V-G-GH-GR), [https://www.celltrionhealthcare.com/en-us/board/newsdetail?modify\\_key=482&pagenumber=1&keyword=&keyword\\_type=](https://www.celltrionhealthcare.com/en-us/board/newsdetail?modify_key=482&pagenumber=1&keyword=&keyword_type=)

## Phase 1

**Presseausendung von Celltrion zu Phase 2/3 positive Ergebnisse**

**März 2021: EMA „rolling review“ von Regdanvimab für Patient\*innen mit Risiko auf progrediente Erkrankung, aber ohne Bedarf nach Beatmung**

**Pressebericht: keine Resistenzen**

### **3.14 Combination therapy – triple combination of interferon beta-1b, lopinavir–ritonavir and ribavirin vs. lopinavir–ritonavir or other triple combination of interferons**

The reader is referred to the earlier version (V13\_April) for more details on **Combination therapy** related to **interferon beta-1b, lopinavir and ribavirin or other triple combination of interferons**.

**Details in V13\_April**

## 3.15 Solnatide

### About the treatment under consideration

The therapeutic molecule solnatide (INN) has been designed by APEPTICO (a privately-held biotechnology company from Vienna/Austria) for the therapeutic treatment of patients with Acute Respiratory Distress Syndrome (ARDS) and various forms of life-threatening Pulmonary Oedema (PPO). Solnatide is a synthetic peptide of less than 20 amino acids applied directly in the lower airways in the form of a liquid aerosol, aims to accelerate the dissolution of alveolar oedema and reduce barrier damage caused by Covid-19 in the lungs.

In April 2020, solnatide has been approved for Compassionate Use by the Austrian Federal Office for Safety in Health Care (BASG) for the treatment of patients infected by the novel coronavirus SARS-CoV-2 and subsequently developing severe pulmonary dysfunction (severe COVID-19), as well as by the Italian Medicines Agency and the Ethics Committee of the National Institute for Infectious Diseases (Lazzaro Spallanzani-Rome), within the compassionate use program of drugs undergoing clinical trials for the treatment of COVID-19 patients suffering from pulmonary oedema and acute respiratory distress syndrome.

APEPTICO Forschung und Entwicklung GmbH has signed, together with the “solnatide consortium”, the Grant Agreement ID: 101003595 with the European Commission to accelerate the process of making APEPTICO’s proprietary investigational medicinal product (IMP) solnatide available for medical treatment of patients severely affected by the novel coronavirus 2019 (SARS-CoV-2) disease, COVID-19; the Grant Agreement was made available via the Horizon2020 programme “Advancing knowledge for the clinical and public health response to the 2019-nCoV epidemic” ([https://ec.europa.eu/commission/presscorner/detail/en/ip\\_20\\_386](https://ec.europa.eu/commission/presscorner/detail/en/ip_20_386)). Project started on 1 April 2020 and will end on 31 December 2021. The main goal of the H2020 SOLNATIDE project is to demonstrate safety, tolerability and clinical efficacy of solnatide in treatment of COVID-19 patients.

One ongoing randomised, double-blind, placebo controlled, parallel assignment trial with aim to assess efficacy and safety of 7 days orally inhaled 100 mg solnatide to treat pulmonary permeability oedema of 40 SARS-Cov-2 positive patients with moderate-to-severe ARDS is registered in EUdraCT register (EudraCT number 2020-001244-26), <https://www.clinicaltrialsregister.eu/ctr-search/trial/2020-001244-26/AT> [220].

### Withdrawn, suspended or terminated studies

No withdrawn, suspended or terminated studies related to solnatide in COVID-19 patients were found in ClinicalTrials.gov and EUdraCT registers [220].

### Results of publications

No publications related to the RCTs of solnatide in COVID-19 patients were found [220].

**Medikament gegen akutes Atemnotsyndrom**  
**Verabreichung: Inhalation**

**April: BASG, AIFA lassen Solnatide für “Compassionate Use” zu**

**EC-Grant seit April für Covid-19 bis Dezember 2021**

**1 laufender RCT mit 40 moderat bis schwer Covid-19 Erkrankten**

**ClinicalTrials.gov & EUdraCT: keine klinischen Studien registriert, keine Publikation von RCT**

## 3.16 Umifenovir (Arbidol®)

### About the treatment under consideration

Umifenovir (Arbidol), an indole-derivative is a broad-spectrum drug against a wide range of enveloped and non-enveloped viruses: it interacts preferentially with aromatic amino acids, and it affects multiple stages of the virus life cycle, either by direct targeting viral proteins or virus-associated host factors. Umifenovir is currently being investigated as a potential treatment and prophylactic agent for COVID-19 caused by SARS-CoV2 infections in combination with both currently available and investigational HIV therapies (<https://pubchem.ncbi.nlm.nih.gov/compound/Arbidol>). Its use is only in China and Russia, since not approved by neither the FDA nor the EMA.

As Wang et al. 2020 recently published, arbidol efficiently inhibited SARS-CoV-2 infection in vitro (it appears to block virus entry by impeding viral attachment and release from the EIs) [221].

### Withdrawn, suspended or terminated studies

No withdrawn, suspended or terminated studies related to umifenovir were found in ClinicalTrials.gov and EUdraCT registers.

### Results of publications

RCT published by **Yueping et al. 2020** (NCT04252885) [222] was an exploratory randomised (2:2:1) controlled trial, conducted in China, with the aim to assess the efficacy and safety of lopinavir/ritonavir or arbidol monotherapy in 86 patients with mild/moderate COVID-19. 34 of them assigned to lopinavir/ritonavir; 35 to arbidol and 17 with no antiviral medication as control, with follow-up of 21 days. The rate of positive-to-negative conversion of SARS-CoV-2 nucleic acid, as the primary endpoint, was similar between groups (all  $p > 0.05$ ) and there were no differences between groups in the secondary endpoints, the rates of antipyresis, cough alleviation, or improvement of chest CT at days 7 or 14 (all  $p > 0.05$ ). At day 7, eight (23.5%) patients in the LPV/r group, 3 (8.6%) in the arbidol group and 2 (11.8%) in the control group showed a deterioration in clinical status from moderate to severe/critical ( $p = 0.206$ ). Related to adverse events, 12 (35.3%) patients in the lopinavir/ritonavir group and 5 (14.3%) in the arbidol group experienced adverse events during the follow-up period, and no AE occurred in the control group [222].

One publication [114] on the completed RCT (ChiCTR2000030254) about the efficacy and safety of favipiravir, in comparison with umifenovir, to treat Covid-19 patients was identified; Summary of findings table can be found in Section related to favipiravir.

RCT (IRCT20180725040596N2) published by **Nojomi et al. 2020**, as preliminary report in the format of preprints [223], is an open label randomized controlled trial, on effectiveness of umifenovir on 100 patients with COVID-19, assigned randomly to two groups of either hydroxychloroquine just on the 1st day followed by Kaletra (lopinavir-ritonavir) or hydroxychloroquine just on the 1st day followed by umifenovir 7-14 days based on severity of disease. The duration of hospitalization in umifenovir group was less than lopinavir-ritonavir arm significantly (7.2 versus 9.6 days;  $p = 0.02$ ). Time to relief fever was similar across two groups (2.7 versus 3.1 days in umifenovir and lopinavir-ritonavir arms respectively). Peripheral oxygen saturation rate was different

**antivirales Medikament  
zugelassen in China,  
Russland, aber nicht EMA/  
FDA**

**1 in vitro Publikation**

**ClinicalTrials.gov &  
EudraCT: keine Studien  
registriert**

**Yueping (China)  
RCT, 86 Pts.  
leichte/ moderate  
Erkrankung  
kein Unterschied  
zwischen den Gruppen in  
einigen  
Surrogatendpunkten  
mehr AE**

**1 RCT nur im preprint  
(nicht peer-reviewed)**

**Okt 2020:  
RCT (Iran)  
100 Pts.**

**in Kombinationstherapie  
kleine Vorteile**

after seven days of admission across two groups significantly (94% versus 92% in umifenovir and lopinavir-ritonavir groups respectively) ( $p=0.02$ ).

**Yethindra et al.** 2020 [224] published results from exploratory randomized controlled study recruited 30 mild and moderate COVID-19 patients in Kyrgyzstan. No patient progressed toward severe and critical illness in either category. Pneumonia was ameliorated in 76.6% (23/30) of the patients, with moderate and potential amelioration in 36.6% and 40% of the patients, respectively. Many patients were observed to have significantly ameliorated pneumonia in the umifenovir category (86.6%, 13 of 15) compared to the control category (66.6%, 10 of 15). In addition, 66.6% of patients in the umifenovir category had potential pneumonia absorption. Only one patient presented with mild side effects in the umifenovir category, while one patient had cephalalgia; notably, no patient experienced severe side effects.

The **Living Systematic Review**, related to these two RCTs mentioned above, with Summary of findings table ([https://covid-nma.com/living\\_data/index.php](https://covid-nma.com/living_data/index.php)) is presented in Table 3.16-1. According to currently available very low quality of evidence, the evidence is very uncertain about the effect of umifenovir on further outcomes: All-cause mortality D14-D28; WHO progression score level 6 or above D14-28; WHO progression score level 7 or above D14-28; Serious adverse events and Viral negative conversion D7 (RR 0.90, 95% CI 0.44 to 1.84, 1 RCT, very low certainty of evidence).

**November 2020  
RCT, 30 Pts. Kirgistan**

**Zusammenfassung von  
2 RCTs:  
unsichere Evidenz zu den  
Effekten von Umifenovir**



Table 3.16-1: Summary of findings table, on **umifenovir vs standard care** (2 RCTs: Yueping, Yethindra)

**Umifenovir compared to Standard Care for Mild/Moderate COVID-19**

**Patient or population:** Mild/Moderate COVID-19

**Setting:** Worldwide

**Intervention:** Umifenovir

**Comparison:** Standard Care

Outcomes	Anticipated absolute effects* (95% CI)		Relative effect (95% CI)	No of participants (studies)	Certainty of the evidence (GRADE)	Comments
	Risk with Standard Care	Risk with Umifenovir				
Viral negative conversion D3 - not reported	-	-	-	-	-	outcome not yet measured or reported
Viral negative conversion D7	412 per 1,000	371 per 1,000 (181 to 758)	RR 0.90 (0.44 to 1.84)	52 (1 RCT) <sup>b</sup>	⊕○○○ VERY LOW <sup>5,6</sup>	
Clinical improvement D7 - not reported	-	-	-	-	-	outcome not yet measured or reported
Clinical improvement D14-D28 - not reported	-	-	-	-	-	outcome not yet measured or reported
WHO progression score (level 6 or above) D7	63 per 1,000	46 per 1,000 (8 to 248)	RR 0.73 (0.13 to 3.98)	82 (2 RCTs) <sup>g</sup>	⊕○○○ VERY LOW <sup>5,6,9</sup>	
WHO progression score (level 6 or above) D14-D28	0 per 1,000	0 per 1,000 (0 to 0)	not estimable	30 (1 RCT) <sup>h</sup>	⊕○○○ VERY LOW <sup>5,10</sup>	zero events in both groups
WHO progression score (level 7 or above) D7	0 per 1,000	0 per 1,000 (0 to 0)	not estimable	82 (2 RCTs) <sup>g</sup>	⊕○○○ VERY LOW <sup>9A,K</sup>	zero events in both groups
WHO progression score (level 7 or above) D14-D28	0 per 1,000	0 per 1,000 (0 to 0)	not estimable	30 (1 RCT) <sup>h</sup>	⊕○○○ VERY LOW <sup>5,11</sup>	zero events in both groups
All-cause mortality D7	0 per 1,000	0 per 1,000 (0 to 0)	not estimable	82 (2 RCTs) <sup>g</sup>	⊕○○○ VERY LOW <sup>1A,M</sup>	zero events in both groups
All-cause mortality D14-D28	0 per 1,000	0 per 1,000 (0 to 0)	not estimable	82 (2 RCTs) <sup>g</sup>	⊕○○○ VERY LOW <sup>1A,M</sup>	zero events in both groups
Adverse events	0 per 1,000	0 per 1,000 (0 to 0)	RR 5.58 (0.32 to 94.06)	52 (1 RCT) <sup>b</sup>	⊕⊕○○ LOW <sup>5,N</sup>	zero events in control group
Serious adverse events	0 per 1,000	0 per 1,000 (0 to 0)	not estimable	82 (2 RCTs) <sup>g</sup>	⊕○○○ VERY LOW <sup>1A,N</sup>	zero events in both groups

\*The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI).  
 CI: Confidence interval; RR: Risk ratio

GRADE Working Group grades of evidence  
 High certainty: We are very confident that the true effect lies close to that of the estimate of the effect  
 Moderate certainty: We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different  
 Low certainty: Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect  
 Very low certainty: We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

**GRADE Working Group grades of evidence: High certainty:** We are very confident that the true effect lies close to that of the estimate of the effect; **Moderate certainty:** We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different; **Low certainty:** Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect; **Very low certainty:** We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

**Explanations:** a. Last update: November 13, 2020; b. Yueping L, 2020; c. Indirectness downgraded by 1 level: single study from a single institution, therefore results in this population might not be generalizable to other settings; d. Imprecision downgraded by 2 level: due to wide confidence interval consistent with the possibility for benefit and the possibility for harm and very low number of participants; e. Yethindra V, 2020; Yueping L, 2020; f. Risk of bias downgraded by 1 level: some concerns around deviation from intended intervention in both studies, some concerns in one study regarding randomization, outcome measurement, and selection of reported result; g. Indirectness downgraded by 1 level: results are mainly from a single study from a single institution, therefore results in this population might not be generalizable to other settings.; h. Yethindra, 2020; i. Risk of bias downgraded by 1 level: some concerns regarding randomization, deviations from intended intervention, outcome measurement, and selection of the reported results; j. Imprecision downgraded by 2 levels: no events in both groups and very low number of participants; k. Risk of bias downgraded by 1 level: some concerns regarding deviations from intended intervention in both studies, some concerns regarding randomization and selection of reported result in one study; l. Risk of bias downgraded by 1 level: some concerns regarding randomization, deviations from intended intervention, and selection of the reported results; m. Indirectness downgraded by 1 level: results from two single-institution studies, therefore results in the population might not be generalizable to other settings.; n. We presume that the adverse event rates, and the corresponding relative risks, is similar across diverse settings; therefore not downgraded for indirectness

## 3.17 Dexamethasone and other corticosteroids

The reader is referred to the earlier version (V13\_April) for more details on **dexamethasone and other systemic corticosteroids** (except for inhaled corticosteroids).

