

HTA Austria Austrian Institute for Health Technology Assessment GmbH

Electrical auricular vagus nerve stimulation for pain

Systematic Review

AIHTA Decision Support Document: 138 | ISSN-online: 1998-0469



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Vienna, March 2023

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This report should be referenced as follows:

Scott A, Hofer V, Al Froukh R, Ma N and Goetz G. Electrical auricular vagus nerve stimulation for pain. AlHTA Decision Support Documents No. 138; 2023. Vienna: Austrian Institute for Health Technology Assessment GmbH.

Conflict of interest

All authors and the reviewers involved in the production of this report have declared they have no conflicts of interest in relation to the technology assessed according to the Uniform Requirements of Manuscripts Statement of Medical Journal Editors (www.icmje.org).

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IMPRINT

Publisher:

HTA Austria – Austrian Institute for Health Technology Assessment GmbH Garnisongasse 7/Top20 | 1090 Vienna – Austria https://www.aihta.at/

Responsible for content:

Priv.-Doz. Dr. phil. Claudia Wild, managing director

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AIHTA Decision Support Documents are only available to the public via the Internet at http://eprints.aihta.at/view/types/hta_report.html.

AIHTA Decision Support Documents No.: 138

ISSN online 1998-0469

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Commissioned by the Austrian Ministry of Health, this report systematically assessed the intervention described herein as decision support for the inclusion in the catalogue of benefits.

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List of abbreviations

| ASA | American Society of Anesthesiologists |
|---------|--|
| aVNS | auricular vagus nerve stimulation |
| BAS | Beck Anxiety Scale |
| BDS | Beck Depression Scale |
| CI | confidence interval |
| CPSP | chronic postsurgical pain |
| DALY | disability-adjusted life years |
| FDI | Functional Disability Inventory |
| FIQ | Fibromyalgia Impact Questionnaire |
| FU | follow-up |
| IBS | irritable bowel syndrome |
| IBS-QOL | irritable bowel syndrome quality of life |
| IBS-SSS | irritable bowel syndrome severity scoring system |
| IMMPACT | Initiative on Methods, Measurement, and Pain Assessment in Clinical Trials |
| IQR | interquartile range |
| MCID | minimum clinically important difference |
| MeSH | medical subject heading |
| MME | milligram morphine equivalent |
| MSQ | Migraine Specific Quality-of-Life Questionnaire |
| NRS | numeric rating scale |
| PFSD | Pain-Frequency-Severity-Duration |
| RCT | randomised controlled trial |
| RR | risk ratio |
| SAS | Self-Rating Anxiety Scale |
| SD | standard deviation |
| SDS | Self-Rating Depression Scale |
| SF-36 | Short Form-36 |
| SRS | Symptom Response Scale |
| SSRI | selective serotonin reuptake inhibitor |
| SSS | symptom severity scale |
| STAI-C | State-Trait Anxiety Inventory for Children |
| TENS | transcutaneous electrical nerve stimulation |
| VAS | visual analogue scale |
| VNS | vagus nerve stimulation |
| VRS | verbal rating scale |
| WPI | widespread pain index |

Executive Summary

Introduction

Health Problem

Pain is one of the main reasons people seek medical care. Acute pain, which has a sudden onset, short duration and an obvious cause, is a valuable survival mechanism. In contrast, chronic pain is maladaptive and persists beyond the expected healing time of injured tissues. Chronic secondary pain is usually a symptom of another condition, whereas chronic primary pain, such as fibromyalgia and irritable bowel syndrome, is a disease unto itself.

Less than 50% of patients undergoing surgery receive adequate postoperative pain relief. Inadequately controlled acute postoperative pain negatively affects quality of life and functional recovery and increases the risk of post-surgical complications and chronic postsurgical pain, which is pain that persists for at least three months after a surgical procedure. Chronic postsurgical pain affects up to 10% of surgical patients.

The prevalence of chronic widespread pain is remarkably consistent among populations, ranging from 11% to 14%. Low back pain, headache and abdominal pain are the most commonly reported pain conditions among children and adolescents, whereas musculoskeletal disorders are the more common causes of pain in older individuals. In 2019, approximately 4.1 million Austrians (56%) older than 15 years reported experiencing some degree of physical pain in the previous four weeks. The frequency of physical pain increases considerably with advancing age, rising from 42% among 15- to 29-year-olds to 74% among those older than 75 years. Chronic pain interferes considerably with functioning and wellbeing, resulting in poor general health, disability, depression and social withdrawal, a lower life expectancy and an increased risk of developing other comorbidities.

Description of Technology

Neuromodulation is the manipulation of nervous system activity using either electrical or pharmaceutical agents to achieve a therapeutic benefit such as pain relief. Auricular vagus nerve stimulation (aVNS) is a non-invasive alternative to conventional invasive vagus nerve stimulation, which is associated with various unpleasant side effects related to wire implantation (infection and vocal cord paresis) and stimulation (hoarseness, voice changes and cough). aVNS devices comprise two main components: a portable stimulation unit or pulse generator and a surface or needle electrode that attaches to the outer surface of the ear and connects to the stimulator via a thin wire. The device emits low-level pulses of electrical current that are transmitted via the wire along the vagus nerve to the brain, with the aim of modulating pain. A typical daily treatment cycle encompasses three to four stimulation sessions for a total of four to five hours, with each session lasting at least one hour. The total length of aVNS treatment varies depending on the indication. acute and chronic pain

50% of patients do not receive adequate pain therapy after surgery

2019: pain within the last four weeks reported from 56% of Austrians older than 15 years

auricular vagus nerve stimulation (aVNS): pain control through low-level electrical pulses

device with two main components: 1 portable stimulator & electrodes connected to the stimulator via thin cables The aim of this report was to assess the safety and effectiveness of aVNS,

compared with sham treatment or usual care, in the following two patient

A systematic search was conducted to identify relevant randomised controlled trials and systematic reviews published in English or German. The following

databases were searched on 7th December 2022: Medline, Embase, The

Cochrane Library and the International HTA Database (International

Population One: Patients with acute postoperative pain;

Population Two: Patients with **chronic pain**.

extraction & Network of Agencies for Health Technology Assessment). Study selection, data extraction and quality appraisal were carried out independently by two authors. Any disagreements were resolved by a third author. The quality of the included studies was assessed using the Cochrane Risk of Bias 2 tool and the strength of the evidence was rated according to the Grading of Recommendations, Assessment, Development and Evaluations (GRADE) schema. Domain effectiveness effectiveness: The following effectiveness-related outcomes were used as evidence to derive decision-relevant a recommendation: endpoints Population One: Pain, analgesia consumption and use of rescue medication; ■ Population Two: Pain, physical functioning and symptom severity. Domain safety Device-related adverse events were used as evidence to derive a safety recomsafety: decision-relevant mendation for both populations. endpoints Results Available evidence 10 RCTs: 4 for population 1 Ten randomised controlled trials (RCTs) met the inclusion criteria for this 6 for population 2 report: four on acute postoperative pain and six on chronic pain. Clinical effectiveness **Population One: Acute postoperative pain Population 1: outcomes** for patients with acute The active and sham treatments were used in addition to standard care in all postoperative pain four RCTs. The risk of bias in the studies ranged from low to high, with only one study rated as having a low risk of bias. no statistically significant The combination of percutaneous aVNS with standard of care showed no (s.s.) differences of aVNS vs. sham treatment or

statistically significant differences in pain scores, analgesic use, or use of rescue medication after a planned Cesarean section or surgical wisdom tooth extraction, compared with sham treatment in combination with standard of care or standard of care alone. Similar results were observed in two other RCTs comparing percutaneous aVNS with ear acupuncture over a 2- to 5-day treatment cycle in patients after major colorectal surgery or wisdom tooth extraction surgery. In addition, one of these studies showed no differences

project objective: efficacy & safety of aVNS in patients with acute postoperative or chronic pain

> systematic search in 4 databases

Methods

populations:

study selection, quality assessment

ear acupuncture after

section, surgical molar

planned caesarean

colorectal surgery

removal or

between active neurostimulation and ear acupuncture in the occurrence of postoperative nausea and vomiting, length of hospital stay or 30-day readmission rates.

One RCT evaluated the effects of transcutaneous aVNS on the relief of rebound pain after femoral nerve block in patients undergoing anterior cruciate ligament reconstruction (ACL). In patients who received aVNS in the first twelve hours after surgery, rebound pain was less frequent (18% vs. 41%; p=0.03) and lasted a shorter time (p=0.002). The consumption of analgesics (p=0.02), use of rescue medication (p=0.004) and likelihood of sleep disturbances (p=0.03) in the first 12 hours after the operation were also significantly lower after aVNS, compared with sham treatment.

Population Two: Chronic pain

The quality of the evidence of the six RCTs ranged from low to high, with most of the studies rated as having a moderate to high risk of bias.

Clinically and statistically significant improvements in pain and symptom severity ($p \le 0.001$ for both) were observed in youth with pain-related gastrointestinal disorders (aged 11 to 18 years) and adults with constipation-predominant irritable bowel syndrome during a three- to four-week regimen of percutaneous or transcutaneous aVNS, compared with sham treatment (both in addition to standard care). The reductions in pain scores were sustained in the 11- to 18-year-old patients for a median 9.2 weeks after treatment cessation.

In one RCT, transcutaneous aVNS significantly reduced pain in patients with episodic migraine without aura, compared with sham treatment (p=0.008), but this did not translate into statistically significantly different improvements in quality of life or psychometric measures between the two groups, relative to baseline values, over the four-week course of treatment.

One RCT found that the addition of transcutaneous aVNS to a conventional regimen of trigger point ischaemic compression and stretching exercises significantly improved pain and grip strength (p < 0.001 for both) in patients with myofascial pain syndrome, compared with the conventional care regimen alone. However, this did not result in any statistically significant differences in quality of life between the two treatments.

Results from one RCT suggested that there was no statistically significant difference between transcutaneous aVNS and sham treatment in reducing pain or symptom severity in patients with chronic fibromyalgia. Similarly, supplementing a home-based exercise program with transcutaneous aVNS for women with chronic fibromyalgia provided no additional benefit over the exercise program alone with respect to pain, symptom severity, quality of life or psychometric measures.

Safety

The rates of device-related adverse events in the six RCTs that reported them (four for acute pain and two for chronic pain) were similar between the treatment groups and ranged from 0% to 19%. These minor complications included ear discomfort, tiredness and adhesive allergy.

1 RCT: anterior cruciate ligament (ACL) reconstruction: s.s. differences in favour of aVNS compared to sham treatment

Population 2: patients with chronic pain

abdominal pain: s.s. improvement in pain and symptom severity in children, adolescents and adults

episodic migraine without aura: s.s. pain reduction, no difference in QoL

myofascial pain syndrome: s.s. improvement of pain combining aVNS with conventional treatment, no difference in QoL

fibromyalgia: no s.s. improvement of pain

device-related adverse events: 0 - 19%

Upcoming evidence

12 ongoing RCTs:

1 RCT on acute, postoperative pain and 12 on chronic pain Twelve ongoing RCTs were identified. One RCT will assess the use of aVNS versus sham treatment for the relief of postoperative pain in 600 patients undergoing arthroplasty. A further six RCTs will examine the use of aVNS to relieve pain in chronic musculoskeletal condition (36 to 148 patients). The other five RCTs will assess the use of aVNS in a variety of pain-related conditions, including radiotherapy-related neuropathic pain, post-stroke complex regional pain syndrome, cyclic vomiting syndrome, chronic pelvic pain and chronic pain related to opioid withdrawal (47 to 116 patients).

Discussion

different conditions, stimulation settings and length of treatment

unclear which different neural trunks in the auricle were stimulated during aVNS

results not transferable to

other population groups

aVNS not included

in hospital benefit

catalogue in Austria

aVNS: safe and effective

adjunctive therapy for

rebound pain after ACL reconstruction... across the included studies is indicative of the exploratory nature of aVNS in pain control. Although the included studies were restricted to only trials that applied electrodes to areas of the ear innervated by the vagus nerve or specifically mentioned targeting the vagus nerve, three of the four studies on acute pain and one on chronic pain targeted the auricular branches of other nerves as well. In addition, six of the ten included studies used transcutaneous aVNS, which produces a more diffuse stimulation field that may have inadvertently stimulated non-vagal nerves. All of these factors underline the fact that it is not completely clear from the evidence which of several nerve trunks innervating the auricle were being activated during aVNS. Also, the limited understanding of local target engagement and mechanism of action of aVNS means that it is difficult to implement a sham treatment that produces satisfactory perception in the therapeutic group without engaging a therapeutic pathway.

The variation in conditions, stimulation settings and lengths of treatment cycles

While the studies provided evidence that aVNS may be therapeutic for some pain conditions, the results cannot be extrapolated beyond these patient groups. Most of the effects were only observed in a single RCT and require further validation, particularly given the limitations in the evidence base noted above and that the execution of some studies cannot rule out contributions from placebo effects.

Auricular VNS is currently not included in the hospital benefit catalogue (LKF, leistungsorientierte Krankenanstaltenfinanzierung) and, hence, is not a fully reimbursable service in the Austrian healthcare system.

Conclusion & recommendation

The limited evidence indicates that transcutaneous aVNS may be a safe and effective adjunctive treatment for reducing rebound pain after femoral nerve block in patients undergoing anterior cruciate ligament reconstruction. Limited low certainty evidence does not support the use of aVNS for other type of acute postoperative pain.

...and some chronic pain
The limited evidence also suggests that percutaneous aVNS is a safe and effective adjunctive therapy for reducing pain and improving symptoms in pain-related gastrointestinal disorders, particularly irritable bowel syndrome in children and adolescents (aged 11 to 18 years). Lower certainty evidence from one RCT indicated that this may also be true for adults (18 to 75 years of age). Adjunctive transcutaneous aVNS may reduce pain in patients with myofascial pain syndrome or episodic migraine without aura, but the results should be interpreted with caution owing to the lower certainty of evidence for these indications. Based on the available evidence the inclusion of aVNS in the hospital benefit catalogue should therefore be limited to selected patients.

Zusammenfassung

Einleitung

Indikation und therapeutisches Ziel

Schmerzen sind eine der Hauptursachen für die Inanspruchnahme von medizinischer Hilfe. Es kann zwischen akutem (plötzlich, kurz auftretendem) und chronischem (langanhaltendem) Schmerz unterschieden werden. Chronische Schmerzen sind oft sekundär und sind damit ein Symptom einer anderen Erkrankung. Daneben gibt es auch chronische primäre Schmerzen, wie Fibromyalgie und das Reizdarmsyndrom, die eine eigenständige Krankheit darstellen.

Weniger als 50 % der Patient*innen erhalten nach einer Operation eine angemessene postoperative Schmerzbehandlung. Dabei haben unzureichend behandelte akute, postoperative Schmerzen negative Auswirkungen auf die Lebensqualität und die funktionelle Erholung. Außerdem erhöhen sie das Risiko für postoperative Komplikationen und chronische postoperative Schmerzen, welche bei bis zu 10 % der Patient*innen nach einem chirurgischen Eingriff auftreten.

Die Prävalenz von chronischen Schmerzen ist in den verschiedenen Bevölkerungsgruppen auffallend einheitlich und liegt zwischen 11 % und 14 %. Kreuz-, Kopf- und Bauchschmerzen sind die am häufigsten berichteten Schmerzzustände bei Kindern und Jugendlichen, während Erkrankungen des Bewegungsapparats die häufigsten Ursachen für Schmerzen bei älteren Menschen sind. Im Jahr 2019 gaben in Österreich rund 4,1 Millionen (56 %) Jugendliche und Erwachsene (>15 Jahre) an, innerhalb der letzten vier Wochen unter körperlichen Schmerzen gelitten zu haben. Die Häufigkeit von körperlichen Schmerzen steigt mit zunehmendem Alter deutlich an, von 42 % bei den 15- bis 29-Jährigen auf 74 % bei den über 75-Jährigen. Chronische Schmerzen beeinträchtigen die Funktionsfähigkeit und das Wohlbefinden erheblich. Sie führen zu einem schlechten Allgemeinzustand, zu Behinderungen, Depressionen, sozialem Rückzug, zu einer geringeren Lebenserwartung und zu einem erhöhten Risiko für andere Komorbiditäten.

Beschreibung der Technologie

Unter Neuromodulation versteht man die Beeinflussung der Nervensystemaktivität durch elektrische Stimulationen und pharmazeutische Wirkstoffe. Die Modulation soll einen therapeutischen Nutzen, z. B. eine Schmerzlinderung, erzielen. Eine Möglichkeit der Neuromodulation ist die aurikuläre Vagusnervstimulation (aVNS). aVNS-Geräte bestehen aus zwei Hauptkomponenten: einer tragbaren Stimulationseinheit oder einem Impulsgenerator und einer Oberflächen- oder Nadelelektrode, die an der Außenfläche des Ohrs angebracht und über einen dünnen Draht mit dem Stimulator verbunden wird. Das Gerät gibt schwache elektrische Stromimpulse ab, die über das Kabel entlang des Vagusnervs an das Gehirn übertragen werden, um so den Schmerz zu beeinflussen. Ein üblicher täglicher Behandlungszyklus umfasst drei bis vier Stimulationssitzungen mit einer Gesamtdauer von vier bis fünf Stunden, wobei jede Sitzung mindestens eine Stunde dauert. Die Gesamtlänge einer VNS-Behandlung variiert je nach Indikation. akute und chronische Schmerzen

50% der Patient*innen (Pat.) erhalten nach Operationen (OPs) keine angemessene Schmerzbehandlung

2019: 56% der Österreicher*innen (> 15 Jahre) litten innerhalb der vorangegangenen vier Wochen an Schmerzen

chronische Schmerzen beeinträchtigen Funktionsfähigkeit und Wohlbefinden

aurikuläre Vagusnervstimulation (aVNS): Schmerzbeeinflussung durch schwache elektrische Stromimpulse

Gerät mit zwei Hauptkomponenten: 1 tragbarer Stimulator & Elektroden die mit dem Stimulator über dünne Kabel verbunden sind

Methoden

Projektziel: Wirksamkeit & Sicherheit von aVNS bei Schmerzen Ziel dieses Berichts war es, die Sicherheit und Wirksamkeit von aVNS im Vergleich zu einer Scheinbehandlung oder einer Standardbehandlung bei den folgenden zwei Patientengruppen zu bewerten:

- Population 1: Patient*innen mit akuten postoperativen Schmerzen;
- Population 2: Patient*innen mit **chronischen Schmerzen**.

 systematische Suche in 4 Datenbanken
 Die systematische Literatursuche wurde am 7. Dezember 2022 in vier medizinischen Datenbanken durchgeführt. Die folgenden Datenbanken wurden durchsucht: Medline, Embase, The Cochrane Library und die INAHTA Database. Es wurden nur randomisierte kontrollierte Studien in die Evidenzsynthese eingeschlossen.