**Details in V13\_April**

### 3.17.1 Inhaled corticosteroids: Budesonide

#### About the drug under consideration

Budesonide is a type of medicine known as a steroid (also called a corticosteroid). Inhaled budesonide is a medicine used for asthma and chronic obstructive pulmonary disease (COPD).

**Budesonid: Glucocorticoid zum Inhalieren bei COPD**

On May 27, 2021 **EMA** issued advice to healthcare professionals that there is currently **insufficient evidence** that inhaled corticosteroids are beneficial for people with COVID-19 [225].

**EMA: insuffiziente Datenlage**

#### Results of publications

On April 9<sup>th</sup>, the results of an open-label, parallel-group, phase 2, randomised controlled trial (Steroids in COVID-19; STOIC, NCT04416399) of inhaled budesonide, compared with usual care, in adults within 7 days of the onset of mild COVID-19 symptoms was published [226]. From July 16 to Dec 9, 2020, 146 participants were randomly assigned—73 to usual care and 73 to budesonide. The number needed to treat with inhaled budesonide to reduce COVID-19 deterioration was eight. Clinical recovery was 1 day shorter in the budesonide group compared with the usual care group (median 7 days [95% CI 6 to 9] in the budesonide group vs 8 days [7 to 11] in the usual care group; log-rank test  $p=0.007$ ). The mean proportion of days with a fever in the first 14 days was lower in the budesonide group (2%, SD 6) than the usual care group (8%, SD 18; Wilcoxon test  $p=0.051$ ) and the proportion of participants with at least 1 day of fever was lower in the budesonide group when compared with the usual care group. As-needed antipyretic medication was required for fewer proportion of days in the budesonide group compared with the usual care group (27% [IQR 0–50] vs 50% [15–71];  $p=0.025$ ) Fewer participants randomly assigned to budesonide had persistent symptoms at days 14 and 28 compared with participants receiving usual care (difference in proportions 0.204, 95% CI 0.075 to 0.334;  $p=0.003$ ). Budesonide was safe, with only five (7%) participants reporting self-limiting adverse events.

**Phase 2 RCT (STOIC)  
167 Pts.  
milde Erkrankung**

**NNT 8  
-1 Tag weniger lang krank**

**weniger andauerende Symptome unter Budesonid**

On April 12<sup>th</sup> a pre-print of an interim analyses from the PRINCIPLE trial was published [227]. PRINCIPLE is a multicenter, open-label, multi-arm, adaptive platform randomized controlled trial involving people aged  $\geq 65$  years, or  $\geq 50$  years with comorbidities, and unwell  $\leq 14$  days with suspected COVID-19 in the community (PRINCIPLE). Participants were randomized to usual care, usual care plus inhaled budesonide (800 $\mu$ g twice daily for 14 days), or usual care plus other interventions. The trial opened on April 2, 2020. Randomization to inhaled budesonide began on November 27, 2020 and was stopped on March 31, 2021 based on an interim analysis using data from March 4, 2021. Here, we report updated interim analysis data from March 25, 2021, at which point the trial had randomized 4663 participants with suspected COVID-19. Of these, 2617 (56.1%) tested SARS-CoV-2 positive and contributed data to this interim budesonide primary analysis; 751

**RCT Interim Auswertung PRINCIPLE  
4663 Pts.,  
davon 751 mit Budesonid frühzeitiger Abbruch**

**Vekürzung der Zeit der Erkrankung um ca 3 Tage**

**geringe Effekte auf Hospitalisierung/ Tod**

budesonide, 1028 usual care and 643 to other interventions. Time to first self-reported recovery was shorter in the budesonide group compared to usual care (hazard ratio 1.208 [95% BCI 1.076 – 1.356], probability of superiority 0.999, estimated benefit [95% BCI] of 3.011 [1.134 – 5.41] days). Among those in the interim budesonide primary analysis who had the opportunity to contribute data for 28 days follow up, there were 59/692 (8.5%) COVID-19 related hospitalizations/deaths in the budesonide group vs 100/968 (10.3%) in the usual care group (estimated percentage benefit, 2.1% [95% BCI –0.7% – 4.8%], probability of superiority 0.928). In this updated interim analysis, inhaled budesonide reduced time to recovery by a median of 3 days in people with COVID-19 with risk factors for adverse outcomes. Once 28 day follow up is complete for all participants randomized to budesonide, final analyses of time to recovery and hospitalization/death will be published. (Funded by the National Institute of Health Research/ United Kingdom Research Innovation [MC\_PC\_19079]; PRINCIPLE ISRCTN number, ISRCTN86534580.)

Table 3.17-1: Summary of findings table, on **budesonide vs standard care** (2 RCTs: Ramakrishnan, Yu)

**Budesonide compared to Standard Care for Mild COVID-19**

**Patient or population:** Mild COVID-19

**Setting:** Worldwide Outpatient

**Intervention:** Budesonide

**Comparison:** Standard Care

Outcome	Anticipated absolute effects (95% CI) <sup>a</sup>		Relative effect (95% CI)	Number of participants (studies)	Certainty of evidence	Comments
	Risk with Standard treatment/Placebo	Risk with Colchicine				
<b>All-cause mortality D28</b>	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported
<b>Clinical improvement D28</b>	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported
<b>WHO progression score (level 7 or above) D28</b>	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported
<b>Number of patients with adverse events</b>	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported
<b>Number of patients with serious adverse events</b>	0 per 1000	0 per 1000	<b>RR: 5.23</b> (0.25 - 108.86)	2112 (1 RCT) <sup>b</sup>	○○○⊕ VERY LOW <sup>c</sup>	<b>Absolute effect (95% CI)</b> Zero events in both groups

<sup>a</sup> The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI) <sup>b</sup> Yu, 2021 <sup>c</sup> Risk of bias: Serious.

Risk of bias downgraded by 1 level: some concerns deviation from intended intervention, missing data and outcome measurement Imprecision: Very serious due to very wide confidence interval consistent with the possibility for benefit and the possibility for harm and low number of participants

## 3.18 Anakinra (Kineret®)

### About the drug under consideration

Anakinra (Kineret®) is an immunosuppressive medicine, a copy of a natural human protein - 'human interleukin 1 receptor antagonist' (r-metHuIL-1ra, produced in *Escherichia coli* cells by recombinant DNA technology). Anakinra neutralises the biologic activity of interleukin-1 $\alpha$  (IL-1 $\alpha$ ) and interleukin-1 $\beta$  (IL-1 $\beta$ ) by competitively inhibiting their binding to interleukin-1 type I receptor (IL-1RI). Interleukin-1 (IL-1) is a pivotal pro-inflammatory cytokine mediating many cellular responses including those important in synovial inflammation. Anakinra is not authorised in Covid-19 patients (EMA, FDA).

The **US COVID-19 Treatment Guidelines Panel** stated that there are insufficient data to recommend either for or against Interleukin-1 inhibitors (e.g., anakinra) therapy in patients with COVID-19 disease [113].

### Withdrawn, suspended or terminated studies

One RCT was found as suspended – ANACONDA (NCT04364009) –due to efficiency and safety reasons, after enrolment of 71 hospitalized COVID-19 patients in France. The intermediate review of data from this clinical trial showed early excess mortality in the group of patients treated with anakinra combined with standard optimized care, compared to the group of patients treated with standard optimized care alone. On October 29, 2020, the French National Agency for Medicines and Health Products Safety (ANSM) announced that inclusions in clinical trials evaluating anakinra in the treatment of COVID-19 are suspended due to safety information regarding the ANACONDA-COVID-19 clinical trial, <https://ansm.sante.fr/S-informer/Actualite/Suspension-des-inclusions-en-France-dans-les-essais-clinique-évaluant-l-anakinra-dans-la-prise-en-charge-de-la-COVID-19-Point-d-information>. In December 2020, ANSM lifted the suspension of trials with anakinra because after further analysis in France and the EU, the risk was not confirmed.

Two RCTs were found as terminated: NCT04366232 (JAKINCOV), due investigator decision in France, on anakinra alone and in combination with ruxolitinib, and NCT04324021 in Italy and US because of recruitment issues.

Currently, anakinra is investigated as a third option in the second randomisation for children >1 year old with hyperinflammatory syndrome associated with COVID-19 in children (PIMS-TS) in the RECOVERY (Randomised Evaluation of COVid-19 thERapY) trial, led by the University of Oxford [183].

### Results of publications

Currently, three publications related to an RCT of anakinra treatment in hospitalised COVID-19 patients were found.

The CORIMUNO-19 Collaborative group published results from a multicentre, open-label, Bayesian randomised clinical trial (**CORIMUNO-ANA-1, NCT04341584**), nested within the CORIMUNO-19 cohort, in France with mild-to-moderate COVID-19 pneumonia, severe acute respiratory syndrome coronavirus 2 infection confirmed by real-time RT-PCR, requiring at least 3 L/min of oxygen by mask or nasal cannula but without ventilation

**Immunsuppressivum,  
humaner Interleukin-1  
Rezeptorantagonist**

**EMA-Zulassung für  
Rheumatoide Arthritis seit  
2002**

**mehrere laufende Studien,  
Empfehlung des US COVID-  
19 Treatment Guidelines  
Panel: insuffiziente  
Datenlage**

**ANACONDA (Frankreich)  
71 hospitalisierte Pts  
wegen  
Sicherheitsbedenken  
abgebrochen**

**nun aber die Aussetzung  
der Studie aufgehoben**

**2 RCTs  
abgebrochen**

**Studiengruppe in  
RECOVERY**

**3 Publikation  
eines RCTs**

**RCT, CORIMUNO-19**

**Rekrutierung nach 116  
Pts. angehalten**

assistance, a score of 5 on the WHO Clinical Progression Scale (WHO-CPS), and a C-reactive protein serum concentration of more than 25 mg/L not requiring admission to the intensive care unit at admission to hospital [228]. Eligible patients were randomly assigned (1:1), stratified by centre and blocked with varying block sizes (randomly of size two or four), to either usual care plus anakinra (200 mg twice a day on days 1–3, 100 mg twice on day 4, 100 mg once on day 5) or usual care alone. The study was **stopped early**, following the recommendation of the data and safety monitoring board, after the recruitment of 116 patients: 59 were assigned to the anakinra group and 57 were assigned to the usual care group.

Kyriazopoulou et al. 2021 [229] (NCT04680949, EUdraCT 2020-005828-11) published as preprint results from the **SAVE-MORE** multicenter trial, 594 hospitalised patients with moderate and severe COVID-19 pneumonia and plasma suPAR 6 ng/ml or more and receiving standard-of-care were 1:2 randomized to subcutaneous treatment with placebo or 100 mg anakinra once daily for 10 days. The primary endpoint was the overall clinical status of the 11-point World Health Organization ordinal Clinical Progression Scale (WHO-CPS) at day 28. The changes of the WHO-CPS and of the sequential organ failure assessment (SOFA) score were the main secondary endpoints. Baseline characteristics and co-administered treatments were similar between the two arms. Majority of patients (81.6%) has severe COVID-19.

As described in Sarilumab Section, **Derde et al. 2021** published **final results** as preprint [143] from **REMAP-CAP** RCT (NCT02735707): Adult participants with **critical COVID-19** were randomized to receive tocilizumab, sarilumab, anakinra, or standard care (control). In addition, a small group (n=21) of participants were randomized to interferon-β1a. The primary outcome was an ordinal scale combining in-hospital mortality (assigned -1) and days free of organ support to day 21. The trial used a Bayesian statistical model with pre-defined triggers for superiority, equivalence or futility. Statistical triggers for equivalence between tocilizumab and sarilumab; and for inferiority of anakinra to the other active interventions were met at a planned adaptive analysis. Of the 2274 critically ill participants enrolled, 972 were assigned to tocilizumab, 485 to sarilumab, 378 to anakinra and 418 to control. Median organ support-free days were 0 (IQR -1, 15) and 0 (IQR -1, 15) for anakinra and control, respectively. Median adjusted odds ratios was 0.99 (95%CrI 0.74, 1.35) for anakinra, yielding 46.6% posterior probability of superiority, compared to control. Median adjusted odds ratios for hospital survival was 0.97 (95%CrI 0.66, 1.40) for anakinra, compared to control, yielding 43.6% posterior probability of superiority, compared to control. All treatments appeared safe. Authors concluded that in patients with severe COVID-19 receiving organ support, **anakinra is not effective**. Anakinra is inferior compared to tocilizumab and sarilumab in this group of patients.

Effectiveness and safety data summary can be found in the **Summary of Findings** Table 3.18-1 (last update 11/07/2021). Low certainty evidence from two published RCTs in hospitalised patients with moderate to severe COVID-19 (CORIMUNO-19, SAVE-MORE) showed that anakinra, compared to standard care/placebo, may reduce all-cause mortality at day 28 (RR 0.69, 95% CI 0.34 to 1.39; 32 fewer per 1.000, 95% CI from 68 fewer to 40 more). Low certainty evidence from two published RCTs in hospitalised patients with severe and critical COVID-19 (CORIMUNO-19, REMAP-CAP) showed that anakinra, compared to standard care/placebo, may not reduce all-cause mortality at day 60 (RR 1.16, 95% CI 0.98 to 1.37; 56 more per 1.000, 95% CI from 7 fewer to 129 more). In hospitalised moderate to severe COVID-19 patients anakinra probably increases clinical improvement at day 28 (RR 1.12, 95% CI 1.03 to 1.21; 88 more per 1.000, 95% CI from 22 more to 155 more,

**RCT, SAVE-MORE**  
**594 Pts, hospitalisiert,**  
**moderate, schwer Erkr.**

**Platform Studie**  
**REMAP-CAP**  
**2.274 schwer Erkrankte**

**Anakinra zeigte**  
**keine Wirksamkeit**

**SoF von 3 RCTs**  
**sehr unsichere Evidenz**  
**Wirksamkeit:**  
**Reduktion der 28-Tage**  
**keine Reduktion 60-Tage**  
**Gesamtsterblichkeit**

**ev.raschere klinische**  
**Verbesserung**

**Nebenwirkungen**

moderate certainty of evidence, 2 RCTs: CORIMUNO-19, SAVE-MORE). Anakinra, compared to standard care/placebo, may reduce WHO progression score (level 7 or above) at day 28 (RR 0.67, 95% CI 0.36 to 1.22; 55 fewer per 1,000, 95% CI from 107 fewer to 37 more, low certainty of evidence, 2 RCTs: CORIMUNO-19, SAVE-MORE). The evidence is very uncertain about the effect of anakinra on the number of patients with any adverse events (RR 1.22, 95% CI 0.81 to 1.83; 89 more per 1,000, 95% CI from 77 fewer to 335 more, very low certainty of evidence, 1 RCT: CORIMUNO-19) and the number of patients with serious adverse events (RR 0.97, 95% CI 0.61 to 1.52; 7 fewer per 1,000, 95% CI from 96 fewer to 128 more, very low certainty of evidence, 2 RCTs: CORIMUNO-19, SAVE-MORE) [230].



Table 3.18-1: Summary of findings table, on **anakinra** ( 3 RCTs: CORIMUNO-19 Collaborative group, Kyriazopoulou - SAVE-MORE, Derde - REMAP-CAP)

**Patient or population:** COVID-19 patients (moderate to critical, last update 11/07/2021)

**Setting:** Worldwide Inpatient

**Intervention:** Anakinra

**Comparison:** Standard care/Placebo

Outcome	Anticipated absolute effects (95% CI) <sup>a</sup>		Relative effect (95% CI)	Number of participants (studies)	Certainty of evidence	Comments
	Risk with Standard treatment	Risk with Anakinra				
All-cause mortality D28	104 per 1000	71 per 1000	RR: <b>0.69</b> (0.34 - 1.39)	722 (2 RCTs) <sup>b,c</sup>	⊕⊕○○ LOW <sup>d</sup>	<b>Absolute effect (95% CI)</b> <b>32 fewer per 1000</b> (from 68 fewer to 40 more)
All-cause mortality D60	349 per 1000	405 per 1000	RR: <b>1.16</b> (0.98 - 1.37)	912 (2 RCTs) <sup>e</sup>	⊕⊕○○ LOW <sup>f</sup>	<b>Absolute effect (95% CI)</b> <b>56 more per 1000</b> (from 7 fewer to 129 more)
Clinical improvement D28	737 per 1000	825 per 1000	RR: <b>1.12</b> (1.03 - 1.21)	722 (2 RCTs) <sup>b,c</sup>	⊕⊕⊕○ MODERATE <sup>g</sup>	<b>Absolute effect (95% CI)</b> <b>88 more per 1000</b> (from 22 more to 155 more)
WHO progression score (level 7 or above) D28	167 per 1000	112 per 1000	RR: <b>0.67</b> (0.36 - 1.22)	722 (2 RCTs) <sup>b,c</sup>	⊕⊕○○ LOW <sup>h</sup>	<b>55 fewer per 1000</b> (from 107 fewer to 37 more)
Number of patients with any adverse event	404 per 1000	492 per 1000	RR: <b>1.22</b> (0.81 - 1.83)	116 (1 RCT) <sup>b</sup>	⊕○○○ VERY LOW <sup>i</sup>	<b>Absolute effect (95% CI)</b> <b>89 more per 1000</b> (from 77 fewer to 335 more)
Number of patients with serious adverse events	247 per 100	240 per 1000	RR: <b>0.97</b> (0.61 - 1.52)	722 (2 RCTs) <sup>b,c</sup>	⊕○○○ VERY LOW <sup>j</sup>	<b>Absolute effect (95% CI)</b> <b>7 fewer per 1000</b> (from 96 fewer to 128 more)

**Source:** [231]; **Abbreviations:** CI=Confidence interval; RR=Risk ratio; **Explanations:** a The risk in the intervention group is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI); b [228] c [229] ; d Imprecision: Very serious due to very wide confidence interval consistent with the possibility for benefit and the possibility for harm; e [143, 228] f Imprecision: Very serious due to wide confidence interval consistent with the possibility for no effect and the possibility for harm and low number of participants g Risk of bias: Serious Risk of bias downgraded by 1 level:some concerns regarding adequate randomization and outcome measurement; h Inconsistency: Serious Inconsistency downgraded by 1 level: I<sup>2</sup>=60%; Imprecision: Serious due to wide confidence interval consistent with the possibility for benefit and the possibility for no effect; i Risk of bias: Serious Risk of bias downgraded by 1 level: some concerns regarding outcome measurement and selection of the reported result Indirectness: Serious despite a multicentre design this is a single study from a single country, therefore results in this population might not be generalizable to other settings. Imprecision: Serious due to wide confidence interval consistent with the possibility for no effect and the possibility for harm; j Risk of bias: Serious Risk of bias downgraded by 1 level: some concerns regarding adequate randomization, outcome measurement, and selection of the reported result Inconsistency: Serious Inconsistency downgraded by 1 level: I<sup>2</sup>=62% Imprecision: Serious due to wide confidence interval consistent with the possibility for benefit and the possibility for harm

### 3.19 Colchicine

The reader is referred to the earlier version (V15\_June 2021) for more details on colchicine treatment in hospitalised COVID-19 patients.

The **US COVID-19 Treatment Guidelines Panel** (update July 8, 2021), based on negative results from RECOVERY trial **recommends against** the use of colchicine in **hospitalised patients (AI)**-[112].

**US COVID-19 Treatment Guidelines Panel**  
**insuffiziente Datenlage**

#### Results of publications

Non-hospitalised patients

**Tardif et al. 2021** [236] published as preprint results from randomized, double-blind trial involving **non-hospitalised** patients with COVID-19 diagnosed by polymerase chain reaction (PCR) testing or clinical criteria (COLCORONA, NCT04322682). The patients were randomly assigned to receive colchicine (0.5 mg twice daily for 3 days and once daily thereafter) or placebo for 30 days. The primary efficacy endpoint was the composite of death or hospitalization for COVID-19 [236]. Among the 4159 patients with PCR-confirmed COVID-19, the primary endpoint occurred in 4.6% and 6.0% of patients in the colchicine and placebo groups, respectively (odds ratio, 0.75; 95% CI, 0.57 to 0.99;  $p=0.04$ ). The odds ratios were 0.75 (95% CI, 0.57 to 0.99) for hospitalization due to COVID-19, 0.50 (95% CI, 0.23 to 1.07) for mechanical ventilation, and 0.56 (95% CI, 0.19 to 1.66) for death. Serious adverse events were reported in 4.9% and 6.3% in the colchicine and placebo groups ( $p=0.05$ ); pneumonia occurred in 2.9% and 4.1% of patients ( $p=0.02$ ). Diarrhea was reported in 13.7% and 7.3% in the colchicine and placebo groups ( $p<0.0001$ ).

**RCT**  
**4.159 Patient\*innen**  
**nicht-hospitalisiert**

**Tod oder Hospitalisierung**  
**in**  
**4,6% vs. 6% zugunsten von**  
**Colchicine**

### 3.20 Nafamostat (Futhan©)

#### About the drug under consideration

Nafamostat mesilate (FUT-175, Futhan®, Nichi-Iko Pharmaceutical) is (with implications on coagulation, fibrinolysis, complement system, inflammatory cytokine release) and is quickly hydrolysed, the reason why it is typically administered as an intravenous drip. Nafamostat is not approved for any use by EMA or FDA.

**Futhan®**

#### Withdrawn, suspended or terminated studies

No withdrawn, suspended or terminated interventional studies were found on nafamostat in ClinicalTrials.gov and EUdraCT registers.

**keine abgeschlossenen,**  
**abgebrochenen Studien**

#### Results of publications

Until now, no scientific publication on randomized clinical trials of nafamostat in Covid-19 patients could be identified.

**keine veröffentlichten**  
**Studien**

## 3.21 Gimsilumab

### About the drug under consideration

Gimsilumab is a fully human monoclonal antibody that acts on granulocyte-macrophage colony-stimulating factor (GM-CSF) [1]; it is manufactured by Roivant Sciences Ltd. /Altasciences. Gimsilumab – ATC-code not assigned yet. Gimsilumab belongs to anti-inflammatories, antirheumatics, monoclonal antibodies drug class and has no approval for any indication by EMA or FDA yet.

**monoklonaler Antikörper  
in Entwicklung**

**EMA/ FDA: keine  
Zulassung**

### Withdrawn, suspended or terminated studies

No withdrawn, suspended or terminated interventional studies were found on gimsilumab in ClinicalTrials.gov and EUdraCT registers.

**keine abgeschlossenen,  
abgebrochenen Studien**

### Results of publications

There are no published results from RCTs related to effectiveness and safety of gimsilumab for Covid-19 treatment; one Phase II study of gimsilumab is ongoing, estimated study completion date is March 2021 [238, 239].

**keine veröffentlichten  
Studien**

**1 Phase 2 Studie läuft**

## 3.22 Canakinumab

### About the drug under consideration

Canakinumab is a human monoclonal anti-human interleukin-1 beta (IL-1 beta) antibody of the IgG1/κ isotype manufactured by Novartis Pharma AG. Canakinumab binds with high affinity specifically to human IL-1 beta and neutralises the biological activity of human IL-1 beta by blocking its interaction with IL-1 receptors, thereby preventing IL-1 beta-induced gene activation and the production of inflammatory mediators [240]. Canakinumab is not authorised in Covid-19 patients (EMA, FDA).

**monoklonaler Antikörper  
EMA Orphan Drug  
Zulassung für diverse  
Indikationen**

### Withdrawn, suspended or terminated studies

No withdrawn, suspended or terminated interventional studies were found on canakinumab in ClinicalTrials.gov and EUdraCT registers.

**keine abgeschlossenen,  
abgebrochenen Studien**

### Results of publications

There are no published RCTs related to effectiveness and safety of canakinumab for Covid-19. Two studies of canakinumab are still ongoing: one Phase III study, estimated study completion date on December 2020 and one Phase II study, estimated completion date on December 2020 [241-243].

**keine veröffentlichten  
Studien**

**1 Phase 3 Studie läuft**

Manufacturer recently **announced preliminary interim results** from the CAN-COVID trial: the CAN-COVID trial failed to meet its primary endpoint showing that treatment with canakinumab plus standard of care (SoC) did not demonstrate a significantly greater chance of survival for patients without the need for invasive mechanical ventilation, compared with placebo plus SoC up to Day 29. The trial did not meet its key secondary endpoint of reducing the COVID-19-related death rate during the 4-week period after treatment. The safety profiles of canakinumab plus SoC and

**CAN-COVID  
negative Ergebnisse  
kein Unterschied**

placebo plus SoC were comparable (<https://www.novartis.com/coronavirus/can-covid-clinical-trial>).