> Die Studienauswahl, die Datenextraktion und die Bewertung der methodischen Qualität der Studien wurden unabhängig voneinander von zwei Autorinnen (AS, VH, RAF) durchgeführt. Die Bewertung der Qualität der eingeschlossenen Studien erfolgte mit dem Cochrane Risk of Bias Tool v.2 und die Qualität der Evidenz wurde nach dem GRADE-Bewertungsschema (GRADE - Grading of Recommendations, Assessment, Development and Evaluations) eingestuft.

Klinische Wirksamkeit

Die folgenden Endpunkte wurden für die Bewertung der Wirksamkeit als entscheidend definiert:

- **akute ostoperative Schmerzen:** Schmerzen, Analgetikaverbrauch und Verwendung von Notfallmedikamenten;
- **chronische Schmerzen:** Schmerzen, körperliche Funktionsfähigkeit und Schweregrad der Symptome.

Sicherheit

Sicherheit: entscheidungsrelevante Endpunkte Der folgende Endpunkt wurde für die Bewertung der Sicherheit als entscheidend definiert: gerätebezogene unerwünschte Ereignisse (einschließlich Verträglichkeit und Sicherheit).

Ergebnisse

Verfügbare Evidenz

Insgesamt wurden zehn RCTs identifiziert, die die vordefinierten Einschlusskriterien erfüllten:

10 RCTs: 4 für Population 1 6 für Population 2

- akuter postoperativer Schmerz: 4 RCTs zu laparoskopischer oder offener Dünn- oder Dickdarmresektion mit oder ohne Stoma, geplanter Kaiserschnittentbindung, elektiver Entfernung eines Weisheitszahns im Unterkiefer und Rekonstruktion des vorderen Kreuzbandes,
- chronischer Schmerz: 6 RCTs zu abdominalen Schmerzen (2 RCTs), Fibromyalgie (2 RCTs), episodischer Migräne ohne Aura (1 RCT), myofaszialem Schmerzsyndrom (1 RCT)

Wirksamkeit:

entscheidungsrelevante

Endpunkte

Studienauswahl,

& Qualitätsbeurteilung:

von 2 Forscherinnen durchgeführt

Extraktion

Klinische Wirksamkeit

Patient*innen mit akuten postoperativen Schmerzen

Die aktiven und Scheinbehandlungen wurden in allen vier RCTs zusätzlich zur jeweiligen Standardbehandlung eingesetzt. Die RCTs wurden mit einem niedrigen bis hohen Verzerrungsrisiko bewertet, wobei lediglich eine Studie mit einem geringen Risiko für Verzerrungen bewertet wurde.

Die Kombination von perkutaner aVNS mit der Standardbehandlung zeigte bei den Schmerzwerten, dem Analgetikaverbrauch oder dem Einsatze von Notfallmedikamenten nach einem geplanten Kaiserschnitt oder einer chirurgischen Weisheitszahnextraktion keine statistisch signifikanten Unterschiede im Vergleich zu einer Scheinbehandlung in Kombination mit der Standardversorgung oder der Standardversorgung allein. Ähnliche Ergebnisse wurden in zwei weiteren RCTs beobachtet, die perkutane aVNS mit Ohrakupunktur über einen zwei- bis fünftägigen Behandlungszyklus bei Patient*innen nach einer größeren kolorektalen Operation oder einer chirurgischen Weisheitszahnentfernung verglichen. Im Rahmen einer dieser Studien zeigten sich zusätzlich keine Unterschiede zwischen aktiver Neurostimulation und Ohrakupunktur beim Auftreten von postoperativer Übelkeit und Erbrechen, bei der Dauer des Krankenhausaufenthalts und bei den 30-Tage-Wiederaufnahmeraten.

In einem RCT wurden die Auswirkungen der transkutanen aVNS auf die Linderung von Rebound-Schmerzen nach einer femoralen Nervenblockade bei Patient*innen untersucht, die sich einer Rekonstruktion des vorderen Kreuzbandes unterzogen. Bei den VNS-Patient*innen traten Rebound-Schmerzen in den ersten zwölf Stunden seltener auf (18 % vs. 41 %; p=0,03) und hielten kürzer an (p=0,002). Auch der Analgetikaverbrauch (p=0.02) und die Verwendung von Notfallmedikamenten (p=0.004), sowie Schlafstörungen (p=0.03) in den ersten 12 Stunden nach der Operation unterschieden sich signifikant zugunsten der aVNS-Gruppe.

Patient*innen mit chronischen Schmerzen

Die Qualität der Evidenz der sechs RCTs reichte von niedrig bis hoch, wobei die meisten Studien mit einem mäßigen bis hohen Verzerrungsrisiko bewertet wurden.

Statistisch und klinisch signifikante Verbesserungen der Schmerzwerte und der Symptomschwere ($p \le 0,001$ für beide) wurden in jeweils einem RCT bei Jugendlichen mit schmerzbedingten gastrointestinalen Beschwerden (im Alter von 11 bis 18 Jahren) und Erwachsenen mit verstopfungsbedingtem Reizdarmsyndrom während einer drei- bis vierwöchigen Behandlung mit perkutaner oder transkutaner aVNS im Vergleich zu einer Scheinbehandlung (beide zusätzlich zur Standardbehandlung) beobachtet. Die Verringerung der Schmerzwerte hielt bei den 11- bis 18-jährigen Patienten im Median für 9,2 Wochen nach Beendigung der Behandlung an.

In einem RCT führte transkutane aVNS bei Patient*innen mit episodischer Migräne ohne Aura im Vergleich zu einer Scheinbehandlung zu einer statistisch signifikanten Schmerzreduktion (p=0.008). Bei der Lebensqualität und den psychometrischen Messwerten konnten während der vierwöchigen Behandlung keine signifikanten Unterschiede zwischen den beiden Gruppen im Vergleich zum Ausgangswert festgestellt werden. Pat. mit akuten postoperativen Schmerzen

niedriges bis hohes Verzerrungsrisiko

3 RCTs mit keinen statistisch signifikanten (s.s.) Unterschieden zwischen den Behandlungen bei geplantem Kaiserschnitt, chirurgischer Weisheitszahnentfernung oder kolorektalen Operationen

1 RCT mit s. s. Verbesserung des Rebound-Schmerzes bei Rekonstruktion des vorderen Kreuzbandes

Pat. mit chronischen Schmerzen

niedriges bis hohes Verzerrungsrisiko

2 RCTs mit s.s. Verbesserungen von Schmerzen und Symptomschwere bei Kindern, Jugendlichen und Erwachsenen mit gastrointestinalen Symptomen

1 RCT mit s.s. Schmerzreduktion bei episodischer Migräne, kein Unterschied bei LQ 1 RCT mit s.s. Verbesserung von Schmerzen bei Pat. mit myofaszialem Schmerzsyndrom, kein Unterschied bei LQ

> 2 RCTs: keine s.s. Unterschiede bei Fibromyalgie

Ein RCT zeigte, dass bei Patient*innen mit myofaszialem Schmerzsyndrom die Kombination von transkutaner aVNS mit einer konventionellen Behandlung (ischämische Kompression der Triggerpunkte und Dehnungsübungen) im Vergleich zur konventionellen Behandlung allein zu einer signifikanten Verbesserung der Schmerzen (p < 0.001) und der Griffkraft (p < 0.001) führte. Die Lebensqualität unterschied sich jedoch nicht statistisch signifikant zwischen den beiden Gruppen.

Die Ergebnisse aus einem RCT konnten keine statistisch signifikanten Unterschiede zwischen transkutaner aVNS und einer Scheinbehandlung bei Patient*innen mit chronischer Fibromyalgie in Bezug auf die Verringerung der Schmerzen oder der Symptomschwere feststellen. Auch die Kombination eines häuslichen Trainingsprogramms mit transkutaner aVNS bei Frauen mit chronischer Fibromyalgie zeigte keine statistisch signifikanten Unterschiede gegenüber dem Trainingsprogramm bei Schmerzen, Symptomschwere, Lebensqualität oder psychometrischen Messwerten.

Sicherheit

gerätebezogene unerwünschte Ereignisse: 0 – 19 % Die Häufigkeit gerätebezogener unerwünschter Ereignisse, welche von sechs RCTs berichtet wurden (vier für akute Schmerzen und zwei für chronische Schmerzen), war zwischen den Behandlungsgruppen ähnlich und reichte von 0 % bis 19 %. Zu diesen geringfügigen berichteten Komplikationen gehörten Ohrenbeschwerden, Müdigkeit und Klebstoffallergie.

Laufende Studien

12 laufende RCTs:

1 RCT zu akuten, postoperativen Schmerz und 12 zu chronischen Schmerz Zwölf laufende RCTs wurden identifiziert. Ein RCT untersucht den Einsatz von aVNS im Vergleich zu einer Scheinbehandlung zur Linderung von postoperativen Schmerzen nach einer Endoprothese bei 600 Patient*innen. Von den anderen elf RCTs untersuchen sechs den Einsatz von aVNS zur Schmerzlinderung bei chronischen muskuloskelettalen Erkrankungen (36 – 148 Patient*innen) und bei fünf RCTs wird der Einsatz von aVNS bei einer Reihe von Schmerzzuständen (47 bis 116 Patient*innen) untersucht.

Diskussion

unterschiedliche Bedingungen, Stimulationseinstellungen und Länge der Behandlung

teilweise unklar welche der verschiedenen Nevenstränge in der Ohrmuschel stimuliert wurden Die Unterschiede bei den Bedingungen, Stimulationseinstellungen und der Länge der Behandlungszyklen in den eingeschlossenen Studien weisen auf den experimentellen Charakter der aVNS im Bereich der Schmerzbehandlung hin. Obwohl sich die eingeschlossenen RCTs auf Studien beschränkten, die Elektroden in Bereichen des Ohrs anbrachten, die vom Vagusnerv innerviert werden, oder die speziell auf den Vagusnerv abzielten, haben drei der vier Studien zu akuten Schmerzen und eine Studie zu chronischen Schmerzen auch die aurikulären Äste anderer Nerven stimuliert. Außerdem wurde in sechs der zehn eingeschlossenen Studien die transkutane aVNS verwendet, die ein diffuseres Stimulationsfeld erzeugt, das unbeabsichtigt auch andere Nerven als den Vagusnerv stimuliert haben könnte. All diese Faktoren unterstreichen die Tatsache, dass aus den vorliegenden Daten nicht eindeutig hervorgeht, welche der verschiedenen Nervenstämme, die die Ohrmuschel innervieren, während der aVNS aktiviert wurden. Außerdem ist es aufgrund des begrenzten Verständnisses des lokalen Ziels und des Wirkmechanismus der aVNS schwierig, eine Scheinbehandlung durchzuführen, die in der therapeutischen Gruppe eine zufriedenstellende Wahrnehmung erzeugt, ohne einen therapeutischen Signalweg zu aktivieren.

Die Studien lieferten zwar Hinweise, dass aVNS bei einigen Schmerzzuständen im Vergleich zu einer Scheinbehandlung oder Standardbehandlung wirksamer sein kann. Es ist fraglich, ob die Anhaltspunkte für einen Zusatznutzen auch auf andere Populationen übertragbar sind.

Derzeit ist aVNS nicht im Leistungskatalog der leistungsorientierten Krankenanstaltenfinanzierung (LKF) enthalten und somit keine voll erstattungsfähige Leistung im österreichischen Gesundheitssystem.

Schlussfolgerung und Emfpehlung

Die verfügbare limitierte Evidenz deutet darauf hin, dass die transkutane aVNS eine sichere und wirksame Zusatzbehandlung zur Verringerung von Rebound-Schmerzen nach einer Blockade des Nervus femoralis bei Patient*innen sein kann, die sich einer Rekonstruktion des vorderen Kreuzbandes unterziehen. Die limitierte Evidenz mit geringer Vertrauenswürdigkeit sprechen nicht für den Einsatz der aVNS bei anderen Arten von akuten postoperativen Schmerzen.

Die verfügbare Evidenz deutet auch darauf hin, dass die perkutane aVNS eine sichere und wirksame Zusatztherapie zur Schmerzlinderung und Verbesserung der Symptome bei schmerzbedingten gastrointestinalen Erkrankungen, insbesondere beim Reizdarmsyndrom bei Kindern und Jugendlichen (11 – 18 Jahre), ist. Hinweise deuten darauf hin, dass dies auch auf Erwachsene (18 bis 17 Jahre) zutreffen könnte. Die transkutane aVNS kann bei Patient*innen mit myofaszialem Schmerzsyndrom oder episodischer Migräne ohne Aura zu einer Schmerzlinderung führen, doch sollten die Ergebnisse aufgrund der geringeren Beweissicherheit für diese Indikationen mit Vorsicht interpretiert werden. Basierend auf der verfügbaren Evidenz sollte die Aufnahme von aVNS in den Krankenhausleistungskatalog daher auf ausgewählte Patient*innen beschränkt werden. Ergebnisse nicht auf andere Personengruppen übertragbar

aVNS derzeit nicht im Leistungskatalog in Österreich

postoperativer Schmerz: sichere und wirksame Zusatztherapie bei Rebound-Schmerzen nach ACL-Rekonstruktion

chronischer Schmerz: sichere und wirksame Zusatztherapie bei ausgewählten Patient*innen und Indikationen

Empfehlung: Aufnahme nur für ausgewählte Patient*innen

1 Background

1.1 Overview of the disease, health condition and target population

Pain is an unpleasant sensory and emotional experience that is associated with actual or potential tissue damage. It is the main reason people seek medical care [1]. There are three main types of physical pain [2-5].

- Nociceptive: This is the most common type of pain. It results from damage to non-neural tissue and tends to go away once the affected body part heals. Nociceptive pain is further categorised as either somatic (originating in peripheral tissues such as skin, muscle and bone) or visceral (occurring in organs of the abdomen and chest). This type of pain is associated with trauma, such as fractures, burns, muscle tears and sprains; muscle spasms; degenerative changes resulting from normal wear and tear, such as primary osteoarthritis; and visceral pathologies such as ulcers, renal stones and pancreatitis.
- Neuropathic: This type of pain arises from diseases or injuries affecting the somatosensory nervous system. Conditions associated with neuropathic pain include nerve or nerve root compression (e.g., radiculopathy, carpal tunnel syndrome and trigeminal neuralgia); exposure to toxins (e.g., chemotherapy); metabolic diseases such as diabetes; ischaemia (e.g., peripheral vascular disease and diabetic neuropathy); trauma (e.g., postsurgical pain); infections (e.g., shingles and human immunodeficiency viruses); and inflammation (e.g., inflammatory demyelinating polyradiculoneuropathy).
- Nociplastic: This pain is caused by the activation of peripheral painrelated sensory pathways in the absence of actual tissue or nerve damage. Nociplastic pain can occur in isolation or in combination with nociceptive or neuropathic pain. It is often associated with bladder pain syndrome, fibromyalgia, chronic pelvic pain, irritable bowel syndrome, temporomandibular disorder, some types of tensiontype headaches and non-specific back pain.

Pain can be further categorised as acute or chronic.¹, Acute pain is a psychophysiological response to tissue trauma and re-lated inflammatory processes and has a valuable survival function. It has a sudden onset, short duration and an obvious cause [3]. In con-trast, chronic pain is a maladaptive pain that persists beyond the ex-pected healing time of injured tissues (three months according to In-ternational Classification of Diseases [6]) [2]. Chronic secondary pain is usually a symptom of another condition, whereas chronic primary pain is a disease unto itself. Examples of chronic primary pain conditions include fibromyalgia and complex regional pain syndromes, irri-table bowel syndrome and nonspecific low back pain [3]. The relevant International Classification of Diseases (ICD)-11 codes for the various acute and chronic pain conditions are listed in Table 1 1.

Schmerz = Hauptgrund für Inanspruchnahme von medizinischer Hilfe

nozizeptiver Schmerz: Schädigung von nicht-Nervengewebe, verschwindet (normalerweise) nach Heilung wieder

neuropathischer Schmerz: Krankheiten oder Verletzungen, die das somatosensorische Nervensystem (NS) betreffen

noziplastischer Schmerz: unabhängig von Gewebsoder Nervenschäden

akuter Schmerz: plötzliches Auftreten, kurze Dauer und eine offensichtliche Ursache

chronischer Schmerz: langanhaltend, über die erwartete Heilungszeit hinaus

¹ A0002 What is the disease or health condition in the scope of this assessment?

Table 1-1: Relevant ICD-11 codes for pain

| Pain type | ICD-11 code |
|---|-------------|
| Abdominal or pelvic pain | MD81 |
| Migraine | 8A80 |
| Low back pain | ME84.2 |
| Symptom or complaint of the back, unspecified | ME86.2Z |
| Chronic pain | MG30 |
| Chronic primary pain | MG30.0 |
| Chronic cancer related pain | MG30.1 |
| Chronic postsurgical or post-traumatic pain | MG30.2 |
| Chronic secondary musculoskeletal pain | MG30.3 |
| Chronic secondary visceral pain | MG30.4 |
| Chronic neuropathic pain | MG30.5 |
| Chronic secondary headache or orofacial pain | MG30.6 |
| Other specified chronic pain | MG30.Y |
| Chronic pain, unspecified | MG30.Z |

Source: International Classification of Diseases 11th Revision [7]

There are many physical, psychological and social risk factors associated with developing chronic pain. Predisposing characteristics fall into various categories, including demographic (e.g., female sex, older age and adverse socioeconomic conditions); lifestyle and behaviour (e.g, smoking, obesity and lack of physical activity); and clinical (e.g., another site of acute or chronic pain within the body or the presence of comorbid physical and mental chronic diseases) [2, 8].²

Therefore, there are two distinct populations for this assessment: patients with acute postoperative pain and those with chronic pain of at least three months' duration.³

1.1.1 Epidemiology⁴

Acute postoperative pain

Inadequately controlled postoperative pain not only negatively affects quality of life and functional recovery, it also increases the risk of post-surgical complications and of developing chronic postsurgical pain (CPSP), which is pain that persists for at least three months after a surgical procedure [9, 10]. CPSP affects up to 10% of patients and is particularly common after amputations (50% to 85%), thoracotomies (5% to 65%), cardiac surgery (30% to 55%) and breast surgery (20% to 50%) [11]. However, it also occurs after minor procedures such as hernia repair [9]. In Austria, 1,161,787 surgeries were performed in 2021, an increase of almost 6% compared with 2020 [12]. CPSP is more common among women and younger patients than other individuals [13]. Other risk factors for developing CPSP include anxiety, severe acute postoperative pain, early postoperative hyperalgesia, surgical

chronische Schmerzen: körperliche, psychologische und soziale Risikofaktoren

2 verschiedene Populationen für Bewertungen

inadäquate

Schmerztherapie nach Operation: ↑ Risiko für postoperative (postop.) Komplikationen & chronische, postop. Schmerzen

10 % der Pat. leiden an chronischen, postop. Schmerz

² A0003 What are the known risk factors for the disease or health condition?

³ A0007 What is the target population in this assessment?

⁴ **A0023** How many people belong to the target population?

procedures lasting longer than three hours and infection [9, 11, 14, 15]. Inadequate preventive analgesia may also contribute to the development of CPSP [9].^{2,5} Evidence suggests that less than 50% of patients undergoing surgery receive adequate postoperative pain relief [16].

Chronic pain

11 – 14 % der Bevölkerung leidet an chronischen Schmerzen The prevalence of chronic widespread pain is remarkably consistent among populations, ranging from 11% to 14% [17]. Low back pain, headache and abdominal pain are the most commonly reported pain conditions among children and adolescents [17]. Self-reported chronic pain in at least two sites (headache, stomach or back ache) is reported by approximately 21% of young people, while chronic pain affects up to 30% of those aged 18 to 39 years [8, 18]. Systematic reviews of epidemiological studies report that the median one-month prevalence of chronic back pain, headache and abdominal pain among children and adolescents is 21%, 48% and 12%, respectively [17].

muskuloskelettale Erkrankungen = häufigster Grund für Schmerzen im Alter

2019: 56 % der Österreicher*innen berichteten über Schmerzen innerhalb der vorangegangenen 14 Tage

chronischer Schmerz: negative Auswirkungen auf Wohlbefinden und das soziale Leben

durch Schmerz gekennzeichnete Erkrankungen: hohe Anzahl an verlorenen Lebensjahren aufgrund von Behinderung Musculoskeletal disorders are one of the most common causes of pain in older individuals. The prevalence of chronic low back pain in the general population in Europe ranges from 6% to 11% (median 9%) [19, 20]. The median prevalence of shoulder pain is 16% [21], and one in four people over the age of 55 years experiences a persistent episode of knee pain over a one-year period [22].

In 2019, approximately 4.1 million Austrians (56%) older than 15 years reported experiencing some degree of physical pain in the previous four weeks, with higher rates occurring among women than men (60% versus 52%) [12]. In the same year, the twelve-month prevalence rates for pain-related conditions were as follows: chronic back ailments (26%); neck disorders or other chronic complaints of the cervical spine (20%); osteoarthritis (14%); chronic headache (8%); and chronic inflammatory bowel disease (3%). The frequency of physical pain increased considerably with advancing age, rising from 42% among 15- to 29-year-olds to 61% of 60- to 74-year-olds and 74% among those older than 75 years [12]. Older people were also more likely to experience severe or very severe pain than younger individuals (24% versus 13%) [23].

1.1.2 Burden of disease

Chronic pain interferes considerably with functioning and wellbeing, resulting in poor general health, disability, depression and social withdrawal, and an increased risk of developing further comorbidities [9, 17]. Chronic pain also negatively affects relationships, self-esteem and overall perceptions of general health, and is associated with higher divorce and suicide rates, an increased risk of substance abuse and a lower life expectancy [2].⁵

Globally, conditions characterised or defined by the presence of pain (such as low back pain, neck pain, other musculoskeletal disorders, migraine and falls) accounted for five of the top ten conditions responsible for the most years lost due to disability [24]. The corresponding disability-adjusted life years (DALYs) were 83 million for low back pain, 24 million each for neck pain and migraine/headache, 28 million for other musculoskeletal disorders, 19 million for falls and 17 million for osteoarthritis [25].⁶

⁵ A0004 What is the natural course of the disease or health condition?

⁶ **A0005** What is the burden of disease for the patients with the disease or health condition?

The management of pain requires an array of services, including physical therapy (17%) and inpatient care (17%), pharmacy (13%) and primary care (13%) [17]. A study in Europe of patients with chronic pain found that at least 93% had visited their physician in the previous six months, compared with 84% of the general adult population [20]. In Austria, patients with chronic pain visit their primary care provider an average of eight times per year. It takes an average of 1.7 years for a patient in Austria with chronic pain to be correctly diagnosed, and a further 1.9 years to receive appropriate treatment [23, 26]. Consequently, patients with pain conditions consume nearly twice as much healthcare resources as the general population [17].⁷

In addition to these direct costs, there are considerable indirect costs arising from reduced earning capacity, inability to work and early retirement [26]. It has been estimated that individuals with moderate to severe chronic pain lose an average of eight days of work every six months, with 22% losing at least ten workdays [20]. With the aging workforce in many countries, the social and economic impact of older workers having to retire due to painful health conditions is likely to be considerable [17]. In Austria, diseases of the musculoskeletal system are responsible for the loss of 660,000 annual workdays, corresponding to half of the days lost per annum [23].

1.2 Current clinical practice⁸

Since pain is a consequence of various biological, psychological, and social factors, guidelines generally recommend interdisciplinary treatment, ideally within a shared-decision model that encompasses a personalised approach [2, 27].

1.2.1 Guidelines for acute pain

A guideline from the American Pain Society, the American Society of Regional Anesthesia and Pain Medicine and the American Society of Anesthesiologists recommends using a variety of local anaesthetic-based regional analgesic techniques, in combination with systemic drugs and nonpharmacological interventions, that target different mechanisms of action in the peripheral and central nervous system (strong recommendation, high-quality evidence) [16]. The components of this multimodal regimen vary depending on the patient, setting and surgical procedure. Preferred pharmacological interventions include oral opioids (strong recommendation, moderate quality evidence), acetaminophen and/or nonsteroidal anti-inflammatory drugs (strong recommendation, high-quality evidence) and gabapentin or pregabalin (strong recommendation, moderate-quality evidence). Recommended adjunctive treatments include cognitive behavioural modalities (weak recommendation, moderate-quality evidence) and transcutaneous electrical nerve stimulation (TENS) (weak recommendation, moderate-quality evidence), which delivers alternating current via cutaneous electrodes positioned near the painful area and is different from the more targeted aVNS. Although opioids are the reference standard for treating acute postoperative pain, this is tempered by the fact that around 6% of individuals who receive opioids after surgery end up on chronic opioid therapy [2, 27]. In addition, the side effects of opioids Behandlung von Schmerzen: verschiedene Dienstleistungen involviert

hohe direkte und ...

... indirekte Kosten für das Gesundheitssystem und die Gesellschaft

Leitlinien empfehlen interdisziplinäre Behandlung

Leitlinie akuter Schmerz:

ASRA and ASA:

regionale analgetische Techniken in Kombination mit systemischen Medikamenten (z.B. Opioide) und nichtpharmakologischen Interventionen (z.B. TENS)

⁷ A0006 What are the consequences of the disease or health condition for the society?

⁸ **A0025** How is the disease or health condition currently managed according to published guidelines and in practice?

| | include excess sedation, respiratory depression, opioid-induced constipation and postoperative nausea and vomiting. Thus, the choice of treatment regimen must take into account the side effect profile for each analgesic medication of technique used and the patient's risk factors for adverse events. | | | |
|---|--|--|--|--|
| AWMF-S3-Leitlinie: | The German AWMF S3-guideline recommends a similar pharmacological strategy for the treatment of acute perioperative and post-traumatic pain, as well as the following adjunctive therapies [28]. | | | |
| Psychologische/ psychotherapeutische Maßnahmen, | Psychological/psychotherapeutic measures should be integrated into the perioperative/post-traumatic pain management on an individualized and age-group-specific basis (recommendation grade A, level of evidence 1) | | | |
| | Physiotherapeutic measures should be integrated into post-operative/ post-traumatic pain management (recommendation grade A, level of evidence 1) | | | |
| Kältetherapie, | Postoperative cold therapy should be recommended after some surgical orthopaedic procedures (recommendation grade B, level of evidence 1). | | | |
| TENS, | The additional use of TENS should be used for certain indications (recommendation grade B, level of evidence 1). | | | |
| Akupunktur | Acupuncture can be used as an adjuvant measure for certain indications (recommendation grade C, level of evidence -). | | | |
| | 1.2.2 Guidelines for chronic pain | | | |
| Leitlinien chronische Schmerzen: | For chronic primary pain, guidelines from the National Institute of Health and Care Excellence in the United Kingdom recommend a multimodal approach that includes physical activity and supervised group exercise programs. | | | |
| NICE: | psychological therapy (e.g., commitment therapy or cognitive behavioural | | | |
| primärer Schmerz: | therapy), acupuncture and antidepressants [29]. For chronic secondary pain, | | | |
| multimodaler Ansatz | there are various guidelines for managing the underlying conditions (e.g., headache irritable howel syndrome low back pain and sciatica neuropathic | | | |
| versch. krankheits- | pain, osteoarthritis, rheumatoid arthritis and spondylarthritis), each of | | | |
| spezifische Leitlinien zu | which provides condition-specific recommendations for relieving pain [30]. | | | |
| sekundärem Schmerz | If the pain associated with these conditions is considered out of proportion to the underlying disease, then the pain is managed using the more general recommendations for chronic primary pain above. ⁹ | | | |
| AWMF S1-Leitlinie: | The German AWMF S1-guideline (Arbeitsgemeinschaft der Wissenschaft- | | | |
| multimodaler, | lichen Medizinischen Fachgesellschaften e. V.) also recommends a multi- | | | |
| strukturierter Ansatz | modal, structured approach for managing chronic non-cancer related pain. | | | |

lichen Medizinischen Fachgesellschaften e. V.) also recommends a multimodal, structured approach for managing chronic non-cancer related pain. This includes patient education, interventions for improving function and mood (e.g., physiotherapy, exercise, relaxation, psychotherapy and antidepressants) and self-management, such as participation in group physical or social activity programs, self-help groups and rehabilitation programs [31].

⁹ **A0018** What are the other typical or common alternatives to the current technology?

1.3 Features of the intervention

The cranial nerves are a set of twelve paired nerves that have motor and/or sensory functions and connect the brain with the head, neck and torso. The longest of these is the vagus nerve (cranial nerve X), which extends from the thoracic and visceral abdominal organs up to the higher cerebral centres of the locus ceruleus, dorsal motor nucleus of the vagus, medulla, amygdala, hypothalamus, parabrachial nucleus and thalamus [32-34]. The afferent fibres, which comprise 80% of the nerve, carry sensory information from the head, neck, thorax and abdomen to the brain, while the efferent fibres (constituting 20% of the nerve) carry motor information to the pharynx, larynx, trachea, heart, aorta, lungs and gastrointestinal tract (oesophagus, stomach, liver, pancreas and spleen). Consequently, the vagus nerve regulates a variety of functions within the autonomic, cardiovascular, respiratory, gastrointestinal, immune and endocrine systems, including digestion, heart rate, blood pressure, vascular resistance, airway diameter, respiration and reflex actions such as coughing, sneezing, swallowing and vomiting. The vagus nerve also appears to have a role in regulating the neuro-endocrine-immune axis, mood, pain and memory [33-35].

The vagus nerve is thought to modulate pain through its ability to inhibit inflammation, oxidative stress and sympathetic activity and to activate brain regions that influence pain perception, such as the thalamus, hypothalamus, left prefrontal cortex and the periaqueductal gray [32, 36].¹⁰ Consequently, the vagus nerve has become an attractive target for addressing various diseases and pain-related conditions through neuromodulation, which is the manipulation of nervous system activity using either electrical or pharmaceutical agents to achieve a therapeutic benefit, such as pain relief [37, 38].

Electrical vagus nerve stimulation (VNS) was approved in Europe as an adjunctive treatment for epilepsy in 1994 and for refractory depression in 2001. VNS has also been trialled as a potential treatment for other diseases, such as rheumatoid arthritis and heart failure [39, 40]. Invasive VNS involves wrapping a wire around the left vagus nerve in the neck and connecting it to an electrical nerve stimulator (or pulse generator) that is implanted under the skin on the left side of the chest. The device emits low-level pulses of electrical current that are transmitted via the wire along the vagus nerve to the brain. Despite its effectiveness, the mechanism of action of VNS is still not completely understood. The side effects related to wire implantation (infection and vocal cord paresis) and stimulation (hoarseness, voice changes and cough) have limited the intervention's application to patients who are resistant to conventional therapeutic strategies [41, 42]. An additional problem with invasive VNS is that the electrode wires are hard to remove without damaging the vagus nerve [43].

To avoid these difficulties, several devices have been designed to electrically stimulate branches of the vagus nerve located in the ear (auricular branch) or neck (cervical branch) either percutaneously (using a needle electrode) or transcutaneously (using a non-invasive surface electrode attached to the skin) [37-39, 44, 45].¹¹ Anatomical studies of the ear suggest that afferent vagus nerve distributions are located at the inner side of the tragus, the concha and

Vagusnerv (VN) = längster Hirnnerv

VN reguliert eine Vielzahl von Funktionen im Körper (z.B. Verdauung, Herzfrequenz und Blutdruck)

VN beeinflusst Schmerz durch Hemmung und Aktivierung von Mechanismen die, die Schmerzwahrnehmung beeinflussen

elektrische

Vagusnervstimulation (VNS) seit 1994 in Europa bei Epilepsie zugelassen

invasive VNS hat mehrere Nachteile im Zusammenhang mit der Drahtimplantation/explantation und der Stimulation

Alternative zur invasiven VNS = aurikuläre VNS (aVNS)

VN wird über Nervenbahnen in Ohrmuschel stimuliert

¹⁰ **A0009** What aspects of the consequences/burden of disease are targeted by the technology?

¹¹ **B0002** What is the claimed benefit of the technology in relation to the comparators?

the cymba concha [46, 47]. However, the ear also contains endings of nonvagal cervical and cranial nerves such as the great auricular nerve in the ear lobe, the auriculotemporal nerve (a branch of the trigeminal nerve) located in the spine of the helix and the lesser occipital nerve in the upper third of the medial surface of the auricle [35]. The cymba concha is the only region of the ear exclusively innervated by the auricular branch of the vagus nerve [48].

Auricular VNS (aVNS) devices comprise two main components: the portable stimulation unit or pulse generator, which is roughly the size of a cell phone and can be controlled by the patient, and an ear electrode that is connected to the stimulator via a thin wire.¹² Smaller stimulators are also available that are the size of a hearing aid and can be affixed to the skin behind the ear. The aVNS electrodes are usually placed on the left ear but may be applied to both ears to boost the stimulation effect [37, 49]. The stimulation current is adjusted until a slight tingling or pulsating sensation is perceived at the stimulation site. Stimulation regimens vary, but a typical daily treatment cycle encompasses three to four stimulation sessions for a total of four to five hours, with each session lasting at least one hour. The total length of aVNS treatment varies depending on the indication. Transcutaneous devices are equipped with earpieces that can be removed when the device is not in use, whereas percutaneous aVNS devices are usually worn over several days until the treatment cycle is complete [37, 44]. Percutaneous aVNS provides a more precise and specific stimulation of the vagus nerve endings than transcutaneous aVNS, which generates a more diffuse stimulation field and, depending on the electrode placement, may inadvertently stimulate nonvagal nerves [37, 45].¹³

Since only afferent vagus nerve endings are stimulated with aVNS, the side effects of invasive VNS are avoided [37]. Patients treated with aVNS may experience slight pain, burning, tingling or itching at the stimulation site that dissipates upon electrode removal; local skin irritation (dermatitis); local bleeding (if percutaneous electrodes are used); headache; and dizziness [37, 44]. Contraindications for aVNS therapy include immunocompromise (if semipermanent needle electrodes are used), haemophilia, psoriasis vulgaris at the stimulation site, the presence of a pacemaker or other active implantable devices (which may interference with aVNS) and vagal hyper-sensitivity [37].

Several companies have developed specific aVNS devices (see Table 1-2). Stimulation can also be performed using custom-made electrodes attached to a generic transcutaneous electrical nerve stimulator device (e.g., the SNM-FDC01 device, Ningbo Maida Medical Device Inc., Ningbo, China and the TENS 7000, Roscoe Medical, Inc., Ohio, USA) [44].¹⁴

Administration, investments, personnel and tools required to use the technology and the comparator(s)

Once the provider is trained, the device can be placed in the inpatient (for postoperative pain) or outpatient setting. In the latter case, the device can be removed by the provider in the clinic or by the patient at home. Any medical professional trained in the care of patients with the particular pain condition

Geräte bestehen aus zwei Hauptkomponenten: 1 tragbarer Stimulator & Elektroden die mit dem Stimulator über dünne Kabel verbunden sind

> zwei Arten: transkutane aVNS und perkutane aVNS

aVNS: stimuliert nur die afferenten Endigungen des VN

versch. Geräte von unterschiedlichen Firmen erhältlich

einfache Anbringung und Abnahme des Gerätes

¹² **B0009** What supplies are needed to use the technology and the comparator(s)?

¹³ **B0001** What is the technology and the comparator(s)?

¹⁴ **B0003** What is the phase of development and implementation of the technology and the comparator(s)?

can administer the device.^{12,15} Stimulation parameters vary widely since the optimal electrical current, pulse width, waveform and frequency has yet to be elucidated [44]. Although the typical frequency used ranges between 20 and 30 Hz, frequencies as low as one Hz have also demonstrated a therapeutic effect [44].

Comparators

The comparator procedure for aVNS is sham treatment or standard care.¹³ Appropriately designed sham treatment encompassed either inactive electrodes placed on the concha or tragus of the ear or active electrodes placed at sites on the ear that are not innervated by the vagus nerve (e.g., the ear lobe or helix), or another part of the body. In the case of percutaneous aVNS, sham treatment with inactive needle electrodes is akin to auricular acupuncture. When a site innervated by the vagus nerve was used, only inactive electrodes were considered an adequate sham treatment since it has been shown that even a one Hz aVNS stimulation can produce a therapeutic effect [50].¹⁵

Schein- oder Standardbehandlung als Kontrolle im Zuge dieses Assessments gewählt

Regulatory & reimbursement status

According to the submission materials, the expected annual utilisation of aVNS based on the previous years' experience is 1,000 interventions per year in Austria. The expected annual utilisation of aVNS at the submitting hospital is 200 interventions per year.¹⁶ Currently, aVNS is not included in the hospital catalogue of benefits (LKF, leistungsorientierte Krankenanstaltenfinanzierung) and, hence, is not a fully reimbursable service in the Austrian healthcare system.¹⁷ aVNS derzeit nicht im Leistungskatalog in Österreich

| Table 1-2: List | of various | aVNS | devices ^{18,19} |
|-----------------|------------|------|--------------------------|
|-----------------|------------|------|--------------------------|

| Device nameª/ Manufacturer | Electrode Type | CE Mark | US FDA Approval | Class/GMDN code |
|--|----------------|-----------------------------------|--|------------------------------|
| IB-Stim Auricular Stimulator | Р | No | Yes Patients aged 11-18 | Class II Product code OHH |
| Bridge™ | | | years with functional | |
| NeurAxis, Inc., New Hampshire, USA | | | abdominal pain associated with irritable bowel syndrome (2019) | |
| NEMOS [®] t-VNS device | Т | Yes | No | Unclassified |
| tVNS International GmbH (formerly Cerbomed), Erlangen, | | Epilepsy, depression (2010) | | |
| Germany | | Pain (2012) | | |

¹⁵ **B0004** Who administers aVNS and the comparators and in what context and level of care are they provided?