### 3.23 Lenzilumab

#### About the drug under consideration

Lenzilumab is a first-in-class Humaneered® recombinant monoclonal antibody targeting human GM-CSF, with potential immunomodulatory activity, high binding affinity in the picomolar range, 94% homology to human germline, and has low immunogenicity. Following intravenous administration, lenzilumab binds to and neutralizes GM-CSF, preventing GM-CSF binding to its receptor, thereby preventing GM-CSF-mediated signaling to myeloid progenitor cells. The inhibition of GM-CSF signaling may be beneficial in improving the hyperinflammation-related lung damage in the most severe cases of COVID-19. This blockade can be achieved through antagonism of the GM-CSF receptor or the direct binding of circulating GM-CSF [244, 245].

Lenzilumab is not authorised in Covid-19 patients (EMA, FDA). FDA has approved the administration of lenzilumab for COVID-19 patients under individual patient emergency IND applications to patients under the company's compassionate use program.

#### Withdrawn, suspended or terminated studies

No withdrawn, suspended or terminated interventional studies were found on lenzilumab in ClinicalTrials.gov and EUdraCT registers.

#### Results of publications

Currently, results from one RCT were published as preprint related to effectiveness and safety of lenzilumab for Covid-19. **Temesgen et al. 2021** [246] published results from **LIVE-AIR phase 3** randomized, double-blind, placebo-controlled trial investigated the efficacy and safety of lenzilumab to assess the potential for lenzilumab to improve the likelihood of ventilator-free survival (referred to herein as survival without ventilation, SWOV), beyond standard supportive care, in hospitalised subjects with severe COVID-19 (NCT04351152). Subjects with COVID-19 (n=520), ≥18 years, and ≤94% oxygen saturation on room air and/or requiring supplemental oxygen, but not invasive mechanical ventilation, were randomized to receive lenzilumab (600 mg, n=261) or placebo (n=259) via three intravenous infusions administered 8 hours apart. Subjects were followed through Day 28 following treatment. Baseline demographics were comparable between the two treatment groups: male, 64.7%; mean age, 60.5 years; mean BMI, 32.5 kg/m<sup>2</sup>; mean CRP, 98.36 mg/L; CRP was <150 mg/L in 77.9% of subjects. The most common comorbidities were obesity (55.1%), diabetes (53.4%), chronic kidney disease (14.0%), and coronary artery disease (13.6%). Subjects received steroids (93.7%), remdesivir (72.4%), or both (69.1%). Lenzilumab improved the likelihood of SWOV by 54% in the mITT population (HR: 1.54; 95%CI: 1.02-2.31, p=0.041) and by 90% in the ITT population (HR: 1.90; 1.02-3.52, nominal p=0.043) compared to placebo. SWOV also relatively improved by 92% in subjects who received both corticosteroids and remdesivir (1.92; 1.20-3.07, nominal p=0.0067); by 2.96-fold in subjects with CRP<150 mg/L and age <85 years (2.96; 1.63–5.37, nominal p=0.0003); and

**monoklonaler Antikörper**

**für keine Indikation  
bislang zugelassen**

**FDA: für  
Einzelanwendungen im  
Notfall – compassionate  
use zur Verhinderung von  
akutem Lungenversagen**

**Phase 3 RCT  
LIVE-AIR  
520 Pts  
mit schwerer Erkrankung**

**deutlich bessere klinische  
Ergebnisse in der  
Lenzilumab-Gruppe**

by 88% in subjects hospitalized  $\leq 2$  days prior to randomization (1.88; 1.13-3.12, nominal  $p=0.015$ ). Survival was improved by 2.17-fold in subjects with  $CRP < 150$  mg/L and age  $< 85$  years (2.17; 1.04-4.54, nominal  $p=0.040$ ).

Humanigen plans to use the data to seek emergency use authorisation from the FDA, <https://www.businesswire.com/news/home/20210329005301/en/Humanigen-Reports-Positive-Phase-3-Topline-Results-Demonstrating-That-Lenzilumab%E2%84%A2-Improves-Survival-Without-Need-for-Mechanical-Ventilation-in-Hospitalized-Patients-With-COVID-19>

**Hersteller plant  
EUA Antrag**

## 3.24 Vitamin D

### About the drug under consideration

Vitamin D (ergocalciferol-D2, cholecalciferol-D3) is a fat-soluble vitamin increases the intestinal absorption of calcium and phosphate. Vitamin D is absorbed from the intestine and transported by protein binding in the blood to the liver (first hydroxylation to 25-hydroxycholecalciferol) and to the kidney (2nd hydroxylation to 1,25-dihydroxycholecalciferol, active metabolite responsible for increasing calcium absorption). It has been claimed as potentially protective against the infection since it may be associated with immunocompetence, inflammation, aging, and those diseases involved in determining the outcomes of COVID-19 [247]. VIOLET RCT (NCT03096314) of early high-dose enteral vitamin D3 supplementation in critically ill, vitamin D-deficient patients who were at high risk for death did not provide an advantage over placebo with respect to 90-day mortality or other, nonfatal outcomes among critically ill, vitamin D-deficient patients [248]. RCTs to assess efficacy and safety of vitamin D in COVID-19 patients are underway.

**protektive Wirkung gegen  
Infekte bekannt**

**assoziiert mit guter  
Immunantwort**

**VIOLET  
RCT zu hoch-dosiertem Vit  
D3 zur Supplementierung  
kein Vorteil  
mehrere klinische Studien  
laufend**

Vitamin D is not authorised in Covid-19 patients (EMA, FDA).

The **US COVID-19 Treatment Guidelines Panel** stated that there are **insufficient data** to recommend either **for or against** the use of vitamin D for the prevention or treatment of COVID-19 [112].

**US COVID-19 Treatment  
Guidelines Panel:  
insuffiziente Datenlage**

### Withdrawn, suspended or terminated studies

No withdrawn or suspended, and 1 terminated (NCT04810949, enrolled patients were vaccinated against COVID-19) interventional studies were found on Vitamin D in ClinicalTrials.gov and EUdraCT registers.

### Results of publications

**Entrenas Castillo et al. 2020** [249] published results from parallel pilot randomized open label, double-masked clinical trial on 76 consecutive **patients hospitalised** with COVID-19 infection in Spain (NCT04366908). Eligible patients were allocated at a 2 calcifediol:1 no calcifediol ratio, through electronic randomization on the day of admission to take oral calcifediol (0.532 mg), or not. Patients in the calcifediol treatment group continued with oral calcifediol (0.266 mg) on day 3 and 7, and then weekly until discharge or ICU admission. Of 50 patients treated with calcifediol, one required admission to the ICU (2%), while of 26 untreated patients, 13 required admission (50%),  $p < 0.001$ . Calcifediol or 25-hydroxyvitamin D, a

**RCT  
76 hospitalisierte Pts  
Vorteil bei  
Verhinderung von ICU  
Verschlechterung der  
Erkrankung**

main metabolite of vitamin D, significantly reduced the need for ICU treatment of patients requiring hospitalization due to proven COVID-19: Univariate Risk Estimate Odds Ratio for ICU in patients with Calcifediol treatment versus without Calcifediol treatment: 0.02 (95 %CI 0.002- 0.17). Multivariate Risk Estimate Odds Ratio for ICU in patients with Calcifediol treatment vs Without Calcifediol treatment ICU (adjusting by Hypertension and T2DM): 0.03 (95 %CI: 0.003-0.25). Of the patients treated with calcifediol, none died, and all were discharged, without complications. The 13 patients not treated with calcifediol, who were not admitted to the ICU, were discharged. Of the 13 patients admitted to the ICU, two died and the remaining 11 were discharged.

**Rastogi et al. 2020 [250]** published results from randomized, placebo-controlled trial (NCT04459247, SHADE) on 40 COVID-19 adult **asymptomatic or mildly symptomatic** SARS-CoV-2 RNA positive vitamin D deficient individuals (intervention (n=16) or control (n=24) group), with outcomes measure: Proportion of patients with SARSCoV-2 RNA negative before day-21 and change in inflammatory markers. 10 (62.5%) participants in the intervention group and 5 (20.8%) participants in the control arm ( $p < 0.018$ ) became SARS-CoV-2 RNA negative. Fibrinogen levels significantly decreased with cholecalciferol supplementation (intergroup difference 0.70 ng/ml;  $p = 0.007$ ) unlike other inflammatory biomarkers.

**RCT**  
**40 Patient\*innen**  
**asymptomatisch oder**  
**mild symptomatisch**  
  
**Reduktion**  
**Entzündungsmarker**  
**Fibrinogen**

**Murai et al. 2020 [251]** presented as pre-print results from double-blind, randomised, placebo-controlled trial involving 240 **hospitalised patients with severe COVID-19**, in Brasil (NCT04449718). A single dose of 200,000 IU of vitamin D3 supplementation was safe and effective in increasing 25-hydroxyvitamin D levels, but did not significantly reduce hospital length of stay (hazard ratio, 1.12) or any other 10 clinically-relevant outcomes compared with placebo.

**RCT**  
**240 hospitalisierte**  
**Patient\*innen**  
**kein Unterschied bei**  
**Dauer des**  
**Krankenhausaufenthalts**

**Sabico et al. 2021 [252]** published results from RCT aims to determine the effects of 5000 IU versus 1000 IU daily oral vitamin D3 supplementation in the recovery of symptoms and other clinical parameters among mild to moderate COVID-19 patients with sub-optimal vitamin D status. A total of 69 reverse transcriptase polymerase chain reaction (RT-PCR) SARSCoV-2 positive adults who were **hospitalized for mild to moderate COVID-19 disease** were allocated to receive once daily for 2 weeks either 5000 IU oral vitamin D3 (n=36) or 1000 IU oral vitamin D3 (standard control) (n=33). Kaplan–Meier survival analysis revealed that the 5000 IU group had a significantly shorter time to recovery (days) than the 1000 IU group in resolving cough, even after adjusting for age, sex, baseline BMI, and D-dimer ( $6.2 \pm 0.8$  versus  $9.1 \pm 0.8$ ;  $p = 0.039$ ), and ageusia (loss of taste) ( $11.4 \pm 1.0$  versus  $16.9 \pm 1.7$ ;  $p = 0.035$ ).

**RCT**  
**69 hospitalisierte Pts,**  
**milde/ moderate**  
**Erkrankung**

**verschiedene**  
**Dosierungen**

**Summary of Finding table** related to **Vitamin D compared to Standard care/Placebo** for **hospitalised** COVID-19 patients, related to 2 RCTs mentioned above, is presented in Table 3.24-1 below. The evidence is very uncertain about the effect of Vitamin D on outcomes: All-cause mortality D14-D28 (RR 0.59, 95% CI 0.05 to 7.08, 2 RCTs, very low certainty of evidence); Clinical improvement D28 (RR 1.09, 95% CI 0.96 to 1.23, 1 RCT, very low certainty of evidence) and WHO progression score (level 7 or above) D28 (RR 0.04, 95% CI 0.01 to 0.29, 1 RCT, very low certainty of evidence). Vitamin D may increase Adverse events (RR 3.00, 95% CI 0.12 to 72.91, 1 RCT, low certainty of evidence).

**SoF von 2 RCTs**  
**sehr unsichere**  
**Evidenz**  
**ev. Verhinderung von**  
**Verschlechterung**

Table 3.24-1: Summary of findings table on **Vitamin D compared to standard care** (2 RCT:Entrenas Castillo, Murai) - [https://covid-nma.com/living\\_data/index.php](https://covid-nma.com/living_data/index.php)**Vitamin D compared to Standard care/Placebo for Hospitalised COVID-19** (last update 14/06/2021)**Patient or population:** Hospitalised COVID-19 patients**Setting:** Worldwide**Intervention:** Vitamin D**Comparison:** Standard care/Placebo

Outcome	Anticipated absolute effects (95% CI) <sup>a</sup>		Relative effect (95% CI)	Number of participants (studies)	Certainty of evidence	Comments
	Risk with Standard treatment/Placebo	Risk with Vitamin D				
<b>All-cause mortality D28</b>	55 per 1000	32 per 1000	<b>RR: 0.59</b> (0.05 - 7.06)	316 (2 RCTs) b	OOO⊕ VERY LOW f	<b>Absolute effect (95% CI)</b> <b>22 fewer per 1000</b> (from 52 fewer to 332 more)
<b>Clinical improvement D28</b>	923 per 1000	1006 per 1000	<b>RR: 1.09</b> (0.96 - 1.23)	76 (1 RCT) c	OOO⊕ VERY LOW g	<b>Absolute effect (95% CI)</b> <b>83 more per 1000</b> (from 37 fewer to 212 more)
<b>WHO progression score (level 7 or above) D28</b>	500 per 1000	20 per 1000	<b>RR: 0.04</b> (0.01 - 0.29)	76 (1 RCT) d	OOO⊕ VERY LOW h	<b>Absolute effect (95% CI)</b> <b>480 fewer per 1000</b> (from 495 fewer to 355 fewer)
<b>Viral negative conversion D7</b>	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported
<b>Number of patients with adverse events</b>	0 per 1000	0 per 1000	<b>RR 3.00</b> (0.12 to 72.91)	240 (1 RCT) e	OO⊕⊕ LOW i	<b>Absolute effect (95% CI)</b> Not calculated due to zero events in control group
<b>Number of patients with serious adverse events</b>	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported

**CI:** Confidence interval; **RR:** Risk ratio; Explanations: a. The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI); b. Entrenas Castillo 2020, Murai 2020; c. Entrenas Castillo, 2020; d. Entrenas Castillo, 2020; e. Murai 2020; f. Inconsistency: Serious Inconsistency downgraded by 1 level:  $I^2=62.9\%$ , Imprecision: Very serious Imprecision downgraded by 2 levels: due to very wide confidence interval consistent with the possibility for benefit and the possibility for harm and low number of participants; g. Risk of bias: Serious Risk of bias downgraded by 1 level: some concerns regarding adequate randomization, deviation from intended intervention and outcome measurement Indirectness: Serious single study from a single institution therefore results in this population might not be generalizable to other settings Imprecision: Serious due to low number of participants; h. Risk of bias: Serious Risk of bias downgraded by 1 level: some concerns regarding adequate randomization and deviations from intended interventions.