¹⁶ **A0011** How much are the technologies utilised?

¹⁷ A0021 What is the reimbursement status of the aVNS?

¹⁸ A0020 For which indications has the technology received marketing authorisation or CE marking?

¹⁹ **B0003** What is the phase of development and implementation of the technology and the comparator(s)?

| Device name ^a / Manufacturer | Electrode Type | CE Mark | US FDA Approval | Class/GMDN code |
|---|----------------|--------------------------|--|--------------------------------------|
| Parasym™ | Т | Yes | No | Unclassified |
| Parasym Ltd, London, United Kingdom | | (indications unknown) | | |
| Primary Relief® | Р | No | 510(k): K213188 (2022) | Class II |
| First Relief® | | | (Primary Relief) 510(k): K202940 (2020) | Product code NHI (Primary Relief) |
| USA USA | | | (First Relief) | Product code QHH (First Relief) |
| P-Stim™ | Р | No | 510(k): K140788 (2014) | Unclassified |
| Biegler Medizinelektronik GmbH, Mauerbach, Austria | | | | Product code BWK |
| taVNS Stimulator | Т | No | No | Unclassified |
| Soterix Medical Inc., New Jersey, USA | | | | |
| Vagustim | Т | No | No | Unclassified |
| Vagustim, California, USA | | | | |
| VIVO | Р | Yes | No | Unclassified |
| Aurimod GmbH, Vienna, Austria | | Pain (2021) | | |

^a This list is not exhaustive.

 $\label{eq:abbreviations: GMDN-Global Medical Device Nomenclature; P-percutaneous; T-transcutaneous; US FDA-United States Food and Drug Administration$

2 Objectives and Scope

2.1 PICO question

Is electrical aVNS in comparison with sham treatment or standard care more effective or safe with respect to: PIKO-Frage

- pain, analgesia and rescue medication usage, length of hospitalisation, postoperative nausea and vomiting and adverse events in patients with acute pain?
- pain, physical functioning, symptom severity, use of rescue analgesics and concomitant pain treatments, emotional functioning, participant global ratings improvement, quality of life, pain interference and adverse events in patients with chronic pain?

2.2 Inclusion criteria

Inclusion criteria for relevant studies are summarised in Table 2-1: Inclusion criteria2-1.

Einschlusskriterien für relevante Studien

Table 2-1: Inclusion criteria

| Population | Population One |
|------------|---|
| | Patients of any age with acute pain experienced immediately after surgery (up to 7 days) [51] |
| | ICD-11 Codes: MG31.2 Acute postoperative pain, not elsewhere classified [7] |
| | MeSH and Emtree Terms: |
| | Medline: Acute Pain; Pain, Postoperative |
| | Embase: Acute pain; Postoperative pain |
| | Population Two |
| | Patients of any age with chronic pain (e.g. abdominal or pelvic pain, back pain or migraine) |
| | Chronic pain is defined as pain that persists or recurs for more than 3 months [52] |
| | ICD-11 Codes: MD81 Abdominal or pelvic pain; 8A80 Migraine; ME84.2 Low back pain; ME86.2Z Symptom or complaint of the back, unspecified (XT8W chronic); MG30 Chronic pain [7] |
| | MeSH and Emtree Terms: |
| | MesH: Abdominal Pain; Back Pain; Pelvic Pain; Migraine Disorders; Acute Pain; Chronic Pain; Pain, Postoperative |
| | Emtree: Abdominal pain; Pelvic pain; Pelvis pain syndrome; Backache; Low back pain; Chronic pain; Migraine |
| | Rationale |
| | Informed by information provided by the submitting hospital and the International Association for the Study of Pain [52] |

| Intervention | Electrical auricular vagus nerve stimulation (aVNS) either alone or in addition to standard care |
|--------------|--|
| | Electrical stimulation of the areas of the ear innervated by the vagus nerve, i.e. the concha or tragus, or specific mention of targeting the vagus nerve |
| | Product names: |
| | Various specific aVNS devices, including, but not limited to: |
| | IB-Stim Auricular Stimulator and Bridge |
| | NEMOS t-VNS device |
| | Primary Relief or First Relief |
| | Parasym |
| | VIVO |
| | taVNS Stimulator |
| | Various generic transcutaneous electrical nerve stimulation devices used for aVNS (e.g., the SNM-FDC01 device and the TENS 7000) |
| | MeSH and Emtree Terms: |
| | Medline: Vagus Nerve Stimulation; Transcutaneous Electrical Nerve Stimulation; Electroacupuncture |
| | Emtree: Transcutaneous electrical nerve stimulation; Vagus nerve stimulation |
| | Excluded |
| | Transcutaneous stimulation of the cervical branch of the vagus nerve (this is not included in the submitting hospital application) |
| | Stimulation of points on the ear that are not innervated by the vagus nerve |
| | Studies with insufficient description of the intervention to be able to determine whether the vagus nerve was stimulated or targeted |
| | Rationale |
| | Informed by information provided by the submitting hospital, a scoping search of the literature, and published anatomic studies [46, 47] |
| Control | Sham aVNS (either alone or in addition to standard care) involving either inactive electrodes placed on the concha or tragus of the ear or active electrodes placed at sites on the ear that are not innervated by the vagus nerve (e.g., the ear lobe or helix), or another part of the body. |
| | Condition-specific standard care |
| | MeSH and Emtree Terms: |
| | These were not used in the search strategy as they resulted in overly narrow search results. |
| | Rationale |
| | This was informed by clinical practice guidelines [16, 28-31]. When a site innervated by the vagus nerve was used, only inactive electrodes were considered an adequate sham treatment since it has been shown that even a 1 Hz aVNS stimulation can produce a therapetic effect [50]. |

| Outcomes | | |
|-----------------|--|--|
| Efficacy | Population One | |
| | Changes in pain scores | |
| | Changes in analgesia or rescue medication usage | |
| | Length of hospital stay | |
| | Changes in specific postoperative endpoints, e.g. postoperative nausea and vomiting | |
| | Patient satisfaction | |
| | Population Two | |
| | Changes in pain scores | |
| | Changes in physical functioning | |
| | Changes in symptom severity | |
| | Changes in rescue medication and concomitant pain treatment usage | |
| | Changes in emotional functioning | |
| | Changes in participant global ratings improvement | |
| | Changes in quality of life | |
| | Changes in pain interference | |
| | Changes in specific disease-related endpoints | |
| | Changes in psychological well-being, e.g., anxiety, sleep or fatigue | |
| | Changes in activities of daily living | |
| | Patient satisfaction | |
| | Excluded | |
| | Studies that did not report the primary outcome of pain | |
| | Rationale | |
| | Informed by a scoping search of the literature and consensus-based reporting standards [53] | |
| Safety | Procedure-related adverse events, e.g. pain, bleeding or skin irritation | |
| | Adverse effects or complications, e.g. dizziness, headache or nausea | |
| | Serious adverse events | |
| Study design | | |
| Efficacy Safety | ■ RCTs with a sample size \geq 40 patients ²⁰ | |
| | Excluded | |
| | Non-peer reviewed studies, narrative reviews, letters to the editor and author responses, non- randomised comparative studies, case series and case reports, conference abstracts | |
| | Rationale | |
| | The minimum sample size was based on power calculations from published RCTs [54-56] | |

Abbreviations: aVNS – auricular vagus nerve stimulation; MeSH – medical subject heading; RCT – randomised controlled trial

²⁰ A best evidence approach to study selection was taken, with recent, well-conducted systematic reviews selected preferentially, if available, over individual RCTs. Any systematic reviews identified would have been updated, where necessary, with primary studies published after the review's search end date.

3 Methods

3.1

EUnetHTA Core Model[∞] Version 4.2. für SR herangezogen

Assessment elements from the European Network for Health Technology Assessment (EUnetHTA) Core Model ® for the production of Rapid Relative Effectiveness Assessments (Version 4.2) were customised to the specific objectives of this assessment [57].

3.2 Clinical effectiveness and safety

3.2.1 Systematic literature search

Research questions

systematische Literatursuche in vier Datenbanken The systematic literature search was conducted on 7 December 2022 in the following databases:

- Medline via Ovid
- Embase
- The Cochrane Library
- The International HTA Database (International Network of Agencies for Health Technology Assessment)

deutsche und The systematic search was limited to articles published in English or German and in Medline and Embase to randomised controlled trials and systematic reviews.

After deduplication, 954 citations were included. The specific search strategies employed can be found in the Appendix. Handsearching identified an additional four citations, resulting in a total of 1,531 hits. Manufacturers of the more common aVNS devices (NeurAxis, Inc., tVNS International GmbH and Vagustim) were contacted for information, but no new citations were identified.

 laufenden Studien: insgesamt
 87 Publikationen identifiziert
 Three clinical trials registries (ClinicalTrials.gov, WHO-ICTRP and EU Clinical Trials) were searched on 10 January 2023 to identify ongoing and unpublished studies, which resulted in 87 potentially relevant hits. The twelve relevant RCTs are summarised in the Appendix (Table A-1 and Table A-2).

3.2.2 Flow chart of study selection

Overall, 958 unique citations were identified from the literature searches. These references were screened by two researchers independently and, in cases of disagreement, a third researcher was involved to resolve the differences. The study selection process is displayed in Figure 3-1. Literaturauswahl: 10 RCTs (11 Publikationen)



Abbreviations: RCTs – randomised controlled trials Figure 3-1: Flow chart of study selection (PRISMA Flow Diagram)

3.2.3 Analysis

Datenextraktion aus Studien

Beurteilung der Studienqualität mit Cochrane RoB Tool (V.2) Reviewers independently extracted relevant data for Population One (RAF) and Population Two (AS) from the included studies into data extraction tables that were designed and tested a priori. The data extraction tables were checked for accuracy by the reviewer not involved in the data extraction for the particular population (either RAF or AS), or by a third reviewer (VH). One reviewer (AS) assessed the studies for internal validity and risk of bias using the Cochrane Risk of Bias 2 tool [58] and the quality of the data using the GRADE (Grading of Recommendations Assessment, Development and Evaluation) schema [59] (see Tables A-3 to Table A-12 in the Appendix). A second reviewer (VH) validated these assessments for accuracy. Any disagreements with respect to the data extraction or quality analyses were resolved by consensus.

3.2.4 Synthesis

qualitative Synthese
der EvidenzThe questions were answered in plain text format with reference to GRADE
evidence tables that are included in the Appendix (Table A-5 to Table A-12).
Results are summarized in Table A-1 and Table A-2 in the Appendix.

4 Results: Clinical effectiveness and Safety

4.1 Outcomes

4.1.1 Outcomes effectiveness

Critical outcomes

The following outcomes were defined as *critical* to derive a recommendation. Selection of critical outcomes was based on the Initiative on Methods, Measurement, and Pain Assessment in Clinical Trials (IMMPACT) core outcomes for chronic pain clinical trials [60, 61].

Populations 1 and 2

Pain intensity is typically assessed with validated questionnaires such as the following [60].

Verbal rating scale (VRS): For VRS, several versions exist. Generally, the VRS for pain intensity is a ranked list of words that describe different pain levels and include extreme anchors and intermediate adjectives (e.g., no pain, mild pain, moderate pain and severe pain). For data analysis, each descriptor is coded with a numerical value (e.g., 0, 1, 2 and 3 for no pain, mild pain, moderate pain and severe pain, respectively). Survey participants must select the single descriptor that best reflects their pain level [60].

Visual analogue scale (VAS): For the VAS, respondents are asked to mark their pain level on a horizontal or vertical line that is usually 10 centimetres long. Anchors such as "no pain" and "worst pain imaginable" are labelled on the ends. The distance measured in millimetres from the beginning of the line (the "no pain" side) to the mark indicates the participant's level of pain [60].

Numeric rating scale (NRS): This scale is the most commonly used measure for pain intensity in the clinical setting because it is easy to use and score. Study participants are asked to rate their pain intensity on a scale of 0 to 10 (or 20 or 100), with 0 usually representing "no pain" and 10 representing a descriptor indicating an extreme level of pain (e.g., "worst pain imaginable") [60].

Population One only

- *Analgesia consumption* (time and amount of analgesic intake, usually measured by questionnaires)
- **Use of rescue medication** (medications that provide quick relief from acute symptoms, usually measured by questionnaires)

Population Two only

Physical functioning refers to one's ability to perform activities that require physical action, such as self-care, walking indoors or outdoors, or climbing stairs. The Short Form-36 (SF-36) is one of the most commonly used measures. It measures eight domains, including physical function. The physical function subscale consists of 10 items that ask participants, "Does your health condition now limit you in these activities? If yes, how much?" The activities range from bathing

wesentliche Endpunkte Effektivität:

Population 1 & 2: Schmerzintensität: gemessen mit versch. validierten Fragebögen

VRS: Rangliste von Wörtern, die versch. Schmerzstufen beschreiben

VAS: Markierung der Schmerzintensität auf einer horizontalen oder vertikalen Messlinie

NRS: Bewertung der Schmerzintensität auf einer Skala von 1 bis 10

nur Population 1:

Analgetikaverbrauch,

Verwendung von Notfallmedikamenten

nur Population 2

körperliche Funktion, meist erhoben durch SF-36-Fragebogen or dressing to walking. For each item, there are three response options: 'yes, severely limited'; 'yes, somewhat limited'; and 'no, not limited at all'. The scores for each item are added together and converted into a scale from 0 to 100, with higher scores indicating better physical functioning [60].

- Schweregrad der Symptome
- **Symptom severity** is typically assessed with various condition-specific symptom severity scales such as the Fibromyalgia Impact Questionnaire and the irritable bowel syndrome severity scoring system.

Important outcomes

Outcomes defined as *important* but not critical to derive a recommendation.

Population One

- Length of hospital stay
- Changes in specific postoperative endpoints, such as postoperative nausea and vomiting
- Patient satisfaction

Population Two

- **Rescue analgesic and concomitant pain treatment usage**
- Pain interference: The extent to which pain impedes one's ability to perform or participate in basic physical activities or more complex social activities. The Brief Pain Inventory and Multidimensional Pain Inventory both have pain interference scales with well-established measurement properties. There are also several condition-specific pain interference measures, primarily for musculoskeletal conditions [60].
- **Emotional functioning:** This refers to psychological distress or psychiatric comorbidity. Common measures include the Beck Depression Inventory and the Hospital Anxiety and Depression Scale [60].
- Participant global ratings improvement: This integrates multiple aspects of the patient's treatment experience into a single assessment. There are several Likert scales that measure this, including the Patient Global Assessment of Treatment Satisfaction, the Patient-Rated Global Assessment of Response to Therapy and the Patient Global Impression of Change [60].
- **Quality of life:** The SF-36 is one of the most commonly used measures for this outcome.

4.1.2 Outcomes safety

The following outcomes were defined as *critical* to derive a recommendation for **populations 1 and 2:**

Device-related adverse events (including tolerability issues and safety) are adverse events related to the use of an investigational medical device. This includes any adverse event resulting from insufficiencies or inadequacies in the instructions for use, the deployment, the implantation, the installation, the operation or any malfunction of the investigational medical device as well as any event that is a result of a use error or intentional misuse [62].

Other important adverse events include, but are not limited to, non-device related adverse events.

wichtige Endpunkte: Population 1: Krankenhausaufenthaltsdauer, postop. Endpunktveränderungen, Pat.-zufriedenheit

Population 2: Einsatz von Analgetika & anderer Behandlungen

Schmerzstörungen

emotionales Verhalten

allgemeine Verbesserungen

Lebensqualität

wesentliche Endpunkte Sicherheit für Population 1 & 2:

gerätebezogene unerwünschte Ereignisse

> andere unerwünschte Ereignisse = wichtige Endpunkte

Selection of critical outcomes was based on the IMMPACT core outcomes for chronic pain clinical trials [60, 61] and the Analgesic, Anesthetic, and Addiction Clinical Trial Translations, Innovations, Opportunities, and Networks (ACTTION) adverse event reporting checklist [63].

4.2 Included studies

4.2.1 Included studies for effectiveness and safety

A total of 10 RCTs (11 publications) assessing the effectiveness of aVNS met the predefined inclusion criteria [54-56, 64-71]. Of these, only six reported on device safety or tolerability: four for acute pain [54-56, 64] and two for chronic pain [65, 66, 68]. Study characteristics and results of included studies are displayed in Table A-1 and Table A-2 and in the evidence profile in Tables A-5 to A-12 in the Appendix.

Population One: Patients with acute postoperative pain

Four RCTs examined the use of aVNS for pain relief following laparoscopic or open small- or large-bowel resection [64], elective Cesarian delivery [54], elective mandibular third molar extraction [55] and anterior cruciate ligament reconstruction [56]. Two studies were conducted in the USA [54, 64] and one each in Austria [55] and China [56]. Percutaneous electrodes were used in three studies [54, 55, 64] and surface electrodes were used in one study [56]. The three percutaneous aVNS studies [54, 55, 64] targeted the auricular branch of the vagus nerve as well as peripheral projections of the auriculotemporal nerve, the great auricular nerve and the lesser occipital nerve. The transcutaneous VNS study targeted only the vagus nerve [56]. In one study each the treatment cycle was twelve hours [56] and 48 hours [55], whereas in the other two studies the patients received treatment for five days [54, 64]. aVNS was compared with inactive sham treatment (two studies [54, 55]), standard care (one study [54]), active stimulation at a site not innervated by the vagus nerve (one study [56]) and auricular acupuncture comprising needle electrodes connected to an inactive device (two studies [55, 64]). The active and sham treatments were used in addition to standard care in all four RCTs. The primary outcomes for the studies were total opioid [64] or acetaminophen [55] consumption, and incidence, duration, evoked pain (pain with movement) [54] and onset of rebound pain [56]. Although the devices were provided by the manufacturer in two studies [55, 64], none of the studies were formally sponsored by industry and none of the authors declared any conflicts of interest.

In total, 150 patients received aVNS, 87 underwent some form of sham treatment, 57 received auricular acupuncture and 20 received standard care. The patient groups were somewhat heterogeneous with respect to age, sex and other demographic parameters due to the different types of surgeries investigated. The mean age of participants ranged from 24 to 62 years across the four RCTs. One study [55] had more women and smokers in the aVNS group than in the sham or auricular acupuncture groups. There were no baseline differences between groups among the other three studies. The total length of follow-up after the start of treatment was 48 hours in two studies [55, 56] and five days in the other two [54, 64]. A single study also reported additional outcomes up to 30 days following the start of treatment [64].

Auswahl der kritischen Endpunkte basierend auf den IMMPACT-Kernergebnissen

10 RCTs (11 Publ.) für Effektivität und 6 RCTs für Sicherheit

Population 1:

4 RCTs zu akuten postop. Schmerzen nach unterschiedlichen OPs

perkutane aVNS in 3 RCTs, tanskutane a VNS in 1 RCT

Behandlungsdauer: 12 Stunden bis 5 Tage

Vergleich mit Scheinbehandlung, Standardtherapie, aktiver Stimulation anderer Nervenstränge und aurikulärer Akupunktur

insgesamt 314 Pat.

Ø Alter: 24 bis 62 Jahre

Follow-up von 2 bis 30 Tage Population 2: 6 RCTs:

2 RCTs zu gastrointestinalen Störungen, 1 RCT zu episodischer Migräne, 2 RCTs zu Fibromyalgie und 1 RCT zu myofaszialen Schmerzen

Vergleich mit Scheinbehandlung, aktiver Stimulation anderer Nervenstränge und Kombination mit Standardbehandlung

> Behandlungsdauer: 5 Tage bis 4 Wochen

insgesamt 363 Pat.

Ø Alter: 11 bis 75 Jahre

geringe Vergleichbarkeit der Studien in Bezug auf demografische Merkmale

> Follow-up von 5 Tage bis 9,2 Wochen

3 RCTs (Patient*innenanzahl (n) =209): aVNS vs. Scheinbehandlung:

Population Two: Patients with chronic pain

Six RCTs (7 publications) examined the use of aVNS for pain relief in patients with gastrointestinal disorders [65, 66, 69], episodic migraine [71], fibromyalgia [67, 68] and myofascial pain [70]. Two studies each were conducted in China [69, 71] and Turkey [67, 70], and one each in Norway [68] and the USA [65, 66]. Transcutaneous electrodes were used in all but one study [65, 66], with treatment durations varying from five days [70] to two [68] and four weeks [65-67, 69, 71]. Five of the studies placed the electrodes on the auricular concha, whereas the other study [65, 66] targeted the auricular branch of the vagus nerve as well as the peripheral projections of other cranial nerves. aVNS was compared with inactive sham treatment (one study [65, 66]), active stimulation at a site not innervated by the vagus nerve (three studies [68, 69, 71]), and exercise with or without trigger point compression (two studies [67, 70]). For three of the RCTs, the stated primary outcomes were change in abdominal pain scores [65, 66] reduction in the number of migraine days [71] and change in photoplethysmography-measured cardiac-vagal heart rate variability [68]. Two studies did not specify the primary outcomes [67, 70]. None of the studies were funded by device manufacturers and none of the study authors declared any conflicts of interest.

In total, 193 patients received aVNS, 121 underwent some form of sham treatment and 51 received standard care in the form of exercise therapy. One of the studies on abdominal-related pain only included children (aged 11 to 18 years), whereas the other only included adults (aged 18 to 75 years) [65, 66, 69]. Although the two studies on fibromyalgia focused on adults, one restricted inclusion to women only [67, 68]. Consequently, there was little comparability across the studies in terms of demographic characteristics. The mean age of participants ranged from 15 to 48 years across five RCTs (data were not available for one of the studies [71]). In one study, the aVNS group had more pain and worse physical function and social functionality on the SF-36 scale at baseline than the control group [67]. Another study had better scores in the aVNS group for the gastrointestinal subscale of the Compass-31 scale and worse scores for the energy-vitality and mental health subscales of the SF-36 at baseline than the control group [70]. The length of follow-up after the start of treatment was five days in one study [70], two to three weeks in two studies [65, 66, 68] and four weeks in three studies [67, 69, 71]. A single study also reported additional outcomes up to a median 9.2 weeks after the last week of treatment [65, 66].

4.3 Results

Results are reported separately for each outcome per comparison and by condition.

4.3.1 Population One: Patients with acute postoperative pain

Effectiveness

aVNS versus sham treatment

Three RCTs reported outcomes for aVNS (n=122) and sham treatment (n=87) [54-56].
$\underline{\operatorname{Pain}}^{21}$

Two RCTs found no difference between the two groups with respect to pain over five days of treatment following elective Cesarean delivery (n=40) [54] or the median duration of pain requiring analgesic treatment in the two days following surgical molar extraction (n=91) [55].

In the third RCT (n=78), rebound pain in the first twelve hours after knee reconstruction surgery was less likely to occur in patients receiving aVNS (18% versus 41%; p=0.03) and lasted a shorter time (mean 1.7 versus 2.4 hours; p=0.002). The NRS scores were significantly lower in the intervention group.²² There were no differences between these two groups with respect to pain measures over the subsequent 36 hours of follow-up.

Analgesia consumption²¹

One study that provided statistical analysis of the comparison between aVNS and sham treatment found no differences in mean analgesia consumption up to 48 hours after mandibular third molar surgery [55].

In a second RCT [54] the number of patients requiring opioids during their hospital stay after a Cesarean delivery was slightly lower in the aVNS group (60% of 20 patients), compared with sham treatment (80% of 20 patients), and the mean milligrams of morphine equivalent consumed was lower (51.1 versus 71.6). However, the statistical significance of these comparisons was not reported.

A third RCT [56] found that the 39 patients receiving aVNS after knee reconstruction surgery were less likely to activate the patient-controlled analgesia pump in the first twelve hours than the 39 patients in the sham treatment group (2 versus 3; p=0.02). However, there was no discernible difference between the groups in the subsequent 36 hours.

Use of rescue medication

There was no statistically significant difference in the use of rescue medication between the two treatment groups after surgical molar extraction in one study of 95 patients [55]. In contrast, another RCT of 78 patients noted that significantly fewer patients on aVNS required additional analgesics in the first twelve hours after anterior cruciate ligament reconstruction than those receiving sham treatment (26% versus 51%; p=0.004). However, this difference was not sustained in the following 36 hours [56].²¹

Postoperative nausea and vomiting

There was no statistically significant difference between aVNS and sham treatment in the proportion of patients experiencing nausea or vomiting two days after knee surgery [56].²³

aVNS versus non-electrical auricular acupuncture

Two RCTs reported outcomes for aVNS (n=91) and non-electrical auricular acupuncture (n=57) [55, 64].

2 RCTs mit keinen s.s. Schmerzunterschieden zw. den Gruppen

s.s. geringeres Risiko und kürzere Zeit für Rebound-Schmerz nach ACL-Rekonstruktion zugunsten aVNS in 1 RCT

1 RCT mit keinen s.s. Gruppenunterschieden bei der Einnahme von Analgetika

1 RCT mit geringerer Opioideinnahme bei Pat. mit aVNS nach einem Kaiserschnitt, s.s. nicht berichtet

s.s. weniger häufiges Drücken der Analgesiepumpe innerhalb 12 Stunden nach Knie-OP in 1 RCT

1 RCT mit s.s. weniger zusätzlich benötigte Analgetika bei Pat. in den ersten 12 Stunden nach Knie-OP

1 RCT mit keinen s.s. Unterschieden bei postop. Übelkeit und Erbrechen

2 RCTs (n=148): aVNS vs. nicht-elektrische Ohrakupunktur:

²¹ **D0005** How does the technology affect symptoms and findings (severity, frequency) of the disease or health condition?

²² The actual scores could not be extracted from the graphical presentation provided in the report.

²³ **D0011** What is the effect of the technology on patients' body functions?

$\underline{\operatorname{Pain}}^{21}$

1 RCT mit s.s. höheren Schmerzwerten an Tag 3 nach Darm-OP in aVNS-Pat.

1 RCT mit höherer Schmerzreduktion durch aVNS nach Weisheitszahnentfernung, s.s. nicht berichtet was unclear. The other RCT [55] reported that 49% of patients receiving aVNS perceived a reduction in pain after surgical molar extraction, compared with 36% in the non-electrical acupuncture group. However, a statistical analysis of this comparison was not provided. There was no difference between the two groups with respect to median duration of pain requiring analgesic treatment, mean analgesia consumption or use of rescue medication over the 2-day treatment

One RCT [64] that followed up 52 patients over five days after major bowel

surgery found no statistically significant differences in mean pain scores

between the two treatments, except for a higher score on day 3 among the

aVNS group (3.9 versus 2.7; p=0.03). The reason for this anomalous result

Analgesia consumption

period.

1 RCT mit s.s. Verbesserungen beim Analgetikaverbrauch in Subgruppenanalysen für Pat. mit Darmresektion vs. Laparoskopie und Pat. > als 60 vs. jüngere Pat. The two RCTs found no differences between aVNS and auricular acupuncture in mean analgesia consumption up to five days after surgery [55, 64]. One of these studies reported follow-up data on the proportion of patients requiring opioids in the 30 days following major bowel surgery and found no difference between the two groups [64]. However, a subgroup analysis of data from 52 patients who underwent open bowel resection found a benefit for aVNS with respect to analgesia consumption (p=0.03) and patients older than 60 years (p=0.01), in comparison with laparoscopic surgery and younger patients, respectively [64].²¹

Length of hospitalisation

One study that measured this outcome found no statistically significant difference between percutaneous aVNS (n=28) and auricular acupuncture (n=24) in patients who had bowel resection surgery [64].²⁴

Postoperative nausea and vomiting

One study reported no statistically significant difference between aVNS (n=28) and auricular acupuncture (n=24) in the proportion of patients experiencing nausea or vomiting up to five days after major bowel surgery [64].²³

Other outcomes

The 30-day hospital readmission rates were similar between patients receiving aVNS (n=28) and those who were administered auricular acupuncture (n=24) after bowel resection surgery [64].²⁴

One study that measured patient satisfaction found that, overall, participants were satisfied with the aVNS device, reporting a mean score of 8.2 out of a possible 10 points [64]. However, it was unclear whether this measure pertained to only the active treatment group, or the acupuncture group as well.²⁵

aVNS versus standard care

One RCT reported outcomes for aVNS (n=20) and standard care (n=20) [54].

1 RCT mit keinen s.s. Unterschieden zw. den Gruppen bei Länge der Hospitalisierung...

...und postop. Übelkeit und Erbrechen nach Darm-OP

keine s.s. Unterschiede zw. den Gruppen nach Darm-OP

1 RCT mit Pat.-Zufriedenheit für aVNS nach Darm-OP: 8,2 von 10 Punkte

1 RCT (n=40): aVNS vs. Standardtherapie

²⁴ **D0014** What is the effect of the technology on work ability?

²⁵ **D0017** Were patients satisfied with the technology?

<u>Pain</u>

There was no discernible difference in pain experienced at rest or with movement across days one through five of treatment among women following Cesarian delivery [54].²¹

Analgesia consumption

The number of patients who required opioids during their hospital stay was slightly lower in the aVNS group, compared with standard care (60% versus 70%), although the mean milligrams of morphine equivalent consumed was higher (51.1 versus 42.8) [54]. However, the statistical significance of these comparisons was not reported.²¹

Safety outcomes²⁶

Percutaneous aVNS

Three studies reported on safety outcomes for percutaneous aVNS (n=111), auricular acupuncture (n=57) and sham (n=47) after two to five days of treatment [54, 55, 64].

In one study, a single patient receiving active treatment (n=20) withdrew due to device discomfort. No other procedure-related adverse events occurred. The mean rating for device tolerability in the 20 patients receiving aVNS ranged from 77% to 86% [54].

In another RCT [55], 16% of patients receiving aVNS (n=63) complained of tiredness, compared with 18% in the auricular acupuncture group (n=33) and 4% who had sham treatment (n=27). Pain at the ear was more common during aVNS (11%) and acupuncture (12%) than during sham treatment (7%), although a statistical comparison of these results was not reported. The proportion of patients reporting treatment comfort as moderate to good was similar across the three treatment groups (range 90% to 94%).

A third RCT reported that no adverse events occurred after either aVNS (n=28) or auricular acupuncture (n=24) [64].

Transcutaneous aVNS

A single study reported on safety outcomes for aVNS (n=39) and sham treatment (n=39) in patients up to 48 hours after the start of a twelvehour treatment session [56]. Although none of the patients in either group experienced light-headedness, ear irritation or tinnitus, one patient receiving sham treatment developed pruritus. The rate of sleep disturbance was significantly lower during aVNS, compared with sham treatment (31% versus 54%; p=0.03). 1 RCT mit keinen s.s. Gruppenunterschieden bei Schmerzen nach einem Kaiserschnitt

1 RCT mit leicht geringerer Opioidaufnahme in aVNS-Gruppe nach einem Kaiserschnitt, s.s. nicht berichtet

perkutane aVNS:

3 RCTs (n=215)

1 aVNS-Patientin beendete Studie vorzeitig wegen Geräteunverträglichkeit in einem RCT

16 % der aVNS Gruppe berichteten über Müdigkeit und 11 % über Schmerzen am Ohr in einem RCT

1 RCT mit keinen Nebenwirkungen

transkutane aVNS

1 RCT mit Juckreiz als NW bei 1 Patient*in mit Scheinbehandlung &

s.s. geringe Schlafstörungen in aVNS-Gruppe

²⁶ C0008 How safe is the technology in comparison to the comparator(s)?

4.3.2 Population Two: Patients with chronic pain

Effectiveness

PAIN-RELATED GASTROINTESTINAL DISORDERS

aVNS versus sham treatment

aVNS vs. Scheinbehandlung bei abdominalen Schmerzen:

> 1 RCT (n=106): Ø 11 – 18 Jahre, 1 RCT (n=40): Erwachsene

1 RCT mit s.s. Reduktion der Schmerzen durch aVNS nach 3 und 9,2 Wochen bei Kindern und Jugendlichen (KiJu) mit abdominalen Schmerzen

Subgruppenanalyse des RCTs: s.s. Reduktion der Schmerzparameter durch aVNS bei KiJu mit Reizdarmsyndrom (RDS)

1 RCT mit s.s. Verminderung des Schmerzes durch aVNS bei Erwachsenen mit RDS

1 RCT mit s.s. Verbesserungen der Werte auf der Symptom-Response-Skala bei KiJu zugunsten aVNS nach 3 Wochen Two RCTs reported outcomes for aVNS and sham treatment. One study reported on adults with constipation-predominant irritable bowel syndrome (21 treated with aVNS and 19 with a sham procedure) [69], while the other reported on children and adolescents (aged 11 to 18 years) with abdominal pain-related functional gastrointestinal disorders (57 treated with aVNS and 47 with a sham procedure), 49% of whom had irritable bowel syndrome [65, 66].

Pain²¹

Children and adolescents had a greater reduction in worst pain compared with sham after three weeks of treatment (least square means estimate of change 2.15, 95% confidence interval [CI] 1·37, 2·93; p<0.0001). The median Pain-Frequency-Severity-Duration composite score also decreased significantly in the aVNS group, compared with sham (mean decrease of 11·48, 95% CI 6·63, 16·32; p<0.0001) [65]. Both of these results were sustained over the extended follow-up period (median 9.2 weeks after the cessation of treatment). Reductions at least 30% in average pain and worst pain of were achieved in 58% and 60% of patients (n=48) following aVNS, compared with 29% and 22% of patients (n=45) after sham treatment.

Similarly, a subgroup of children and adolescents with irritable bowel syndrome receiving aVNS (n=27) had a mean reduction in Pain-Frequency-Severity-Duration composite score of 11.53 (95% CI 3.62, 19.44; p=0.005) and in worst pain of 2.38 (95% CI, 1.13, 3.63; p=0.0002), compared with sham treatment (n=23) [66]. Reductions of at least 30% in worst abdominal pain were observed in 59% of patients with irritable bowel syndrome who received aVNS versus 26% of patients who received the sham device (p=0.02). However, these differences were not sustained at a median 9.2 weeks after the cessation of treatment, respectively.

In adults with irritable bowel syndrome, mean change in visual analogue pain score was significantly higher in the aVNS group than the sham group (69% decrease versus 18%; p=0.001), with 95% of 21 patients experiencing a reduction pain of at least 30% from baseline after aVNS, compared with 37% of 19 patients in the inactive treatment group (p<0.001) [69].

Symptom severity and function²¹

Improvements in median Symptom Response Scale scores were higher after three weeks of aVNS than sham treatment in children and adolescents with gastrointestinal disorders (p=0.0003), with more patients having a score of at least two out of seven (73% versus 35%; p=0.0002) [65]. However, these between group differences were not evident at a median follow up of 9.2 weeks after treatment cessation. Function was also improved as result of aVNS, with a mean change in Functional Disability Inventory score of 36%, compared with no change after sham treatment (p-value not reported) [65]. A similar improvement was observed in the subgroup of patients with irritable bowel syndrome, where 78% of patients (n=27) had a Symptom Response Scale score of at least two out of seven after three weeks of aVNS treatment, compared with 39% in the sham group (n=23) (p=0.009); for a score of at least three the corresponding proportions were 67% and 22% (p=0.002) [66]. In the aVNS group, 81% of patients reported an overall improvement in symptoms, in contrast with 26% in the sham group (p=0.001).

For adults with irritable bowel syndrome, the mean irritable bowel syndrome severity scoring system scores were significantly improved after aVNS, compared with sham treatment (mean 197.1 versus 289.5 after four weeks of treatment; p=0.001) [69].

Quality of life27,28

Adults with constipation-predominant irritable bowel syndrome had a significantly greater improvement in mean irritable bowel syndrome quality of life score after four weeks than those receiving sham treatment (mean score 83.2 versus 69.5 at end of follow-up; p=0.02) [69]. This was also the case for mean Self-Rating Anxiety Scale (mean score 38.7 versus 47.9 at end of follow-up; p=0.02) and Self-Rating Depression Scale (mean score 42.6 versus 50.7 at end of follow-up; p=0.01) scores [69].

In contrast, following the cessation of a four-week treatment program in youth, there was no difference between percutaneous aVNS and sham treatment in median State-Trait Anxiety Inventory for Children scores at a median 9.2 weeks' follow-up [65]. This was also the case for the subgroup of children and adolescents with irritable bowel syndrome [66].

Condition-specific outcomes^{21, 29}

In one RCT, there was no difference between percutaneous aVNS and sham treatment in terms of the number of spontaneous bowel movements per week after three weeks among the subgroup of children and adolescents (n=50) with irritable bowel syndrome [66].

In contrast, adults with constipation-predominant irritable bowel syndrome exhibited significant improvements in stool consistency (86% versus 16% of patients; p < 0.001) and mean bowel movements per week after aVNS, compared with sham treatment (2.8 versus 0.9; p=0.001) [69].

Patient satisfaction

A single study that measured patient satisfaction six to twelve months after treatment with aVNS (n=43) and sham aVNS (n=30) found that the former group were more satisfied with their outcomes and were more likely to participate in another trial (79% versus 40%; p=0.007) [65].²⁵

FIBROMYALGIA

aVNS versus sham treatment

One RCT reported outcomes for aVNS (n=28) and sham treatment (n=29) after two weeks of treatment [68].