Indirectness: Serious

Results: Therapeutics

Indirectness downgraded by 1 level: single study from a single institution therefore results in this population might not be generalizable to other settings Imprecision: Serious due to low number participants; i. Imprecision: Very serious Imprecision downgraded by 2 levels: due to very wide confidence interval consistent with the possibility for benefit and the possibility for harm and low number of participants

**GRADE Working Group grades of evidence: High certainty:** We are very confident that the true effect lies close to that of the estimate of the effect; **Moderate certainty:** We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different; **Low certainty:** Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect; **Very low certainty:** We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect



## 3.25 Olumiant (Baricitinib)

### About the drug under consideration

Baricitinib is a selective and reversible inhibitor of Janus kinase (JAK)1 and JAK2. Janus kinases (JAKs) are enzymes that transduce intracellular signals from cell surface receptors for a number of cytokines and growth factors involved in haematopoiesis, inflammation and immune function. Baricitinib (Olumiant) is indicated in EU for the treatment of moderate to severe active rheumatoid arthritis in adult patients who have responded inadequately to, or who are intolerant to one or more disease-modifying anti-rheumatic drugs and for the treatment of moderate to severe atopic dermatitis in adult patients who are candidates for systemic therapy [253, 254].

Baricitinib (Olumiant) has not been approved by the European Medicines Agency (EMA). On November 19, 2020, the U.S. Food and Drug Administration (FDA) issued an **Emergency Use Authorization (EUA)** for the distribution and emergency use of baricitinib to be used **in combination with remdesivir in hospitalised adult and pediatric patients two years of age or older** with suspected or laboratory confirmed COVID-19 **who require supplemental oxygen, invasive mechanical ventilation, or extracorporeal membrane oxygenation (ECMO)** [255].

On April 29, 2021 EMA starts evaluating an application to extend the use of baricitinib (Olumiant) to include treatment of COVID-19 in hospitalised patients from 10 years of age who require supplemental oxygen [256].

The **US COVID-19 Treatment Guidelines Panel** (last update July 8, 2021), recommends using either **baricitinib (BIIa)** or **tocilizumab (BIIa)** (listed alphabetically) in combination with **dexamethasone** alone or **dexamethasone plus remdesivir** for the treatment of COVID-19 patients who were recently hospitalised with rapidly increasing oxygen needs and systemic inflammation [112].

The Panel **recommends against** the use of **baricitinib** in combination with **tocilizumab** for the treatment of COVID-19, (**AIII**). Because both baricitinib and tocilizumab are potent immunosuppressants, there is the potential for an additive risk of infection.

There is insufficient evidence for the Panel to recommend either for or against the use of baricitinib for the treatment of COVID-19 in children [112].

### Withdrawn, suspended or terminated studies

No withdrawn, suspended or terminated interventional studies were found on baricitinib in ClinicalTrials.gov and EUdRACT registers. There are several ongoing RCTs, evaluating baricitinib alone (8 RCTs and one nRCT) or in combination with other pharmaceuticals (5 RCTs), in Covid-19 hospitalised patients. One is the RECOVERY (Randomised Evaluation of COVid-19 thERapY) trial, led by the University of Oxford [183].

**Januskinase-Inhibitor**

**Baricitinib (Olumiant) in EU für moderate bis schwere rheumatoide Arthritis zugelassen**

**EMA: keine Zulassung für covid-19**

**FDA Zulassung (EUA) als Kombinations-therapie mit Remdesivir hospitalisierte Patient\*innen mit Bedarf zur Beatmung**

**US COVID-19 Treatment Guidelines Panel: Empfehlung für Kombinationstherapie mit Dexamethasone in hospitalisierten Pts., die Sauerstoff baruche**

**Empfehlung gegen eine Kombinationstherapie Baricitinib + Tocilizumab**

**insuffiziente Datenlage bei Kindern**

**keine Studien abgebrochen, zurückgezogen**

### Results of publications: Baricitinib in combination with remdesivir

On December 11, 2020, **Kalil et al.** [257] published results from the Adaptive COVID-19 Treatment Trial (**ACTT-2**) (**NCT04401579**), multicentre, double-blind, randomised, placebo-controlled trial evaluating **baricitinib plus remdesivir with remdesivir alone** in **hospitalised adults** with Covid-19 in eight countries. Effectiveness and safety data summary, related to three outcomes (All-cause mortality; Number of patients with AEs and Number of patients with SAEs), can be found in the **Summary of Findings** Table 3.25-1. High certainty evidence from one published RCT, ACTT-2 trial, showed that baricitinib in combination with remdesivir does not reduce All-cause mortality, and does not increase the number of patients with any adverse events as well as the number of patients with serious adverse events (high certainty of evidence). Combination of baricitinib and remdesivir significantly reduced median time to recovery in hospitalised COVID-19 patients from eight days to seven days, compared to remdesivir treatment alone. Patients who required high-flow oxygen or non-invasive ventilation during hospitalisation appeared to have had the largest benefit: their median time to recovery was shortened from eighteen days to ten days. Participants' conditions at day 15 was significantly improved when they received the two therapeutics combined. The incidence of progression to death or non-invasive or invasive ventilation was statistically significant lower in the combination of baricitinib and remdesivir vs remdesivir alone, as was the incidence of progression to death or invasive ventilation [258]: Risk ratio (95% CI) for outcome WHO progression score level 7 or above D14-28 is 0.59 (0.44 to 0.80) (COVID-NMA Meta-analysis, [https://covid-nma.com/living\\_data/index.php?allcomp#comparisons\\_div](https://covid-nma.com/living_data/index.php?allcomp#comparisons_div)). New Summary of finding table and certainty of evidence will be provided in the next versions of this report, [https://covid-nma.com/living\\_data/index.php?allcomp#comparisons\\_div](https://covid-nma.com/living_data/index.php?allcomp#comparisons_div).

**RCT, ACTT-2**  
**hospitalisierte Pts**  
**Kombinationstherapie +**  
**Remdesivir**

**keine Reduktion der**  
**Gesamtmortalität**  
**aber Reduktion der Zeit**  
**zur Erholung um 1 Tag**

**Pts. mit nicht-invasiver**  
**Beatmung: größter**  
**Nutzen**

**Reduktion der Zeit zur**  
**Erholung um 8 Tage (statt**  
**18, nur 10 Tage)**

### Results of publications: Baricitinib monotherapy (in addition to standard care)

On May 3, 2021 **Marconi et al.** [259] published as **pre-print** results from phase 3, global, double-blind, randomized, placebo-controlled trial **COV-BARRIER** (**NCT04421027**). 1525 **hospitalised adults** with COVID-19 **receiving standard of care (SOC)** were randomly assigned (1:1) to once-daily **baricitinib 4-mg** (n=764) or **placebo** (n=761) for up to 14 days. **SOC included systemic corticosteroids in ~79% of participants (dexamethasone ~90%)**. The primary endpoint was the proportion who progressed to high-flow oxygen, non-invasive ventilation, invasive mechanical ventilation, or death by day 28. A key secondary endpoint was all-cause mortality by day 28. 27.8% of participants receiving baricitinib vs 30.5% receiving placebo progressed (primary endpoint, odds ratio 0.85, 95% CI 0.67-1.08; p=0.18). The 28-day all-cause mortality was 8.1% for baricitinib and 13.1% for placebo, corresponding to a 38.2% reduction in mortality (hazard ratio [HR] 0.57, 95% CI 0.41-0.78; nominal p=0.002); 1 additional death was prevented per 20 baricitinib-treated participants. Reduction in mortality was seen for all pre-specified subgroups of baseline severity (most pronounced for participants on high-flow oxygen/non-invasive ventilation at baseline [17.5%, baricitinib vs 29.4%, placebo; HR 0.52, 95% CI 0.33-0.80; nominal p=0.007]). The frequency of adverse events, serious adverse events, serious infections, and venous thromboembolic events was similar between groups.

**Phase 3 RCT**  
**COV-BARRIER**  
**1.525 hospitalisierte Pts**  
**bessere Ergebnisse bei**  
**28-Tage Gesamtmortalität**  
**mit Baricitinib**

Results from **COVID-NMA Meta-analysis** show that baricitinib monotherapy compared to placebo significantly reduced COVID-19 related all-cause mortality at day 28 (Risk ratio 0.62, 95% CI 0.46 to 0.83). Baricitinib monotherapy compared to placebo does not significantly increase clinical improvement (Risk ratio 1.00, 95% CI 0.95 to 1.05), adverse events (Risk ratio 1.00, 95% CI 0.89 to 1.12) and serious adverse events (Risk ratio 0.81, 95% CI 0.64 to 1.02). Summary of finding table and certainty of evidence will be provided in the next versions of this report, [https://covid-nma.com/living\\_data/index.php?allcomp#comparisons\\_div](https://covid-nma.com/living_data/index.php?allcomp#comparisons_div).

**Metaanalyse zu  
Monotherapie  
Reduktion der  
Gesamtmortalität, aber  
nicht klinische  
Verbesserung**

Table 3.25-1: Summary of findings table, on **baricitinib + remdesivir** (1 RCT: Kalil 2020)

**Question:** Should Baricitinib-Remdesivir compared to Standard treatment (placebo/remdesivir) be used for COVID-19 patients?

**Setting:** Inpatient

Outcome	Anticipated absolute effects (95% CI)		Relative effect (95% CI)	Absolute effect (95% CI)	Number of participants (studies)	Certainty of evidence	Comments
	Risk with placebo+remdesivir	Risk with baricitinib+remdesivir					
All-cause mortality	71 per 1000	46 per 1000	<b>RR 0.65</b> (0.40 to 1.07)	<b>25 fewer per 1.000</b> (from 43 fewer to 5 more)	1033 (1 RCT) <sup>a</sup>	⊕⊕⊕⊕ HIGH	Baricitinib in combination with remdesivir does not reduce All-cause mortality
Number of patients with any adverse event	432 per 1000	367 per 1000	<b>RR 0.85</b> (0.73 to 0.99)	<b>65 fewer per 1.000</b> (from 117 fewer to 4 fewer)	1016 (1 RCT) <sup>a</sup>	⊕⊕⊕⊕ HIGH	Baricitinib in combination with remdesivir reduces the risk of AE
Number of patients with serious adverse events	210 per 1000	159 per 1000	<b>RR 0.76</b> (0.59 to 0.99)	<b>50 fewer per 1.000</b> (from 86 fewer to 2 fewer)	1013 (1 RCT) <sup>a</sup>	⊕⊕⊕⊕ HIGH	Baricitinib in combination with remdesivir reduces the risk of serious AE

**Source:** ref Cruciani F., De Crescenzo F., Vecchi S., Saulle R., Mitrova Z., Amato L., et al. Should Baricitinib-Remdesivir compared to Standard treatment (placebo/remdesivir) be used for COVID-19 patients?. 2020.

<sup>a</sup> ref Kalil AC, Patterson TF, Mehta AK, Tomashek KM, Wolfe CR, Ghazaryan V, et al. Baricitinib plus Remdesivir for Hospitalized Adults with Covid-19. New England Journal of Medicine. 2020. 10.1056/NEJMoa2031994.

**Abbreviations:** RR=Risk ratio; CI=Confidence interval; AE=Adverse event; SAE=Serious adverse event

## 3.26 Molnupiravir

### About the drug under consideration

Molnupiravir is the orally available pro-drug of the nucleoside analogue N4-hydroxycytidine (NHC), which has shown potent anti-influenza virus activity in mice, guinea pigs, ferrets and human airway epithelium organoids. Animal study in ferrets showed that therapeutic treatment of infected animals with molnupiravir (MK-4482/EIDD-2801) twice a day significantly reduced the SARS-CoV-2 load in the upper respiratory tract and completely suppressed spread to untreated contact animals [260, 261].

Molnupiravir attacks the same viral enzyme as Gilead's Remdesivir, but it can be taken orally. This would allow an administration at home and, therefore, earlier in the course of the disease. According to Ridgeback Biotherapeutics, molnupiravir has an extremely high barrier to resistance. According to Merck Sharp & Dohme/ MSD, molnupiravir is aimed at the treatment of Covid-19 in patients hospitalised due to mild, moderate or severe disease, and non-hospitalized patients with mild or moderate disease [261].

Molnupiravir is not approved by the European Medicines Agency (EMA) or the American Food and Drug Administration (FDA) [261].

### Withdrawn, suspended or terminated studies

No withdrawn, suspended or terminated interventional studies were found on molnupiravir in ClinicalTrials.gov and EUdraCT registers.