Subgruppe des RCTs: s.s. Unterschiede bei der Symptom-Response-Skala und Verbesserungen der Symptome bei KiJu mit RDS

1 RCT mit s.s. Verbesserung des Schweregrads von RDS bei Erwachsenen durch aVNs

1 RCT mit s.s. Unterschieden in Lebensqualität, Angst und Depression bei Erwachsenen mit RDS

1 RCT mit keinen s.s. Gruppenunterschieden bei der LQ bei KiJu mit abdominalen Schmerzen

1 RCT mit keinen s.s. Unterschieden bei spontanen Darmentleerungen bei KiJu

1 RCT mit s.s. Verbesserung in Stulkonsistenz und Darmbewegungen bei Erwachsenen zugunsten von aVNS

KiJu mit aVNS waren zufriedener mit Therapie als KiJu mit Scheinbehandlung

1 RCT (n=57): aVNS vs. Scheinbehanldung bei Fibromyalgie

²⁷ **D0012** What is the effect of the technology on generic health-related quality of life?

²⁸ **D0013** What is the effect of the technology on disease-specific quality of life?

²⁹ D0032 How does the technology modify the magnitude and frequency of morbidity?

Pain and symptom severity

ähnliche Ergebnissen For aVNS compared with sham treatment, the mean changes in numeric zu Schmerzen und rating scale scores (-0.82 versus -0.86), widespread pain index (-1.50 versus Symptomschwere, s.s. -1.69), symptom severity scale (-1.32 versus -1.21) and overall fibromyalgia nicht berichtet severity (0-31 scale) (-2.82 versus -2.90) scores were relatively similar between the two groups. Statistical analyses were not available for these comparisons [68].21,23

1 RCT (n=52): aVNS + aVNS plus exercise versus exercise Bewegung vs. Bewegung

One RCT reported outcomes for aVNS plus a home-based exercise program (n=27) versus the exercise program alone (n=25) after four weeks of treatment [67].

Pain

There was no difference between the groups in terms of pain improvement [67].21

Quality of life and symptom severity

keine s.s. Gruppenunterschiede bei Schmerzen

bei Fibromyalgie

Gruppenunterschiede bei LO und Symptomschwere

1 RCT (n=59): aVNS vs.

Scheinbehandlung bei

Migräne ohne Aura

Adding aVNS to an exercise program made no difference to the degree of improvement in mean Fibromyalgia Impact Questionnaire, SF-36, Beck Anxiety Scale or Beck Depression Scale scores, compared with exercise alone [67].^{21,27,28}

EPISODIC MIGRAINE WITHOUT AURA

aVNS versus sham treatment

One RCT reported outcomes for active (n=33) and inactive (n=26) aVNS after four weeks of treatment [71].

Pain

s.s. Schmerzminderung zugunsten von aVNS

s.s. weniger und

kürzere Migräneepisoden durch aVNS Patients experienced a greater degree of pain relief after aVNS, compared with baseline values, than those who received sham treatment (mean -17.4 versus -4.1; p=0.008) [71].²¹

Symptom severity

Patients in the aVNS group experienced fewer (mean days -2.5 versus -0.7; p=0.02) and shorter (mean -1.5 versus -0.6; p=0.02; time unit was not specified) migraine episodes, compared with baseline, than those in the sham group [71].²¹

Quality of life

kein s.s. Gruppenunterschiede bei LQ Despite the improvement in pain relief observed in the aVNS group, there was no discernible difference between the two groups with respect to changes in mean Migraine Specific Quality-of-Life Questionnaire, Self-Rating Anxiety Scale or Self-Rating Depression Scale scores relative to baseline values over the four-week treatment course [71].^{27,28}

MYOFASCIAL PAIN SYNDROME

1 RCT (n=53): aVNS + Standardbehandlung vs. Standardbehandlung bei myofaszialem Schmerzsyndrom

aVNS plus usual care (trigger point ischaemic compression and stretching exercises) versus usual care

One RCT reported outcomes for aVNS plus usual care (n=27) and usual care (n=26) [70].

keine s.s.

<u>Pain</u>

After five days of transcutaneous aVNS plus usual care, mean pain scores were considerably lower, compared with baseline, than those who received usual care only (-2.77 versus -1.96; p < 0.001).²¹

Functioning and quality of life

There were no statistically significant differences between the two groups with respect to changes in Compass-31 or SF-36 score, relative to baseline, after five days of treatment [70]. However, patients in the aVNS group exhibited a higher grip strength (mean change 2.1 versus 0.5 kg; p=0.001) than those receiving usual care.^{23,27}

Condition-specific outcomes

Patients who received aVNS had a greater improvement in trigger point sensitivity (mean change 3.2 versus 1.6 kg/cm²; p<0.001) than patients in the usual care group [70].²³

Safety outcomes²⁶

Percutaneous aVNS

One study reported on safety outcomes for percutaneous aVNS (n=57) and sham treatment (n=47) in children and adolescents with abdominal painrelated functional gastrointestinal disorders [65]. Three patients in each group complained of ear discomfort. Adhesive allergy was reported in one patient following aVNS and in two patients following sham treatment. No serious adverse events occurred in either group.

Transcutaneous aVNS

A single study reported on safety outcomes for transcutaneous aVNS (n=28) and sham treatment (n=29) in adults with fibromyalgia [68]. One participant who received aVNS experienced chest discomfort and additional pain due to the nerve stimulation and discontinued treatment. No other adverse events occurred during the trial.

s.s. niedrigere Schmerzwerte durch aVNS

s.s. höhere Griffstärke durch aVNS

s.s. Verbesserung bei Triggerpunkt-Sensibilität durch aVNS

perkutane aVNS: 1 RCT (n=104): Ohrenbeschweren und Allergie gegen den Klebstoff in beiden Gruppen bei KiJu

transkutane aVNS: 1 RCT (n=57): 1 Patient*in der aVNS-Gruppe brach Behandlung auf Grund von versch. Nebenwirkungen ab

Certainty of evidence 5

RoB: Cochrane Risk of Bias 2 tool

Qualität der Evidenz nach GRADE

The risk of bias for individual studies was assessed with the Cochrane Risk of Bias 2 tool [58], and is presented in Table A-3 and Table A-4 in the Appendix.

The strength of evidence was rated according to the GRADE (Grading of Recommendations Assessment, Development and Evaluation) schema [72] for each critical endpoint individually. Each study was rated by two independent researchers. In case of disagreement a third researcher was involved to resolve the difference. A more detailed list of criteria applied can be found in the recommendations of the GRADE Working Group [72].

GRADE uses four categories to rank the strength of evidence:

- **High** = We are very confident that the true effect lies close to that of the estimate of the effect;
- **Moderate** = We are moderately confident in the effect estimate: the true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different;
- **Low** = Our confidence in the effect estimate is limited: the true effect may be substantially different from the estimate of the effect;
- **Very low** = Evidence either is unavailable or does not permit a conclusion.

The ranking according to the GRADE schema for the research questions can be found in the summary of findings tables below (Table 5-1 to Table 5-8) and in the evidence profile in the Appendix (Table A-5 to Table A-12).

Population One: Patients with acute 5.1 postoperative pain

Study quality was assessed for critical outcomes: pain, analgesia and rescue medication usage and device related adverse events. The quality of the evidence ranged from low to high, with only one study rated as having a low risk of bias. All studies had some concerns regarding either allocation concealment or assessor blinding (in one case this was due to the nature of the intervention prohibiting blinding), and sometimes both. In addition, one study had an imbalance in baseline characteristics between the treatment groups that called into question the effectiveness of the randomisation process.

The certainty of the evidence base for the comparison of aVNS with sham treatment ranged from very low to high. A single study contributed evidence of high certainty for all of the critical outcomes [56]. The heterogeneous outcome measures and time frames used to report pain response and analgesia and rescue medication usage, in addition to underlying concerns about the randomisation process, meant that much of the evidence was rated as low. However, the fact that the overall effect was similar across studies resulted in an upgrade in confidence that the studies were reporting a true effect despite their disparate measurement strategies. Confidence in the rates of device-related adverse effects was low because the inconsistency in numbers of adverse events across trials and their relatively minor nature suggested that they may not have been documented systematically. Similar problems in study execution plagued the evidence bases comparing aVNS with non-electrical auricular acupuncture or standard care, both of which had low certainty. The

Studienqualität: niedriges bis hohes Verzerrungsrisiko

Stärke der Evidenz zu Effektivität und Sicherheit sehr niedrig bis hoch

single study comparing aVNS with standard care had limited precision due to its small sample size (n=40) [54].

Overall, the strength of evidence for the effectiveness and safety of aVNS in comparison with sham treatment, non-electrical auricular acupuncture or standard care in patients with acute postoperative pain was low.

5.2 Population Two: Patients with chronic pain

Study quality was assessed for the critical outcomes of pain, symptom severity, physical functioning and device-related adverse events. The quality of the evidence ranged from low to high, with most of the studies rated as having a moderate to high risk of bias. This was largely due to concerns regarding allocation concealment and assessor blinding (owing to the nature of the interventions in two studies [67, 70]), potential biases introduced by deviations from the intended treatments and differences in baseline health-related quality of life measures between groups in two studies, which may have biased the results [67, 70].

The evidence base for aVNS in patients with chronic pain-related gastrointestinal disorders comprised one study of high certainty for all of the critical outcomes [65, 66] and a smaller study of very low certainty [69]. The latter study had issues regarding allocation concealment, deviations from intended treatments and potential bias from outcome assessors possibly being aware of treatment allocation. The study's small sample size also introduced doubts about its precision. The single high quality study that reported on adverse events was downgraded to moderate certainty because of its sample size and the low number of events reported [65, 66].

The evidence base for patients with fibromyalgia included one study of moderate certainty, which had limited precision due to its small size [68], and one study of very low certainty [67]. The former study reported both safety and effectiveness data. The lower rated open label study only reported effectiveness outcomes and suffered from concerns about allocation concealment and deviations from intended interventions, small size, and imbalances in baseline health-related quality of life measures between groups.

The single study on patients with chronic episodic migraine [71] had some concerns about allocation concealment but was otherwise deemed to have moderate certainty.

The single study on patients with chronic myofascial pain syndrome was rated as having a very low certainty due to its open label design, small sample size and baseline imbalances in health-related quality of life measures between groups, which casts doubt on the veracity of the randomisation process.

Overall, the strength of evidence for the effectiveness and safety of aVNS was low to moderate for chronic pain-related gastrointestinal disorders, low for chronic fibromyalgia, moderate for chronic episodic migraine and very low for chronic myofascial pain syndrome. Stärke der Evidenz zu Effektivität und Sicherheit insgesamt niedrig

Studienqualität: niedriges bis hohes Verzerrungsrisiko

Stärke der Evidenz von aVNS bei Pat. mit:

gastrointestinalen Erkrankungen: sehr niedrig bis hoch

Fibromyalgie: moderat bis hoch

episodischer Mirgäne: moderat

myofaszialem Schmerzsyndrom: sehr niedrig

Stärke der Evidenz zu Effektivität und Sicherheit: sehr niedrig - moderat

| | Anticipated absolute effects (95% CI) | | Deletive offerst | | Number of | | |
|---|---------------------------------------|------------------------|---|----------------------------|---------------------------|---|--|
| Outcome | Risk with sham treatment | Risk with intervention | (95% CI) | Absolute difference | participants (studies) | Quality | Comments |
| Pain | | | | NRS scores were | | | Favours intervention (p<0.05) |
| After 12 hours of treatment | NA | NA | Not estimable significantly lower in the intervention group (data not reported) | 78 (1) | ⊕⊕⊕⊕ High | No difference between groups for the 12-24 and 24-48 hour time periods after treatment cessation. | |
| After 2-5 days | NA | NA | Not octimable | Measured using various | 121 (2) | ⊕⊕00 | No apparent difference between groups in either study. |
| of treatment | NA. | NA . | Notestimable | periods | 131(2) | Low ^a | Statistical analysis of comparison not reported in one study. |
| Analgesia consumption | | | | | | | Favours intervention (p=0.02) |
| No. of times pressed analgesia pump over 12 hours of treatment | NA | NA | Not estimable | Intervention: 2 Sham: 3 | 78 (1) | ⊕⊕⊕⊕ High | No difference between groups for the 12-24 and 24-48 hour time periods after treatment cessation. |
| Over 2-5 days | NA | NIA | Not octimable | Measured using various | 127 (2) | ⊕⊕00 | No apparent difference between groups in either study. |
| of treatment | NA. | NA . | Notestimable | periods | 127 (2) | Low ^a | Statistical analysis of comparison not reported in one study. |
| Use of rescue medication | | | | | | | Favours intervention (p=0.004) |
| Over 12 hours of treatment | 51 per 100 | 26 per 100 | RR 0.50 (0.27, 0.93) | - | 78 (1) | ⊕⊕⊕⊕ High | No difference between groups for the 12-24 and 24-48 hour time periods after treatment cessation. |
| Over 2-5 days of treatment | 19 to 80 per 100 | 19 to 60 per 100 | Not estimable | - | 135 (2) | ⊕⊕⊖⊖ Lowª | No difference between groups in either study. |
| Device-related adverse events (after 2-5 days of treatment) ^c | 8 per 100 | 15 per 100 | RR 1.81 (0.79, 4.15) | - | 208 (3) | ⊕○○○ Very low ^ь | No difference between groups Adverse events included pruritus, ear discomfort and tiredness. Unclear if patients experienced more than one event. |

Table 5-1: Summary of findings table for aVNS versus sham treatment in patients with acute postoperative pain

Abbreviations: CI – confidence interval; NA – not applicable; NRS: numeric rating scale; RR – risk ratio

Explanations

- ^a Serious concerns regarding randomisation process and allocation concealment in all trials. Imbalance in baseline characteristics between groups in one study [55]. Upgraded certainty rating due to consistency of effect across studies despite varied outcome measures.
- ^b Very low numbers of events in some studies.
- ^c Since the types of adverse events were similar for percutaneous and transcutaneous, the event rates for each were combined.

Table 5-2: Summary of findings table for aVNS versus non-electrical auricular acupuncture in patients with acute postoperative pain

| | Anticipated absolute effects (95% CI) | | Deletive offect | | Number of | | |
|--|---------------------------------------|------------------------|-------------------------|--|---------------------------|-----------------------------|--|
| Outcome | Risk with auricular acupuncture | Risk with intervention | (95% CI) | Absolute difference | participants (studies) | Quality | Comments |
| Pain (after 2-5 days of treatment) | NA | NA | Not estimable | Measured using various scales over different time periods | 151 (2) | ⊕⊕⊖⊖ Lowª | No difference between groups in either study |
| Analgesia consumption (over 2-5 days of treatment) | NA | NA | Not estimable | Measured using different units over different time periods | 143 (2) | ⊕⊕⊖⊖ Lowª | No difference between groups in either study |
| Use of rescue medication (over 2 days of treatment) | 18 per 100 | 19 per 100 | RR 1.08 (0.44, 2.62) | - | 97 (1) | ⊕⊕⊖⊖ Lowª | No difference between groups |
| Device-related adverse events (after 2-5 days of treatment) | 18 per 100 | 19 per 100 | RR 1.08 (0.44, 2.62) | - | 151 (2) | ⊕⊕⊖⊖ Low ^{a, b} | No difference between groups in either study |

Abbreviations: CI = confidence interval; NA = not applicable; RR = risk ratio

Explanations

^a Some concerns about allocation concealment and imbalance in baseline characteristics between the treatment groups in both trials.

^b Some inconsistency in numbers of adverse events across trials suggests that they may not have been documented systematically, particularly given their relatively minor nature.

Table 5-3: Summary of findings table for aVNS versus standard care in patients with acute postoperative pain

| | Anticipated absolu | ite effects (95% CI) | Polativo offect | Absolute difference | Number of | | |
|---|----------------------------|------------------------|-------------------------|---|---------------------------|------------------|---|
| Outcome | Risk with standard care | Risk with intervention | (95% CI) | mean [SD] | participants (studies) | Quality | Comments |
| Pain (numeric rating scale) after | ΝΑ | ΝΔ | Not estimable | Intervention: 4.6 [2.3] | 40 (1) | ⊕⊕00 | No apparent difference |
| 72 hours of treatment | | | Notestinable | Standard care: 4.2 [2.3] | +0 (1) | Low ^a | between groups for any |
| Analgesia consumption (MME) over 5 days of treatment | NA | NA | Not estimable | Intervention: 51.1 [56.6] Standard care: 42.8 [44.0] | 40 (1) | ⊕⊕⊖⊖ Lowª | Statistical analysis of comparison not reported in the study. |
| Use of rescue medication (opioids) over 5 days of treatment | 70 per 100 | 60 per 100 | RR 0.86 (0.57, 3.14) | - | 40 (1) | ⊕⊕⊖⊖ Lowª | No difference between groups |
| Device-related adverse events after 5 days of treatment | 0 | 5 per 100 | RR 0.86 (0.54, 1.36) | - | 40 (1) | ⊕⊕⊖⊖ Lowª | No difference between groups |

Abbreviations: CI = confidence interval; MME = milligram morphine equivalent; NA = not applicable; RR = risk ratio; SD = standard deviation

Explanations

^a Some concerns about allocation concealment and assessor blinding (open label study due to nature of comparator). Small sample size limits precision.