### Results of publications

There are no published RCTs related to effectiveness and safety of molnupiravir for Covid-19. It is currently investigated in phase 1/2, 2 and 2/3 clinical trials (NCT04405570, NCT04405739, NCT04575584, NCT04575597, ISRCTN27106947), in hospitalised and non-hospitalised adults with COVID-19.

On March 6, 2021 Merck and Ridgeback Biotherapeutics, LP **announced preliminary results** from Ridgeback's **phase 2a** randomized, double-blind, placebo-controlled trial to evaluate the safety, tolerability, and efficacy to eliminate SARS-CoV-2 viral RNA of molnupiravir (EIDD-2801/MK-4482), on one secondary objective, showing a reduction in time (days) to negativity of infectious virus isolation in nasopharyngeal swabs from participants with symptomatic SARS-CoV-2 infection, as determined by isolation in Vero cell line culture. At day 5, there was a reduction (nominal  $p=0.001$ , not controlled for multiplicity) in positive viral culture in subjects who received molnupiravir (all doses) compared to placebo: 0% (0/47) for molnupiravir and 24% (6/25) for placebo. Of 202 treated participants, no safety signals have been identified and of the 4 serious adverse events reported, none were considered to be study drug related, <https://www.businesswire.com/news/home/20210305005610/en/>.

**antivirales Medikament  
ähnlich Remdesivir  
aber orale Verabreichung**

**frühere Verabreichung zu  
Hause daher möglich**

**hospitalisierte, aber auch  
milde und moderate  
Erkrankung**

**weder von EMA noch FDA  
zugelassen**

**keine RCTs  
derzeit in Phase 1/ 2, 2  
und 1/ 3 Studien mit  
verschiedenen Pts.  
Populationen**

**Presseaussendung von  
Merck & Ridgeback  
2a RCT  
positive Ergebnisse**

On April 15, 2021 Merck and Ridgeback Biotherapeutics provided an update on the clinical development program for molnupiravir. Based on a planned interim analysis of data from the phase 2, dose-finding portion (Part 1) of two ongoing placebo-controlled phase 2/3 trials evaluating molnupiravir administered twice a day for five days in outpatients (MOVE-OUT) and hospitalised patients (MOVE-IN) with COVID-19, and from a previously completed phase 2a dose-ranging study in outpatients, the decision has been made to proceed with the phase 3 portion (Part 2) of MOVE-OUT in outpatients with COVID-19, evaluating the 800 mg dose of molnupiravir twice daily. Data from MOVE-IN indicate that molnupiravir is unlikely to demonstrate a clinical benefit in hospitalised patients, who generally had a longer duration of symptoms prior to study entry; therefore, the decision has been made not to proceed to phase 3. Final data from the Phase 3 portion (Part 2) of the MOVE-OUT study is estimated to be available in September/October 2021, <https://www.merck.com/news/merck-and-ridgeback-biotherapeutics-provide-update-on-progress-of-clinical-development-program-for-molnupiravir-an-investigational-oral-therapeutic-for-the-treatment-of-mild-to-moderate-covid-19/>

**Presseaussendung:  
2 laufende 2/3 RCTs  
MOVE-OUT, MOVE-IN  
ambulante,  
hospitalisierte Pts**

**keine Wirksamkeit bei  
hospitalisierten Pts**

**Phase 3 RCT:  
nur ambulante Pts**

On June 09, 2021 Merck announced that it has entered into a procurement agreement with the United States government for molnupiravir. Merck pending favorable results from MOVE-OUT, so the earliest possible submission for an Emergency Use Authorization for molnupiravir will be in the second half of 2021, <https://www.businesswire.com/news/home/20210609005142/en/Merck-Announces-Supply-Agreement-with-U.S.-Government-for-Molnupiravir-an-Investigational-Oral-Antiviral-Candidate-for-Treatment-of-Mild-to-Moderate-COVID-19>

**Beschaffungs-  
verhandlungen in USA**

## 3.27 Ivermectin

The reader is referred to the earlier version (V15\_June 2021) for more details on ivermectin treatment in COVID-19 patients.

The **US COVID-19 Treatment Guidelines Panel** Statement (February 11, 2021) [113] [112] is: Currently there are **insufficient data** to **recommend either for or against** the use of ivermectin for the treatment of COVID-19. Results from adequately powered, well-designed, and well-conducted clinical trials are needed to provide more specific, evidence-based guidance on the role of ivermectin for the treatment of COVID-19.

**US COVID-19 Treatment  
Guidelines Panel:  
insuffiziente Datenlage,  
nur in klinischen Studien**

The **WHO Therapeutics and COVID-19 living guideline** [264, 265] includes a **recommendation not to use ivermectin except in the context of a clinical trial**. Such recommendation is based on the living systematic review and network meta-analysis (NMA) that pooled data from 16 randomized controlled trials (RCTs) with 2407 participants, including both inpatients and outpatients with COVID-19. The effects of ivermectin on mortality, need for invasive mechanical ventilation, hospital admission, duration of hospitalization and time to viral clearance all remain very uncertain (all very low certainty evidence). The uncertainty results from important concerns related to risk of bias in the included studies, and imprecision from a very low number of events and, in some cases, wide confidence intervals (CIs) in pooled estimates. Ivermectin may increase the risk of serious adverse events (SAEs) leading to drug discontinuation (odds ratio [OR] 3.07; 95% CI: 0.77–12.09;

**WHO Therapeutics and  
COVID-19 living guideline  
(basierend auf NMA  
von 16 RCTs):**

**Empfehlung gegen  
Ivermectin (außer in klin.  
Studien)**

low certainty evidence) and may have little or no impact on time to clinical improvement (mean difference [MD] 0.5 fewer days; 95% CI: 1.7 fewer days to 1.1 more days; low certainty evidence). There was no credible subgroup effect based on dose. Subgroup analyses were not performed examining between-study differences in age or illness severity as per our pre-defined decision to limit subgroup analysis to within-study comparisons.

## Results of publications

### Ivermectin administered orally

Several RCTs compared **ivermectin vs standard care**, published in scientific journals or as preprint, showed positive or negative results on different clinical outcomes in COVID-19 patients. The reader is referred to the earlier version (V15\_June 2021) for more details on these publications.

One new study was published in outpatient setting. **Vallejos et al. 2021** [284] published negative results from RCT conducted in **nonhospitalised** individuals with COVID-19 in Corrientes, Argentina (NCT0452952). Patients were randomized to ivermectin (n=250) or placebo (n=251) arms in a staggered dose, according to the patient's weight, for 2 days. The primary outcome of hospitalisation was met in 14/250 (5.6%) individuals in ivermectin group and 21/251 (8.4%) in placebo group (odds ratio 0.65; 95% confidence interval, 0.32–1.31; p=0.227). The mean time from study enrolment to invasive mechanical ventilatory support (MVS) was 5.25 days (SD ± 1.71) in ivermectin group and 10 days (SD ± 2) in placebo group, (p=0.019). There were no statistically significant differences in the other secondary outcomes including polymerase chain reaction test negativity and safety outcomes.

According the meta-analysis of 4 RCTs (Khan Chachar, 2020; Chaccour, 2021; Lopez-Medina, 2021; Biber, 2021) related to ivermectin vs standard care in **mild COVID-19 patients in outpatient setting** the evidence is uncertain about the effect of ivermectin on several outcomes: Clinical improvement D28 (RR 1.05, 95% CI 0.93 to 1.19); WHO progression score (level 7 or above) D28 (RR 2.00, 95% CI 0.18 to 21.91); All-cause mortality D28 (RR 0.33, 95% CI 0.01 to 8.14); and Serious adverse events (RR 1.00, 95% CI 0.14 to 7.04) (low certainty of evidence). Ivermectin probably does not increase Adverse events (RR 0.96, 95% CI 0.85 to 1.19) (moderate certainty of evidence). The evidence is very uncertain about the effect of ivermectin on outcomes hospitalisation or death (RR 0.14, 95% CI 0.01 to 2.70) and Viral negative conversion D7 (RR 1.68, 95% CI 1.12 to 2.51) (very low certainty of evidence). The Summary of findings table could be found below (last update 27/06/2021) (Table 3.27-1).

According the meta-analysis of 8 RCTs (Shah Bukari, 2021; Ahmed, 2020; Mohan, 2021; Podder, 2020; Kirti, 2021; Okumus, 2021, Pott-Junior H, 2021; Kishoria N, 2020) related to ivermectin vs standard care in **hospitalised COVID-19 patients** the evidence is uncertain about the effect of ivermectin on several outcomes: Clinical improvement D28 (RR 1.00, 95% CI 0.90 to 1.11); WHO progression score (level 7 or above) D28 (RR 1.55, 95% CI 0.07 to 35.89); and Adverse events (RR 0.86, 95% CI 0.59 to 1.25) (low certainty of evidence). The evidence is very uncertain about the effect of ivermectin on further outcomes: All-cause mortality D28 (RR 0.53, 95% CI 0.21 to 1.31); Viral negative conversion D7 (RR 1.01, 95% CI 0.88 to 1.17); and Serious adverse events (RR 1.18, 95% CI 0.10 to 14.04) (very low certainty of evidence) (last update 18/06/2021).

The Summary of findings table could be found below (Table 3.27-1).

mehrere RCTs

**RCT, 62 Pts. milde bis moderate Krankheit**

**kein Unterschied**

**RCT(Argentinien)  
501 nicht-hospitalisierte Pts**

**weniger  
Hospitalisierungen**

**Metaanalyse von 4 RCTs  
ambulante Pts mit milder/  
moderater Erkr.**

**unsichere Evidenz zur  
Wirksamkeit**

**Metaanalyse von 8 RCTs  
hospitalisierte Pts**

**unsichere Evidenz zur  
Wirksamkeit**

Table 3.27-1: Summary of findings table, on **Ivermectin vs placebo** (4 RCTs: Khan Chachar; Chaccour; Lopez-Medina; Biber)**Ivermectin compared to Standard care/Placebo for Mild outpatients** (last update 27/06/2021)**Patient or population:** Mild COVID-19**Setting:** Worldwide Outpatient**Intervention:** Ivermectin**Comparison:** Standard care/Placebo

Outcome	Anticipated absolute effects (95% CI) <sup>a</sup>		Relative effect (95% CI)	Number of participants (studies)	Certainty of evidence	Comments
	Risk with Standard care/Placebo	Risk with Ivermectin				
<b>All-cause mortality D28</b>	3 per 1000	1 per 1000	<b>RR: 0.33</b> (0.01 - 8.14)	754 (3 RCTs) <sup>b</sup>	OO⊕⊕ LOW <sup>c</sup>	<b>Absolute effect (95% CI)</b> 2 fewer per 1000 (from 3 fewer to 18 more)
<b>Clinical improvement D28</b>	650 per 1000	683 per 1000	<b>RR: 1.05</b> (0.93 - 1.19)	526 (2 RCTs) <sup>d</sup>	OO⊕⊕ LOW <sup>e</sup>	<b>Absolute effect (95% CI)</b> 33 more per 1000 (from 46 fewer to 124 more)
<b>WHO progression score (level 7 or above) D28</b>	4 per 1000	8 per 1000	<b>RR: 2.00</b> (0.18 - 21.91)	500 (2 RCTs) <sup>f</sup>	OO⊕⊕ LOW <sup>g</sup>	<b>Absolute effect (95% CI)</b> 8 more per 1000 (from 5 fewer to 83 more)
<b>Hospitalisation or death</b>	60 per 1000	8 per 1000	<b>RR: 0.14</b> (0.01 - 2.70)	100 (1 RCT) <sup>h</sup>	OOO⊕ VERY LOW <sup>i</sup>	<b>Absolute effect (95% CI)</b> 52 fewer per 1000 (from 59 fewer to 102 more)
<b>Viral negative conversion D7</b>	479 per 1000	805 per 1000	<b>RR: 1.68</b> (1.12 - 2.51)	190 (3 RCTs) <sup>j</sup>	OOO⊕ VERY LOW <sup>k</sup>	<b>Absolute effect (95% CI)</b> 326 more per 1000 (from 58 more to 724 more)
<b>Number of patients with adverse events</b>	506 per 1000	486 per 1000	<b>RR: 0.96</b> (0.85 - 1.09)	666 (4 RCTs) <sup>l</sup>	⊕⊕⊕O MODERATE <sup>m</sup>	<b>Absolute effect (95% CI)</b> 20 fewer per 1000 (from 76 fewer to 46 more)
<b>Number of patients with serious adverse events</b>	6 per 1000	6 per 1000	<b>RR: 0.11</b> (0.01 - 2.07)	666 (4 RCTs) <sup>l</sup>	⊕⊕OO LOW <sup>n</sup>	<b>Absolute effect (95% CI)</b> 0 fewer per 1000 (from 5 fewer to 36 more)

CI: Confidence interval; RR: Risk ratio; a The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI) b Chaccour, 2021; Lopez-Medina, 2021; Chahla, 2021; c Imprecision: Very serious due to very wide confidence interval consistent with the possibility for benefit and the possibility for harm and low number of participants; d Khan Chachar, 2020; Lopez-Medina, 2021; e Risk of bias: Serious Risk of bias downgraded by 1 level:high risk regarding adequate randomization and some concerns regarding outcome measurement and selection of the reported results Imprecision: Serious due to low number of participants; f Chaccour, 2021; Lopez-Medina, 2021; g Imprecision: Very serious due to very wide confidence interval consistent with the possibility for benefit and the possibility for harm and low number of participants; h Samaha, 2021; i Risk of bias: Serious Risk of bias downgraded by 1 level:some concerns regarding adequate randomization, deviation from intended intervention and selection of the reported results



## Results: Therapeutics

Indirectness: Serious study from a single country, therefore results in this population might not be generalizable to other settings. Imprecision: Serious due to low number of participants; j Biber, 2021; Khan Chachar, 2020; Chaccour, 2021; k Risk of bias: Serious Risk of bias downgraded by 1 level: some concerns regarding deviation from intended intervention, missing data and selection of the reported results Inconsistency: Serious Inconsistency downgraded by 1 level:  $I^2=70.6\%$  Imprecision: Serious due to low number of participants; l Khan Chachar, 2020; Chaccour, 2021; Lopez-Medina, 2021; Biber, 2021; m Imprecision: Serious due to low number of participants; n Imprecision: Very serious due to very wide confidence interval consistent with the possibility for benefit and the possibility for harm and low number of participants

**GRADE Working Group grades of evidence. High certainty:** We are very confident that the true effect lies close to that of the estimate of the effect; **Moderate certainty:** We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different; **Low certainty:** Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect; **Very low certainty:** We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

Table 3.27-2: Summary of findings table, on **Ivermectin vs Standard care/placebo** (8 RCTs)

Ivermectin compared to Standard care/Placebo for Hospitalised COVID-19 patients (last update 18/06/2021)

**Patient or population:** Hospitalised COVID-19**Setting:** Worldwide Outpatient**Intervention:** Ivermectin**Comparison:** Standard care/Placebo

Outcome	Anticipated absolute effects (95% CI) <sup>a</sup>		Relative effect (95% CI)	Number of participants (studies)	Certainty of evidence	Comments
	Risk with Standard care/Placebo	Risk with Ivermectin				
<b>All-cause mortality D28</b>	60 per 1000	32 per 1000	<b>RR: 0.53</b> (0.21 - 1.31)	850 (8 RCTs)	000⊕ VERY LOW <sup>b</sup>	<b>Absolute effect (95% CI)</b> 28 fewer per 1000 (from 47 fewer to 19 more)
<b>Clinical improvement D28</b>	756 per 1000	756 per 1000	<b>RR: 1.00</b> (0.90 - 1.11)	372 (4 RCTs)	00⊕⊕ LOW <sup>c</sup>	<b>Absolute effect (95% CI)</b> 0 fewer per 1000 (from 76 fewer to 83 more)
<b>WHO progression score (level 7 or above) D28</b>	0 per 1000	0 per 1000	<b>RR: 1.55</b> (0.07 - 35.89)	245 (3 RCTs)	00⊕⊕ LOW <sup>d</sup>	<b>Absolute effect (95% CI)</b> not calculated due to zero events in the control group
<b>Viral negative conversion D7</b>	756 per 1000	756 per 1000	<b>RR: 1.01</b> (1.88 - 1.17)	450 (8 RCTs)	000⊕ VERY LOW <sup>e</sup>	<b>Absolute effect (95% CI)</b> 4 more per 1000 (from 50 fewer to 71 more)
<b>Number of patients with adverse events</b>	280 per 1000	241 per 1000	<b>RR: 0.86</b> (0.59 - 1.25)	343 (5 RCTs)	⊕⊕00 LOW <sup>f</sup>	<b>Absolute effect (95% CI)</b> 39 fewer per 1000 (from 115 fewer to 70 more)
<b>Number of patients with serious adverse events</b>	8 per 1000	9 per 1000	<b>RR: 0.18</b> (0.10 - 14.04)	343 (5 RCTs)	000⊕ VERY LOW <sup>g</sup>	<b>Absolute effect (95% CI)</b> 1 more per 1000 (from 7 fewer to 104 more)

CI: Confidence interval; RR: Risk ratio; <sup>a</sup> The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI); Explanations will be provided in next version of this report.

**GRADE Working Group grades of evidence**

**High certainty:** We are very confident that the true effect lies close to that of the estimate of the effect; **Moderate certainty:** We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different; **Low certainty:** Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect; **Very low certainty:** We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

### Ivermectin mucoadhesive nanosuspension intranasal spray in treatment of patients with mild COVID-19

Aref et al. 2021 published effectiveness and safety results of ivermectin mucoadhesive nanosuspension intranasal spray in treatment of 114 patients with mild COVID-19 (NCT04716569) [285]. Patients were divided randomly into two age and sex-matched groups; group A comprising 57 patients received ivermectin nanosuspension nasal spray twice daily plus the Egyptian protocol of treatment for mild COVID-19 and group B comprising 57 patients received the Egyptian protocol for mild COVID-19 only. In group A, 54 patients (94.7%) achieved 2 consecutive negative PCR nasopharyngeal swabs in comparison to 43 patients (75.4%) in group B,  $p=0.004$ . The durations of fever, cough, dyspnea and anosmia were significantly shorter in group A than group B, without significant difference regarding the duration of gastrointestinal symptoms. Duration taken for nasopharyngeal swab to be negative was significantly shorter in group A than in group B ( $8.3 \pm 2.8$  days versus  $12.9 \pm 4.3$  days;  $p=0.0001$ ).

**Verabreichung als intranasal Spray**

**RCT  
114 Pts, milde Erkrankung  
Krankheitssymptome kürzer**

## 3.28 Aspirin (acetylsalicylic acid)

### About the drug under consideration

Aspirin (acetylsalicylic acid) is a non-steroidal anti-inflammatory drug with strong anti-inflammatory, anti-thrombotic and analgesic pharmacological effects. Long-term low-dose aspirin (75-150 mg daily) can effectively prevent the incidence of ischaemic cardiovascular and cerebrovascular event. Acetylsalicylic acid inhibits the platelet activation: blocking the platelet cyclooxygenase by acetylation, it inhibits thromboxane A<sub>2</sub> synthesis, a physiological activating substance released by the platelets and which would play a role in the complications of the atheromatous lesions. The repeated doses from 20 to 325 mg involve an inhibition of the enzymatic activity from 30 to 95%. Due to the irreversible nature of the binding, the effect persists for the lifespan of a thrombocyte (7-10 days). The inhibiting effect does not exhaust during prolonged treatments and the enzymatic activity gradually begins again upon renewal of the platelets 24 to 48 hours after treatment interruption, <https://www.medicines.org.uk/emc/product/2408/smhc>.

Patients with COVID-19 are at higher risk of blood clots forming in their blood vessels. Platelets, small cell fragments in the blood that stop bleeding, seem to be hyperreactive in COVID-19 and may be involved in the clotting complications. Since aspirin is an antiplatelet agent, it may reduce the risk of blood clots in patients with COVID-19.

Chow et al. 2020 [286] published results from retrospective, observational cohort study of adult patients admitted with COVID-19 to multiple hospitals in the United States between March 2020 and July 2020. 412 patients were included in the study. 314 patients (76.3%) did not receive aspirin, while 98 patients (23.7%) received aspirin within 24 hours of admission or 7 days prior to admission. Aspirin use had a crude association with less mechanical ventilation (35.7% aspirin vs. 48.4% non-aspirin,  $p=0.03$ ) and ICU admission (38.8% aspirin vs. 51.0% non-aspirin,  $p=0.04$ ), but no crude association with in-hospital mortality (26.5% aspirin vs. 23.2% non-aspirin,  $p=0.51$ ). After adjusting for 8 confounding variables, aspirin use was independently associated with decreased risk of mechanical ventilation (adjusted HR 0.56,

**nicht-steroidales Antirheumatikum**

**schmerzstillender, entzündungshemmender fiebersenkender und Thrombozytenaggregationshemmender Arzneistoff**

**Patient\*innen mit Covid-19 haben höheres Risiko für Bildung von Blutgerinnseln in Blutgefäßen**

**retrospektive Kohortenstudie, 412 Pts**

**Vorteile bei künstlicher Beatmung und Intensivmedizin Spitalsmortalität**

**RCT für Nachweis einer Kausalität vonnöten**

95% CI 0.37-0.85,  $p=0.007$ ), ICU admission (adjusted HR 0.57, 95% CI 0.38-0.85,  $p=0.005$ ), and in-hospital mortality (adjusted HR 0.53, 95% CI 0.31-0.90,  $p=0.02$ ). There were no differences in major bleeding ( $p=0.69$ ) or overt thrombosis ( $p=0.82$ ) between aspirin users and non-aspirin users. Authors concluded that a sufficiently powered randomized controlled trial is needed to assess whether a causal relationship exists between aspirin use and reduced lung injury and mortality in COVID-19 patients.

Aspirin is not approved for Covid-19 by the European Medicines Agency (EMA) or the American Food and Drug Administration (FDA).

### Withdrawn, suspended or terminated studies

One RCT was found as withdrawn (NCT04343001) because grant not obtained. No suspended or terminated interventional studies were found on Aspirin in COVID-19 patients in ClinicalTrials.gov and EUdraCT registers.

### Results of publications

**Ghati et al. 2021** [287] published results from a single-center, four-arm parallel design, open-label randomized controlled trial (CTRI/2020/07/026791) on RT-PCR positive Covid-19 patients,  $\geq 40$  years and  $< 75$  years of age, requiring hospitalisation [World Health Organization (WHO) Ordinal Scale for Clinical Improvement 3 to 5]. Patients were randomly assigned to either atorvastatin 40 mg (group A), aspirin 75 mg (group B), or both (group C) in addition to standard of care for 10 days or until discharge whichever was earlier or only standard of care (group D). The primary outcome variable was clinical deterioration to WHO Ordinal Scale for Clinical Improvement  $\geq 6$ . The secondary outcome was change in serum inflammatory markers (C-reactive protein and Interleukin-6), and Troponin I. A total of 900 patients underwent randomization (with Groups A, B, C and D assigned 224, 225, 225 and 226 patients respectively). The primary outcome occurred in 25 (2.8%) patients: 7 (3.2%) in Group A, 3 (1.4%) in Group B, 8 (3.6%) in Group C and 7 (3.2%) in Group D. There was no difference in primary outcome across the study groups ( $p=0.463$ ). Comparison of all patients who received atorvastatin or aspirin with the control group (Group D) also did not show any benefit [Atorvastatin: HR 1.0 (95% CI 0.41 - 2.46); Aspirin: HR 0.7 (95% CI 0.27-1.81)]. The secondary outcomes revealed lower serum IL-6 among patients in Groups B and C. There was no excess of adverse events.

From 06 November 2020, Aspirin is being investigated in the world's largest clinical trial of treatments for patients hospitalised with COVID-19. The Randomised Evaluation of COVID-19 thERapY (RECOVERY) trial is taking place in 176 hospital sites across the UK, and has so far recruited over 16,000 patients, <https://www.recoverytrial.net/news/aspirin-to-be-investigated-as-a-possible-treatment-for-covid-19-in-the-recovery-trial>.

Results are announced on June 08 2021 and published as **preprint**: a total of 7351 patients were randomised to aspirin 150 mg once daily and compared with 7541 patients randomised to usual care alone. There was no evidence that aspirin treatment reduced mortality. There was no significant difference in the primary endpoint of 28-day mortality (17% aspirin vs. 17% usual care; rate ratio 0.96 [95% confidence interval 0.89-1.04];  $p=0.35$ ). The results were consistent in all pre-specified subgroups of patients. Patients allocated to aspirin had a slightly shorter duration of hospitalisation (median 8 days vs. 9 days) and a higher proportion were discharged from hospital alive within 28 days (75% vs. 74%; rate ratio 1.06; 95% CI 1.02-1.10;  $p=0.0062$ ). Among those

**1 RCT zurückgezogen  
(keine Finanzierung)**

**RCTs (4-armig)**

**900 Pts.**

**Atorvastatin**

**Aspirin**

**Atorvastatin + Aspirin**

**SoC**

**kein Unterschied  
zwischen den Gruppen**

**RECOVERY**

**Studienarm mit Aspirin**

**Ergebnisse von 7.351 Pts  
im Aspirin Therapiearm**

**kein Unterschied bei  
Mortalität und  
Progression zu invasiver  
Beatmung**

**geringfügig kürzerer  
Spitalsaufenthalt**

not on invasive mechanical ventilation at baseline, there was no significant difference in the proportion who progressed to invasive mechanical ventilation or death (21% vs. 22%; risk ratio 0.96; 95% CI 0.90-1.03; p=0.23). For every 1000 patients treated with aspirin, approximately 6 more patients experienced a major bleeding event and approximately 6 fewer experienced a thromboembolic (clotting) event, <https://www.recoverytrial.net/news/recovery-trial-finds-aspirin-does-not-improve-survival-for-patients-hospitalised-with-covid-19>, [288].

The **Summary of findings table** based on 2 RCTs can be found below (Table 3.28-1). In hospitalised COVID-19 patients Aspirin may not reduce All-cause mortality D28 (RR 0.86, 95% CI 0.49 to 1.50, low certainty of evidence) and does not increase Clinical improvement D28 (RR 1.02, 95% CI 1.00 to 1.04, high certainty of evidence). The evidence is very uncertain about the effect of Aspirin on outcome WHO progression score (level 7 or above) D28 (RR 0.43, 95% CI 0.1 to 1.64, very low certainty of evidence).