Table 5-4: Summary of findings table for aVNS versus sham treatment in patients with chronic pain-related gastrointestinal disorders

| | Anticipated absolute effects (95% CI) | | - Polative effect Absolute diffe | Abaaluta difference | Number of | er of | |
|---|---------------------------------------|------------------------|----------------------------------|-------------------------------|---------------------------|-------------------------------|---|
| Outcome | Risk with sham treatment | Risk with intervention | (95% CI) | mean [SD] | participants (studies) | Quality | Comments |
| Pain | | | | Median after treatment | | | |
| Youth (11-18 years) | | | | Intervention: | | $\oplus \oplus \oplus \oplus$ | Favours intervention (p=0.02) |
| PFSD median 9.2 weeks | NA | NA | Not estimable | 8.4 (IQR 3.2, 16.2) | 104 (1) | High | Result after 3 weeks of treatment also favours intervention $(n < 0.0001)$ |
| after end of 3-week treatment session) | | | | Sham: 15.2 (IQR 4.4, 36.8) | | | |
| Adults (≥18 years) | | | | Mean change | | | |
| Visual analogue scale after | NA | NA | Not estimable | Intervention: -3.1 [2.2] | 40 (1) | ⊕⊖⊖⊖ Very low ^a | Favours intervention (p=0.001) |
| 4 weeks of treatment | | | | Sham: -1.1 [1.1] | | | |
| Physical functioning | | | | Mean change | | | Appears to favour intervention |
| Youth (11-18 years) | NA | NA | Not estimable | Intervention: ↓36% | 104 (1) | ⊕⊕⊕⊕ | Statistical analysis of comparison not |
| FDI median 9.2 weeks after treatment cessation | | | | Sham: 0% | | High | reported in the study. |
| Symptom severity | | | | Median after treatment | | | Favours intervention (p=0.0003) |
| | | | | Intervention. | | # 000 | Higher score is better |
| Youth (11-18 years) | NA | NA | Not estimable | 3 (IQR 1.0, 4.8) | 104 (1) | Very low ^a | No difference between groups median |
| SRS after 3 weeks of treatment | | | | Sham: 1 (IQR 0.0, 2.3) | | | 9.2 weeks after treatment cessation (data not reported) |
| Adults (>18 years) | | | | Mean after treatment | | | |
| IBS-SSS score after | NA | NA | Not estimable | Intervention: | 40 (1) | $\oplus \oplus \oplus \oplus$ | Favours intervention (p=0.001) |
| 4 weeks of treatment | | | | 197.1 [39.6] | | High | Lower score is better |
| | | | | Snam: 289.5 [94.4] | | | |
| Device-related adverse events | | | | | | | A diverse second is clouded a second is second for the |
| Youth (11-18 years) after 3 weeks of treatment | 11 per 100 | 7 per 100 | RR 0.66 (0.19, 2.32) | - | 104 (1) | ⊕⊕⊕⊖ Moderate ^ь | and adhesive allergy. One event of syncope due to needle phobia in sham group was not included in the RR calculation. |

Abbreviations: CI = confidence interval; FDI: Functional Disability Inventory; IBS-SSS: irritable bowel syndrome severity scoring system; IQR: interquartile range; NA = not applicable; PFSD: Pain-Frequency-Severity-Duration; RR = risk ratio; SD = standard deviation; SRS = Symptom Response Scale

Explanations

^b Small RCT and low number of events.

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^a Some concerns about allocation concealment, bias due to deviations from intended interventions and bias in outcome measures due to outcome assessors being aware of treatment allocation. Single blind study design and small sample size raises concerns regarding precision.

^c Since the types of adverse events were similar for percutaneous and transcutaneous, the event rates for each were combined.

| | Anticipated absolu | ite effects (95% CI) | Deletive offect | | Number of | | |
|--|-----------------------------|------------------------|--------------------------|---|---------------------------|--------------------|---|
| Outcome | Risk with sham treatment | Risk with intervention | (95% CI) | Absolute difference | participants (studies) | Quality | Comments |
| Pain Numeric rating scale | NA | NA | Not estimable | Mean change Intervention: -0.82 Sham: -0.86 | 57 (1) | ⊕⊕⊕⊖ Moderateª | No apparent difference between groups for either of the outcomes. |
| Widespread pain index | NA | NA | Not estimable | Mean change Intervention: -1.50 Sham: -1.69 | 57 (1) | ⊕⊕⊕⊖ Moderateª | Statistical analysis of comparisons not reported in the study. |
| Symptom severity (symptom severity scale) | NA | NA | Not estimable | Mean change Intervention:1.32 Sham: -1.21 | 57 (1) | ⊕⊕⊕⊖ Moderateª | No apparent difference between groups for any of the outcomes. Statistical analysis of comparison not reported in the study. |
| Device-related adverse events | 0 | 4 per 100 | RR 3.10 (0.13, 73.12) | - | 57 (1) | ⊕⊕⊕⊖ Moderate ª | No difference between groups The single adverse event involved chest discomfort and additional pain, leading to patient withdrawal. |

Table 5-5: Summary of findings table for aVNS versus sham treatment in patients with chronic fibromyalgia at last follow-up (after two weeks of treatment)

Abbreviations: CI = confidence interval; NA = not applicable; RR = risk ratio.

Explanations

^a Small sample size limits precision

| | | Anticipated absolu | ute effects (95% CI) | Polativo offect | Absoluto difforence | Number of | | |
|------------------------------|---------------------------------------|-----------------------|------------------------|-------------------|------------------------|------------|-----------------------|------------------------------|
| | Outcome | Risk with exercise | Risk with intervention | (95% CI) | 95% CI) mean [SD] | | Quality | Comments |
| | | | | | Mean after treatment | | | No difference between groups |
| Pain (visual analogue scale) | NA | NA | Not estimable | Intervention: 2.6 | 52 (1) | ⊕000 | (p=0.08) | |
| | · · · · · · · · · · · · · · · · · · · | | | | [1.91] | | very low* | Study only included women |
| | | | | | Exercise: 3.5 [1.73] | | | |
| | Symptom severity | | | | Mean after treatment | | | No difference between groups |
| | (Fibromyalgia Impact | | Not estimable | Intervention: | 52 (1) | €2(1) ⊕000 | (p=0.4) | |
| | | | | Notestinable | 37.3 [19.48] | 52 (1) | Very low ^a | Lower score is better |
| | Questionnane Store) | | | | Exercise: 41.9 [18.15] | | | Study only included women |

Table 5-6: Summary of findings table for aVNS plus exercise versus exercise in women with chronic fibromyalgia at last follow-up (after four weeks of treatment)

Abbreviations: CI = confidence interval; NA = not applicable; SD = standard deviation

Explanations

^a Open label study due to nature of interventions. Some concerns about allocation concealment and deviations from intended interventions. Imbalance in baseline health-related quality of life measures between groups. The combination of these factors raises concerns regarding precision.

Table 5-7: Summary of findings table for aVNS versus sham treatment in patients with chronic episodic migraine without aura at last follow-up (after four weeks of treatment)

| | Anticipated absolute effects (95% CI) | | Polotivo offost | | Number of | | |
|-----------------------------------|---------------------------------------|------------------------|-----------------|--|---------------------------|-------------------|---|
| Outcome | Risk with sham treatment | Risk with intervention | (95% CI) | Absolute difference | participants (studies) | Quality | Comments |
| Pain (visual analog scale) | NA | NA | Not estimable | Mean change Intervention: -17.4 Sham: -4.1 | 59 (1) | ⊕⊕⊕⊖ Moderateª | Favours intervention (p=0.008) |
| Symptom severity Migraine days | NA | NA | Not estimable | Mean change Intervention: -2.5 Sham: -0.7 | 59 (1) | ⊕⊕⊕⊖ Moderateª | Favours intervention (p=0.02) |
| Migraine duration | NA | NA | Not estimable | Mean change Intervention: -1.5 Sham: 0.4 | 59 (1) | ⊕⊕⊕⊖ Moderateª | Favours intervention (p=0.02) Time unit not specified in study |

Abbreviations: *CI* = *confidence interval*; *NA* = *not applicable*

Explanations

^a Some concerns about allocation concealment.

| bun chronic myojasciai pain synarom e ar iast jouow-up (after five aays of treatment) | | | | | | | | | |
|--|--|---------------------------------|------------------------------------|--|--|--|--|--|--|
| Absolute difference mean [SD] | Number of participants (studies) | Quality | Comments | | | | | | |
| Mean change | | | | | | | | | |
| Intervention: -2.77 | 53 (1) | ⊕000 Verv Iowª | Favours intervention $(n < 0.001)$ | | | | | | |
| Usual care: -1.96 | | very low | (p < 0.00 T) | | | | | | |
| Mean change | | | | | | | | | |
| Intervention: 2.1 | 53 (1) | ⊕⊖⊖⊖ Very low ^{a,b} | Favours intervention (p=0.001) | | | | | | |

 $\oplus 000$

Very low^a

53 (1)

Favours intervention

subscale only

(p=0.01) for secretomotor

Comparisons for the other

five subscales were not

statistically significant

Certainty of evidence

| Table 5-8: Summary of findings table for aVNS plus usual care versus u | usual care in patients with chronic myo | ofascial pain syndrome at last follow-up | (after five days of treatment) |
|--|---|--|--------------------------------|
|--|---|--|--------------------------------|

Usual care: 0.5

Mean change

secretomotor subscale

Intervention: -0.88 [1.295]

Usual care: -0.12 [0.816]

Relative effect

(95% CI)

Not estimable

Not estimable

Not estimable

Abbreviations: CI = confidence interval; NA = not applicable; SD = standard deviation

Anticipated absolute effects (95% CI)

Risk with

intervention

NA

NA

NA

Risk with usual

care

NA

NA

NA

Explanations

Outcome

Pain (visual analogue scale)

Physical functioning

(grip strength, kg)

Symptom severity

(Compass-31 score)

^a Open label study due to nature of interventions. Slight imbalance in baseline symptom severity and health-related quality of life measures between groups. The combination of these factors raises concerns regarding precision.

^b Physical grip strength measures only one aspect of physical function.

6 Discussion

6.1 Summary of findings

6.1.1 Efficacy

Population One: Acute postoperative pain

Limited evidence from two RCTs [54, 55] suggested that the use of percutaneous aVNS plus standard care over a period of two to five days following elective Cesarian delivery or surgical molar extraction was not more effective than sham treatment plus standard care or standard care alone in terms of reducing pain, analgesia consumption or rescue medication usage (low certainty evidence from one RCT each). Similar results were observed in two additional RCTs (low certainty evidence) that compared percutaneous aVNS with auricular acupuncture over a 2- to-5-day treatment cycle in patients who had undergone major colorectal surgery or surgical molar extraction [55, 64]. One of these studies [64] also reported no differences between active neurostimulation and auricular acupuncture with respect to occurrence of postoperative nausea and vomiting, length of hospital stay and 30-day readmission rates [64].

A single RCT (high certainty evidence) examined the effects of transcutaneous aVNS in ameliorating rebound pain after femoral nerve block in patients undergoing anterior cruciate ligament reconstruction [56]. The results indicated that aVNS was superior to sham in reducing pain (the actual pain scores could not be extracted from the graphical presentation provided in the report), analgesia consumption (\downarrow 33%), rescue medication usage (50% less risk) and sleep disturbance (57% less risk) during the twelve hours of treatment following surgery.

Population Two: Chronic pain

Pain-related gastrointestinal disorders

Clinically and statistically significant improvements in pain and symptom severity were reported in youth with pain-related gastrointestinal disorders (aged 11-18 years; high certainty evidence from one RCT [65]) and adults with constipation-predominant irritable bowel syndrome (very low certainty evidence from one RCT [69]) during a three- to four-week regimen of percutaneous or transcutaneous aVNS. Physical functioning was also significantly improved in youth, while the adult group experienced improvements in quality of life, anxiety, depression, number of weekly spontaneous bowel movements and stool consistency. Although anxiety and number of weekly spontaneous bowel movements were measured in the youth group, there were no statistically significant differences observed between the two treatment groups in these outcomes. The reductions in pain were sustained in the 11- to 18-year-old patients a median of 9.2 weeks after treatment cessation. A subgroup of children and adolescents with irritable bowel syndrome had similar improvements in pain and symptom severity [66].

perkutane aVNS: keine Verbesserungen in Schmerzen und Schmerzmittelkonsum bei Kaiserschnitt, operativer Weisheitszahnentfernung und größeren kolorektalen OPs

s.s. Unterschiede zugunsten transkutane aVNS bei Rekonstruktion des vorderen Kreuzbandes (ACL) bei Schmerzmiderung, Schmerzmittelkonsum und Schlafstörungen

gastrointestinale Beschwerden: statistisch signifikante Verbesserungen von Schmerzen und Symptomschwere bei Kindern, Jugendlichen und Erwachsenen

Episodic migraine without aura

Transcutaneous aVNS significantly reduced pain (\downarrow 35%) in patients with episodic migraine without aura in one RCT [71] (moderate certainty evidence), but this did not translate into significantly different improvements in quality of life or psychometric measures between the two groups relative to baseline values over the four-week course of treatment.

Fibromyalgia

Evidence from one RCT [68] (moderate certainty evidence) suggested that transcutaneous aVNS was no better than sham treatment in reducing pain or symptom severity in patients with chronic fibromyalgia. Similarly, supplementing a home-based exercise program with transcutaneous aVNS for women with chronic fibromyalgia provided no additional benefit over the exercise program alone with respect to pain, symptom severity, quality of life or psychometric measures (very low certainty evidence) [67].

Myofascial pain syndrome

A single RCT [70] (low certainty evidence) found that the addition of transcutaneous aVNS to a conventional regimen of trigger point ischaemic compression and stretching exercises significantly improved pain and grip strength in patients with myofascial pain syndrome, compared with the conventional care regimen alone. This did not translate into statistically significant changes in quality of life between the two treatments, but the result may have been confounded by baseline differences in quality of life and symptom severity measures.

6.1.2 Safety

The rates device-related adverse events in the six RCTs that reported them (four for acute pain [54-56, 64] and two for chronic pain [65, 68]) were similar between the treatment groups and ranged from 0% to 19%. These encompassed minor complications such as ear discomfort, tiredness and adhesive allergy.

6.1.3 Interpretation and limitations of the evidence

The minimal clinically important difference (MCID) is defined as the smallest change in an outcome that a patient would perceive as clinically meaningful [73]. For acute pain, there is a lack of consensus regarding the size of the MCID because it is context specific and influenced by baseline pain—patients with higher baseline pain need a larger pain reduction to perceive relief [74]. For chronic pain, the IMMPACT group define the MCID as an improvement of at least 30% in self-reported pain and function [75]. The MCID for chronic pain is influenced by baseline pain and, to a lesser extent, the operational definition of relevant pain relief and the underlying clinical condition [76].

By these criteria, the improvements in pain observed after femoral nerve block for knee surgery and in patients with chronic pain-related gastrointestinal disorders, episodic migraine and myofascial pain syndrome were clinically important. However, one problem in interpreting results of studies that report mean or differences in outcomes related to pain or function is that they do not distinguish between situations where some patients experience a very good outcome and others experience no benefit and situations where patients generally experience a small but similar average result [73]. However, the studies on chronic pain-related gastrointestinal disorders avoided this issue transkutane aVNS vermindert s.s. den Schmerz bei episodischer Migräne ohne Aura

transkutane aVNS bei Fibromyalgie zeigt keine Unterschiede zu anderen Behandlungsformen

transkutane aVNS verbessert im Vergleich zur Standardtherapie signifikant den Schmerz und die Griffkraft bei myofazialem Schmerzsyndrom

gerätebezogene unerwünschte Ereignisse: 0 – 19 %

IMMPACT-Gruppe: 30 % Reduktion in selbstberichteten Schmerz = minimaler klinisch relevanter Unterschied bei chronischen Schmerzen

klinisch relevanter Unterschied bei einigen eingeschlossenen Studien feststellbar by reporting data on changes in worst score pain and the proportion of patients experiencing a reduction in pain of at least 30% from baseline, both of which were significantly better after aVNS than sham treatment.

In the four studies on acute postoperative pain, different types of surgeries and postoperative pain management protocols were undertaken, limiting their comparability. For example, one of the included RCTs [64] deviated from the standard multimodal pain strategy used after bowel resection by avoiding nonsteroidal anti-inflammatory drugs and regional anaesthesia and relying only on opioid analgesia, with the addition of acetaminophen, in order to better quantify any changes in opioid use. This study, unlike the other RCTs, also used an enhanced recovery after surgery pathway, which could have affected the length of stay and offset the analgesic effects of aVNS [77]. Since the nature of pain and the efficacy of analgesics varies after different types of surgery, the results of the included studies on acute postoperative pain cannot sensibly be extrapolated to patients undergoing other types of surgery [78, 79].

Peripheral nerve blocks are commonly used in orthopaedic surgery to reduce perioperative pain and opioid consumption. However, within 24 hours of receiving a nerve block approximately 50% of patients experience rebound pain, which is an acute postoperative pain (numerical rating scale score \geq 7) [56]. Rebound pain typically occurs around twelve hours after surgery, once the nerve block has resolved, and is associated with impaired recovery, increased opioid consumption, side effects of opioid overdose and sleep disturbance [80]. Risk factors for rebound pain include younger age, female sex, a higher preoperative pain score and having undergone bone surgery [81, 82]. While there are currently no effective preventive measures or treatments for rebound pain, a single RCT [56] demonstrated that transcutaneous aVNS may be able to ameliorate its severity and duration and reduce pain-related sleep disturbance. However, since the treatment was only applied during the first twelve hours after knee surgery, it is unclear what the effects would be if the treatment was continued further into the postoperative period. The lack of difference between aVNS and sham treatment over the 36 hours following treatment cessation further supports that the observed effects were related to active neural stimulation.

The limited safety data and small number of studies do not allow any extrapolation between the stimulation parameters used and the rates of adverse events reported. Since the complications were relatively minor, it is possible that the reported event rates were low because they were not systematically documented. However, a recent systematic review of 51 studies (1,322 humans) in healthy volunteers and patients using a variety of transcutaneous and percutaneous VNS devices (25 studies used auricular devices) found similar types and rates of complications [39].

6.2 Evidence gaps and ongoing studies

The variation in stimulation settings and length of treatment cycles employed as well as conditions studied across the included studies is indicative of the exploratory nature of aVNS in pain control. To introduce some homogeneity in the evidence base, the included studies were restricted to only trials that applied electrodes to areas of the ear innervated by the vagus nerve or specifically mentioned targeting the vagus nerve. However, three of the four studies on acute pain [54, 55, 64] and one on chronic pain [65, 66] targeted the auricular branches of other nerves as well. There is some conjecture as to whether the assumption that the cymba concha is innervated solely by the auricular vagus nerve is robust given the small number of anatomic studies it is

eingeschränkte Vergleichbarkeit der Studien zu akuten postop. Schmerz:

unterschiedliche Arten von Operationen und postop. Schmerzbehandlungsprotokollen

> Effekt zu Rebound-Schmerz für längere postop. Phase (> 12 Stunden) unklar, auf Grund der kurzen Anwendungszeit von aVNS

begrenzte Informationen zu Sicherheit

unterschiedliche Bedingungen, Stimulationseinstellungen und Länge der Behandlung

unklar, welche der verschiedenen Nervenstränge in der Ohrmuschel während aVNS stimuliert wurden based on and the fact that it may not apply in a larger population of ethnically diverse individuals [38, 46, 47]. Furthermore, six of the ten included studies used transcutaneous aVNS, which produces a more diffuse stimulation field and may inadvertently stimulate non-vagal nerves [37, 45]. The aVNS studies also generally relied on stimulation parameters that are similar to those used for implantable VNS [38] even though there is some doubt as to whether these parameters are optimal, given the differences in target fibre type, fibre orientation, and electrode design and contact area between these treatments [83]. All of these factors underline the fact that it is not completely clear from the evidence which of several nerve trunks innervating the auricle are being activated during aVNS, and what the optimal stimulation parameters might be for specific indications.

The limited understanding of local target engagement and mechanism of action of aVNS also means that it is difficult to implement an active sham treatment that produces satisfactory perception in the therapeutic group without engaging a therapeutic pathway (Table 6-1). This was demonstrated when a study [50] inadvertently found that a one Hz aVNS stimulation, which has been used as a sham treatment, was more effective at improving symptoms than 25 Hz aVNS in patients with chronic migraine.