**SoF von 2 RCTs**

**unsicher Evidenz  
wahrscheinlich kein Effekt**

Table 3.28-1: Summary of findings table, on **Aspirin vs Standard care** (2 RCTs: Horby RECOVERY, Ghanti)**Ivermectin compared to Standard care for Hospitalised COVID-19 patients** (last update 20/06/2021)**Patient or population:** Hospitalised COVID-19**Setting:** Worldwide Outpatient**Intervention:** Aspirin**Comparison:** Standard care

Outcome	Anticipated absolute effects (95% CI) <sup>a</sup>		Relative effect (95% CI)	Number of participants (studies)	Certainty of evidence	Comments
	Risk with Standard care	Risk with Aspirin				
<b>All-cause mortality D28</b>	168 per 1000	145 per 1000	<b>RR: 0.86</b> (0.49 - 1.50)	15343 (2 RCTs) b	OO⊕⊕ LOW c	<b>Absolute effect (95% CI)</b> 24 fewer per 1000 (from 86 fewer to 84 more)
<b>Clinical improvement D28</b>	736 per 1000	750 per 1000	<b>RR: 1.02</b> (1.00 - 1.04)	14892 (1 RCT) d	⊕⊕⊕⊕ HIGH	<b>Absolute effect (95% CI)</b> 15 more per 1000 (from 0 fewer to 29 more)
<b>WHO progression score (level 7 or above) D28</b>	31 per 1000	13 per 1000	<b>RR: 0.43</b> (0.11 - 1.64)	451 (1 RCT) e	OOO⊕ VERY LOW f	<b>Absolute effect (95% CI)</b> 18 fewer per 1000 (from 28 fewer to 20 more)
<b>Viral negative conversion D7</b>	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported
<b>Number of patients with adverse events</b>	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported
<b>Number of patients with serious adverse events</b>	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported	Outcome not yet measured or reported

**CI:** Confidence interval; **RR:** Risk ratio; a The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI); b Horby RECOVERY, 2021; Ghanti, 2021 c Imprecision: Very serious due to very wide confidence interval consistent with the possibility for benefit and the possibility for harm; d Horby RECOVERY, 2021; e Ghanti, 2021; f Indirectness: Serious single study from a single institution therefore results in this population might not be generalizable to other settings Imprecision: Very serious due to very wide confidence interval consistent with the possibility for benefit and the possibility for harm and low number of participants

**GRADE Working Group grades of evidence: High certainty:** We are very confident that the true effect lies close to that of the estimate of the effect; **Moderate certainty:** We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different; **Low certainty:** Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect; **Very low certainty:** We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

## 3.29 ZYESAMI™ (Aviptadil, RLF-100)

### About the drug under consideration

Aviptadil (RLF-100) is a synthetic form of Human Vasoactive Intestinal Polypeptide (VIP). VIP acts on two receptors - VPAC1 and VPAC2, which are class B of G-protein-coupled receptors (GPCRs). Aviptadil is found to reduce viral replication in lung tissues, release of inflammatory cytokines and alveolar epithelial cell apoptosis in patients with corona virus infection. It is available both as intra venous and inhalational (nebulisation) preparations. It is found useful in conditions like asthma, chronic obstructive pulmonary disease (COPD), sarcoidosis, pulmonary fibrosis, acute lung injury, pulmonary hypertension, erectile dysfunction and ARDS. Intra venous administration is associated with side effects like tachycardia, flushing, hypotension, diarrhoea and alterations in ECG (bigeminy) [289]. Recent observational studies showed that treatment with aviptadil is associated with rapid recovery in Corona virus infected critically ill patients [289-292]. Aviptadil is not authorised in Covid-19 patients (EMA, FDA). On 14 July 2020 FDA granted Investigational New Drug (IND) permission for inhaled VIP and awarded FDA Orphan Drug Designation for intravenous VIP, to use in patients with COVID-19.

**synthetisches menschliches vasoaktives intestinales Polypeptid (VIP)**

**soll Replikation des SARS-CoV-2-Virus in menschlichen Lungenzellen und Monozyten blockieren**

### Withdrawn, suspended or terminated studies

No withdrawn, suspended or terminated studies were found. Two randomised controlled trials are ongoing with inhaled aviptadil.

**2 laufende RCTs mit inhaltivem Aviptadil**

In one RCT nebulized RLF-100 (aviptadil) 100 µg is given 3 times daily for moderate and severe COVID-19, with estimated enrolment of 288 patients (NCT04360096- AVICOVID-2). Another RCT with inhaled aviptadil with estimated enrolment in 80 patients in Switzerland (NCT04536350) is not yet recruiting patients.

In one study related to Expanded access protocol (NCT04453839, SAMICARE), aviptadil is given as 12 hour infusions at ascending doses of 50/100/150 pmol/kg/hr on 3 successive days. This expanded access protocol is designed to offer access to investigational use of RLF-100 to patients who do not qualify for inclusion in NCT04311697 either on the basis of specific medical exclusions or because there is no accessible study site available to the prospective participant.

**Expanded Access Protokoll zur Verwendung von Aviptadil**

### Results of publications

Currently, published results were found from one RCT.

**Youssef et al. 2021** [293] published **28-day** interim report from a phase 2/3 RCT (NCT04311697 - COVID-AIV) of intravenously-administered ZYESAMI™ (aviptadil acetate, given as escalating doses from 50 -150 pmol/kg/hr over 12 hours for 3 days) for the treatment of respiratory failure in **critically-ill** patients with COVID-19. At 28 days, aviptadil patients treated with high flow nasal cannula (HFNC) were 35% - 46% more likely to recover, return home, and survive to 28 days compared to placebo-treated patients, with a trend level of significance. Aviptadil patients additionally demonstrated a statistically significant and clinically important ten day reduction in hospitalization time.

**Ergebnissen von 2b/3 RCT: 196 Pts.**

**schnellere klinische Verbesserung/ Erholung vom Lungenversagen schnellere Spitalsentlassung**

On March 29, 2021 NeuroRx, Inc. reports **60-day** results of the completed above mentioned RCT. Across all 196 treated patients and all 10 clinical sites, aviptadil met the primary endpoint for successful recovery from respiratory

**Einreichung zur Notfallzulassung (EUA) bei FDA**

failure at days 28 ( $p=0.014$ ) and 60 ( $p=0.013$ ) and also demonstrated a meaningful benefit in survival ( $p<0.001$ ) after controlling for ventilation status and treatment site. In addition, the prespecified analysis of recovery from respiratory failure is clinically and statistically significant in the 127 patients treated by High Flow Nasal Cannula (HFNC) ( $p=0.02$ ), compared to those treated with mechanical or non-invasive ventilation at tertiary care hospitals. In this group aviptadil patients had a 71% chance of successful recovery by day 28 vs. 48% in the placebo group ( $p=0.017$ ) and a 75% rate of successful recovery by day 60 vs. 55% in the placebo group ( $p=0.036$ ). Eighty-four percent (84%) of HFNC patients treated at tertiary medical centers with aviptadil survived to day 60 compared with 60% of those treated with placebo ( $p=0.007$ ), <https://www.prnewswire.com/news-releases/neurorx-announces-zyesami-aviptadil-rlf-100-met-the-primary-endpoint-of-its-phase-2b3-clinical-trial-and-also-demonstrated-a-meaningful-benefit-in-survival-from-critical-covid-19-301257291.html>. On the basis of these findings, NeuroRx immediately applied to the United States Food and Drug Administration ("FDA") for Emergency Use Authorization (EUA).

### 3.30 Dimethyl fumarate

#### About the drug under consideration

Dimethyl fumarate (DMF) is thought to prevent NLRP3 inflammasome activation and the process of pyroptosis (inflammatory cell death) through its action on the protein gasdermin D. SARS-CoV-2 induces inflammasome activation and the degree of activation is thought to correlate with disease severity [294, 295]. DMF has demonstrated anti-viral and anti-inflammatory effects against SARS-CoV-2 in vitro [296].

In EU, dimethyl fumarate (Tecfidera) is authorised for the treatment of adult patients with relapsing remitting multiple sclerosis. DMF is not authorised in Covid-19 patients (EMA, FDA).

#### Withdrawn, suspended or terminated studies

No withdrawn, suspended or terminated studies were found.

Currently effectiveness and safety of dimethyl fumarate are investigated in the RECOVERY trial (NCT04381936), in an early phase assessment among patients hospitalised with COVID-19, <https://www.recoverytrial.net/>.

#### Results of publications

Currently, no published results were found from RCT related to dimethyl fumarate in COVID-19 patients.

**Dimethylfumarat (DMF):  
antivirale und  
antientzündliche Effekte**

**Zulassung in EU:  
bei Multipler Skelrose  
(MS)**

**Studienpräparat in  
RECOVERY**

### 3.31 Artesunate

#### About the drug under consideration

Artesunate is an artemisinin, a class of compounds originally derived from extracts of *Artemisia annua* (sweet wormwood) for the treatment of malaria

**Pflanzenextrakt  
Medikament bei Malaria**



and has since been adopted by the World Health Organization (WHO). The use of artesunate has surpassed the use of chloroquines for the treatment of malaria and more recently for COVID-19 [297] The anti-viral mechanism of artesunate is thought to hinge on suppression of nuclear factor kappa beta (NF- $\kappa$ B) activation. Artesunate could therefore mitigate the inflammatory response and potentially improve patient outcome.

Seven clinical trials have since been initiated to assess the efficacy of artesunate in different forms and administrations in reducing viral load and improving the prognosis of SARSCoV-2-positive patients. A preliminary report documents a significant decrease in viral load and duration of hospitalisation, and improved absorption of lung lesions in COVID-19 patients treated with 10 daily doses of 60 mg artesunate in addition to standard treatment [297, 298].

Artesunate is not authorised in Covid-19 patients (EMA, FDA).

#### **Withdrawn, suspended or terminated studies**

No withdrawn, suspended or terminated studies were found for artesunate.

Effectiveness and safety of artesunate will be investigated in the WHO SOLIDARITY trial [299]

#### **Results of publications**

Currently, no published results were found from RCTs related to artesunate in COVID-19 patients.

**7 klinische Studien  
initiiert nach ersten  
vielversprechenden Daten**

**keine Zulassung**

**Studienpräparat in  
SOLIDARITY**

**derzeit keine  
abgeschlossenen RCTs**

## **3.32 Tofacitinib (Xeljanz)**

#### **About the drug under consideration**

Tofacitinib is a potent, selective inhibitor of the JAK family. In enzymatic assays, tofacitinib inhibits JAK1, JAK2, JAK3, and to a lesser extent TyK2. In human cells, tofacitinib preferentially inhibits signalling by heterodimeric cytokine receptors that associate with JAK3 and/or JAK1 with functional selectivity over cytokine receptors that signal via pairs of JAK2. Inhibition of JAK1 and JAK3 by tofacitinib attenuates signalling of interleukins (IL-2, -4, -6, -7, -9, -15, -21) and type I and type II interferons, which will result in modulation of the immune and inflammatory response [300].

Acting on multiple critical pathways of the inflammatory cascade tofacitinib may ameliorate progressive, inflammation-driven lung injury in hospitalised patients with Covid-19.

Tofacitinib is not authorised in Covid-19 patients (EMA, FDA).

#### **Withdrawn, suspended or terminated studies**

No withdrawn, suspended or terminated studies were found for tofacitinib in COVID-19 patients.

**JAK-Inhibitor**

**ev. Verbesserung der  
entzündungsbedingten  
Lungenschädigung bei  
hospitalisierten  
Patient\*innen**

### Results of publications

**Guimaraes et al. 2021** [301] published results from **STOP-COVID RCT (NCT04469114)**, on **hospitalised adults** with Covid-19 pneumonia to receive either tofacitinib at a dose of 10 mg or placebo twice daily for up to 14 days or until hospital discharge. The primary outcome was the occurrence of death or respiratory failure through day 28 as assessed with the use of an eight level ordinal scale (with scores ranging from 1 to 8 and higher scores indicating a worse condition). All-cause mortality and safety were also assessed. A total of 289 patients underwent randomization at 15 sites in Brazil. Overall, 89.3% of the patients received glucocorticoids during hospitalisation. The cumulative incidence of death or respiratory failure through day 28 was 18.1% in the tofacitinib group and 29.0% in the placebo group (risk ratio, 0.63; 95% confidence interval [CI], 0.41 to 0.97;  $p=0.04$ ). Death from any cause through day 28 occurred in 2.8% of the patients in the tofacitinib group and in 5.5% of those in the placebo group (hazard ratio, 0.49; 95% CI, 0.15 to 1.63). The proportional odds of having a worse score on the eight-level ordinal scale with tofacitinib, as compared with placebo, was 0.60 (95% CI, 0.36 to 1.00) at day 14 and 0.54 (95% CI, 0.27 to 1.06) at day 28. Serious adverse events occurred in 20 patients (14.1%) in the tofacitinib group and in 17 (12.0%) in the placebo group.

**RCT  
STOP-COVID  
289 hospitalisierte Pts.**

**bessere Ergebnisse bei  
Überleben und  
Atemwegsversagen**



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