Schwierigkeiten der Implementierung einer aktiven Scheinbehandlung als Vergleich zu aVNS

| Pros | Cons |
|---|---|
| Placebo | |
| Strong patient blinding in parallel study designs in acute settingStrong investigator blinding | Poor blinding in crossover design when patient experiences paraesthesia in the active group |
| Pharmacological or no intervention | |
| - | Potential for large placebo effect |
| | Poor investigator blinding |
| Location sham | |
| Strong patient blinding in acute setting | Patient blinding prone to compromise in chronic setting |
| | Poor investigator blinding |
| | Recruits potentially therapeutic nerves |
| Waveform shama ^a | |
| Strong patient blinding | Recruits potentially therapeutic |
| Strong investigator blinding | nerves |

Table 6-1: Advantages and disadvantages of types of controls used in aVNS trials [38]

^a Not used in any of the included studies

Overall these studies provide evidence that aVNS may be therapeutic for some pain conditions, but the results cannot be extrapolated beyond these specific medical conditions. Most of these effects were only observed in a single RCT and require further validation, particularly given the limitations in the evidence base noted above and that the execution of some studies cannot rule out contributions from placebo effects. In the cases where aVNS does have a clinical benefit, the studies only provide a guide as to the stimulation settings that may be appropriate in that population group, and in the case of relieving acute rebound pain following femoral nerve block the optimal timing of aVNS treatment is still unknown. Ergebnisse nicht auf andere Personengruppen übertragbar

laufende Studien: 1 RCT zu akuten, postoperativen Schmerz (600 Pat)

6 RCTs zu chronischen muskuloskelettalen Erkrankungen (36 – 148 Pat)

> 5 RCTs zu einer Reihe von versch. Schmerzzuständen (47 – 116 Pat)

Ausschluss von RCTs mit weniger als 40 Pat.

und

RCTs mit unzureichenden oder fehlenden Informationen darüber, welcher Ohrennerv stimuliert wurde

> keine Suche nach grauer Literatur und Beschränkung auf deutsch- und englischsprachige Publikationen

6.2.1 Acute postoperative pain: ongoing studies

One RCT with a primary completion date of July 2024 will assess the use of aVNS versus sham treatment for the relief of pain in 600 patients undergoing arthroplasty in China. The study has not yet started to recruit patients.

6.2.2 Chronic pain: ongoing studies

Of the 11 ongoing RCTs identified, six will examine the use of aVNS to relieve pain in chronic musculoskeletal conditions such as low back pain (1), erosive hand osteoarthritis (1), neck pain (1), temporomandibular joint dysfunction (1) and knee osteoarthritis (2). The comparators for these studies encompass sham with or without some form of standard physiotherapy intervention (e.g., exercise or ultrasound therapy). The study sizes range from 36 to 148. Two of the RCTs were recently completed, three are either ongoing or enrolling patients and one has yet to recruit patients.

The other five RCTs will assess the use of aVNS for a variety of pain-related conditions, including radiotherapy-related neuropathic pain, post-stroke complex regional pain syndrome, cyclic vomiting syndrome, chronic pelvic pain and chronic pain related to opioid withdrawal. The studies will compare aVNS with sham treatment or some form of condition-specific standard care in populations of 47 to 116 patients. Three of these studies are in or have just completed the recruiting phase and one has yet to start. The primary completion dates cited for these studies range from March 2021 to October 2027.

6.3 Limitations of the assessment

Due to the amount of RCT evidence identified, lower levels of evidence or RCTs with fewer than 40 participants were excluded. The latter criterion resulted in the exclusion of four RCTs [84-87] on low back pain, phantom limb pain, systemic lupus erythematosus, fibromyalgia and chronic pain (sample sizes ranging from 18 to 36). The exclusion of lower levels of evidence may have also resulted in the omission of other pain conditions that could be relevant targets for aVNS therapy. It is possible that rare safety events may have been missed with this strategy, although this is unlikely to be a major concern given the relatively minor side effects related to the use of aVNS. Limiting studies according to sample size, which was based on power calculations from published RCTs on aVNS [54-56], does not appear to have excluded any studies that would have affected the outcomes of this assessment. A further potential limitation is the exclusion of studies due to insufficient or missing information on which auricular nerve was stimulated, which led to the elimination of five studies on acute postoperative pain [88-92] and three on chronic pain-related conditions (rheumatoid arthritis [93], chemoradiotherapy pain [94] and irritable bowel syndrome [95]).

Although a comprehensive search of medical literature databases was conducted, an extensive grey literature search was not undertaken. In addition, some relevant articles may have been overlooked by restricting the searches to studies published in the English or German language. However, clinical trial databases were searched, the references of all retrieved studies (including systematic and narrative reviews) were hand searched and some device manufacturers were contacted for additional information, so it is unlikely that any significantly sized RCTs were missed. One preprint randomised double-blind RCT [96] was excluded because it had not undergone peer review at the time of writing. This trial compared percutaneous aVNS with sham treatment in 53 patients undergoing abdominal surgery for cancer and demonstrated a reduction in opioid use in the subgroup of patients who had an open surgical procedure, although there were no differences in pain levels. An additional RCT [97] was not available at the time of writing. This study measured the effects of aVNS or standard care on postoperative ileus in 134 patients undergoing laparoscopic radical resection of colorectal cancer, although it is unclear whether pain outcomes were also measured.

6.4 Conclusion

The limited evidence indicates that transcutaneous aVNS may be a safe and effective adjunctive treatment for reducing rebound pain after femoral nerve block in patients undergoing anterior cruciate ligament reconstruction, and for reducing pain in patients with myofascial pain syndrome and episodic migraine without aura.

The limited evidence also suggests that percutaneous aVNS is a safe and effective adjunctive therapy for reducing pain and improving symptoms in pain-related gastrointestinal disorders, particularly irritable bowel syndrome.

1 RCT wegen fehlendem peer-review ausgeschlossen und 1 RCT war nicht erhältlich

aVNS: sichere und wirksame Zusatztherapie bei Rebound-Schmerzen nach ACL-Rekonstruktion...

...und einigen chronischen Schmerzen

Recommendation 7

In Table 7-1 the scheme for recommendations is displayed and the according choice is highlighted.

Table 7-1: Evidence based recommendations for acute postoperative and chronic pain

| | The inclusion in the catalogue of benefits is recommended . |
|---|--|
| Х | The inclusion in the catalogue of benefits is recommended with restrictions . |
| | The inclusion in the catalogue of benefits is currently not recommended . |
| | The inclusion in the catalogue of benefits is not recommended . |

Reasoning:

Population One: Acute postoperative pain

aVNS: sichere und wirksame Zusatztherapie bei Rebound-Schmerzen nach ACL-Rekonstruktion, jedoch nicht auf andere postoperative Schmerzen übertragbar

1 laufendes RCT

aVNS: sichere und wirksame Zusatztherapie bei gastrointestinalen Erkrankungen bei 11 – 18-jährigen &

> mögliche positive Effekte bei Migräne und myofaszialem Schmerzsyndrom

> > 11 laufende RCTs

Empfehlung: Aufnahme nur für ausgewählte Patient*innen

Reducing postoperative pain is important, not only for patient comfort and expediting recovery, but also for avoiding the development of CPSP. High certainty evidence from one RCT indicated that transcutaneous aVNS was superior to sham (both in addition to standard care) in reducing rebound pain after femoral nerve block, analgesia consumption, rescue medication usage and sleep disturbance during the first twelve hours after knee reconstruction surgery. Limited low certainty evidence does not support the use of aVNS for other type of acute postoperative pain. One large ongoing trial assessing aVNS versus sham treatment for the relief of pain in 600 patients undergoing arthroplasty in China is due for completion in July 2024, but the results from this may not be generalisable to other operative procedures. Based on this, a re-evaluation is recommended not before 2025.

Population Two: Chronic pain

High certainty evidence from one RCT indicates that adjunctive percutaneous aVNS is more effective and as safe as adjunctive sham treatment in youth aged 11 to 18 years with pain-related gastrointestinal disorders, particularly irritable bowel syndrome. Lower certainty evidence from one RCT indicated that this may also be true for adults (18 to 75 years of age). There are currently no ongoing RCTs of aVNS for gastrointestinal disorders.

Adjunctive transcutaneous aVNS may also reduce pain in patients with episodic migraine without aura or myofascial pain syndrome, but the results should be interpreted with caution owing to the lower certainty of evidence for these indications.

Of the 11 ongoing RCTs identified, six are evaluating the use of aVNS in various chronic musculoskeletal conditions. There are currently no ongoing RCTs of aVNS for chronic migraine or other headaches. Based on this, the reevaluation is recommended not before 2024.

Based on the available evidence the inclusion of aVNS in the hospital benefit catalogue should be limited to selected patients.

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Appendix

Evidence tables of individual studies included for clinical effectiveness and safety

| Author, year | Blank 2021 [64] | Lim 2022 [54] | Michalek-Sauberer 2007 [55] | Zhou 2022 [56] | |
|------------------------|---|--|--|--|--|
| Country | LISA | LISA | Austria | China | |
| Sponsor | None Neurostimulation devices were provided by the manufacturer free of charge. | National Institutes of Health University of Pittsburgh School of Medicine | Biegler Medizinelektronik GmbH (Mauerbach, Austria) supplied the P-Stim devices and acetaminophen medication | Innovation guide Project Science and Technology Winter Olympics special project grant and Hebei provincial government | |
| Conflict of interest | None declared | None declared | None declared | None declared | |
| Study design | Prospective, double blind, multicentre | Prospective, double blind, single centre | Prospective, double blind, single centre | Prospective, double blind, single centre | |
| Duration | December 2016 to March 2018 | August 2020 to June/2021 | October 2002 to June 2004 | January 2022 to March 2022 | |
| Intervention | Percutaneous aVNS | Percutaneous aVNS | Percutaneous aVNS | Transcutaneous aVNS | |
| Device | BRIDGE (Innovative Health Solutions, LLC, Indiana, USA) | BRIDGE (Innovative Health Solutions, LLC, Indiana, USA) | P-Stim device (Biegler Medizinelektronik GmbH, Mauerbach, Austria) | TENS 7000 (Roscoe Medical, Ohio, USA) | |
| Stimulation parameters | NR | NR | 3 s pulses (3 hours on, 3 hours off), 2-100 Hz | 300 μs pulses (1 hour on, 1 hour off), 30 Hz | |
| Electrode location | Temporal process of the zygomatic bone, the antihelix, and the posterolateral auricle | Temporal process of the zygomatic bone, the antihelix, and the posterolateral auricle | Auricular acupoints; 1 (tooth), 55 (Shen Men), and 84 (mouth, which is located in the concha) | Cymba concha | |
| Treatment duration | 5 days after surgery | 5 days after surgery | 48 hours after surgery | 12 hours after surgery | |
| Comparator | Sham (identical to active device but without electical charge) | C1: Sham (identical to active device but with smooth electrodes and no electical | C1: Sham (identical to active device but without electical charge) | Sham (electrical stimulation at the earlobe and tail of the helix on the | |
| | | charge) C2: Standard care | C2: Sham (identical to active device but with smooth electrodes and no electical charge) | left ear) | |
| Adjunctive treatments | Intravenous hydromorphone, transitioned to oxycodone when appropriate, and oral acetaminophen as needed. | Intravenous ketorolac for 24 hours, followed by conversion to ibuprofen and acetaminophen. | Acetaminophen (maximum 3 g daily). Mefenamic acid permitted as rescue medication (maximum 1,500 mg daily). | Intravenous patient-controlled anaesthesia with sufentanil and ondansetron hydrochloride as needed. | |
| | Nonsteroidal anti-inflammatory drugs and other pharmacological analgesics with heterogenous clinical use were not permitted during the study period. | Oral oxycodone was given for pain rated 7 or higher (scale 0-10), or for any intolerable pain. | | Rescue medication of ketorolac (up to 90 mg/day) and tramadol (up to 200 mg/day). | |

Table A-1: Acute postoperative pain: Results from randomised controlled trials

Appendix

| Author, year | Blank 2021 [64] | Lim 2022 [54] | Michalek-Sauberer 2007 [55] | Zhou 2022 [56] | |
|---|---|---|---|---|--|
| Number of pts | 28 vs. 24 | 21 vs. 25 vs. 20 | 76 vs. 37 vs. 36 | 39 vs. 39 | |
| l vs. C | | | | | |
| Operative procedure | Laparoscopic or open small- or large bowel resection with or without ostomy | Scheduled Cesarean delivery | Elective extraction of one impacted mandibular third molar | Anterior cruciate ligament reconstruction | |
| Inclusion criteria | English-speaking adults who were able to independently consent; scheduled to undergo laparoscopic or open small- or large-bowel resection with or without ostomy | Healthy pregnant women 18 years or older with a singleton; planned Cesarean delivery under neuraxial anaesthesia | Adults aged 18 to 35 years scheduled for elective extraction of one impacted mandibular third molar; ASA physical status I–II ^a | Adults aged 18 to 65 years undergoing anterior cruciate ligament reconstruction; ASA physical status I–III ^a | |
| Exclusion criteria | History of chronic pain disorder; active opiate abuse; need for emergent procedure; unplanned admission to the intensive care unit; prolonged intubation <u>Device-specific exclusion criteria:</u> History of seizures; cerebrovascular accidents or aneurysms; active pregnancy; recent organ transplant; myocardial infarction within 6 months of the study; fully therapeutic anticoagulation; coagulopathy; implanted on-demand devices; skin rash or infection around ear; severe adhesive tape allergy | Not fluent in English; unable to participate in informed consent discussions, or unable to give informed consent for any reason; unable to participate fully in all study procedures for any reason; Cesarean delivery under general anesthesia; history of hemophilia; pacemakers or implantable electronic devices; history of psoriasis or other skink conditions precluding device application; needed a rescue abdominal block; Cesaran delivery with unanticipated additional procedures | Previous experience with acupuncture; language difficulties; history of drug abuse; chronic pain therapy; lesions at the external ear; immunosuppression; presence of a pacemaker; asthma; coagulation disorders; neurological or psychiatric disorders; pregnancy; allergy to acetaminophen or mefenamic acid | Body mass index >35 kg/m2; allergy to local anesthetics; severe cardiopulmonary disease; any chronic pain; systemic steroid and chronic opioid use; psychiatric disorders; communication issues; inability to use a patient-controlled analgesia pump; failed femoral nerve block (no sensory block or NRS score >3 in postanesthesia care unit) | |
| Age of patients (yrs), mean [SD], median (range) I vs. C | 56.0 [11.5] vs. 61.5 [11.5] | 32.7 [5.5] vs. 31.4 [5.8] vs. 32.1 [4.7] | 27 (18-35) vs. 24 (20-35) vs. 26 (19-35) | 32 [8.9] vs. 33.7 [10.5] | |
| Sex, male n (%) | 14 (50) vs. 15 (63) | 0 | 27 (36) vs. 24 (65) vs. 20 (56) | 22 (56.4) vs. 19 (48.7) | |
| Length of follow-up | Days 1-5 of treatment; 2 weeks and 30 days after start of treatment | Days 1-5 of treatment | 48 hours after start of treatment | 48 hours after start of treatment | |
| Losses to follow-up, n (%) | None | 1 (5) vs. 5 (20) vs. 1 (5) | 8 (12) vs. 3 (8) vs. 3 (8) | None | |
| Differences in baseline demographics between groups | None | None | The electroacupuncture group had more women (p=0.008) and smokers (p=0.047). | None | |

| Author, year | Blank 2021 [64] | Lim 2022 [54] | Michalek-Sauberer 2007 [55] | Zhou 2022 [56] | | | |
|---|--|---|--|---|--|--|--|
| Outcomes | | | | | | | |
| Efficacy | | | | | | | |
| Pain scores, mean [SD] | Day 5 I [n=28] vs. C [n=24]: No significant differences in daily NRS scores, except for day 3: 3.9 vs. 2.7; p=0.03 | I [n=20] vs. C1 [n=20] vs. C2 [n=20]: 72 hours: Pain with movement (VAS): 4.6 [2.3] vs. 4.6 [2.5] vs. 4.2 [2.3] Pain at rest and with movement were similar between treatment groups across days 1 through 5. | I [n=63] vs. C1 [n=33] vs. C2 [n=28]: Percieved pain reduction <u>48 hours, n (%):</u> 31 (49) vs. 12 (36) vs. 11 (39) Median fraction of time pain >2 on a 5-point scale: 33% vs. 22% vs. 30% (no significant difference between groups) | I [n=39] vs. C [n=39] No significant differences were observed between the two groups, except at 8 and 12 hours after surgery when NRS scores were significantly lower in the active than the sham group (p<0.05) Rebound pain <u>12 hours, n (%):</u> 7 (18) vs. 16 (41); p=0.03 Duration of rebound pain (hours) | | | |
| Analysia | | | | 12 hours, mean [SD]: 1.7 [0.6] vs. 2.4 [0.5]; p=0.002 | | | |
| (sonsumption, mean [SD] (range) | Inpatient opioid use (MME/day) Days 1-5: 90.8 [54.93] vs. 90.3 [43.0]; p=0.97 Opioid use n (%) <u>2 weeks</u> : 2 (7) vs. 3 (1); p=0.56 <u>30 days</u> : 1 (4) vs 0; p=0.37 | Opioid consumption (MME) Days 1-5: 51.1 [56.6] vs. 71.6 [90.3] vs. 42.8 [44.0] | Acetaminophen tablets required: <u>48 hours:</u> 5.2 (0-12) vs 4.7 (0-11) vs 5.4 (0-10) (no significant difference between groups) | No. of times to press the analgesia pump, median (IQR): 0-12 hours: 2 (0-2) vs. 3 (0.5-4); p=0.02 12-24 hours: 2 (0-3) vs. 2 (1-3); p=0.95 24-48 hours: 2(1.25-3) vs. 2 (2-3); p=0.73 | | | |
| Readmission rates, n (%) | I [n=28] vs C [n=24]: 30 days: 2 (7) vs. 1 (4); p=0.65 | NR | NR | NR | | | |
| Length of hospitalisation (days), mean [SD] | 4.7 [1.8] vs. 5.5 [5.2]; p=0.66 | NR | NR | NR | | | |

Appendix

| Author, year | Blank 2021 [64] | Lim 2022 [54] | Michalek-Sauberer 2007 [55] | Zhou 2022 [56] |
|---|--|---|---|--|
| Use of rescue medication, n (%) | NR | I [n=20] vs. C1 [n=20] vs. C2 [n=20]: Opioid-free hospitalisation, n (%) Days 1-5: 8 (40) vs. 4 (20) vs. 6 (30) | I [n=63] vs. C1 [n=34] vs. C2 [n=32]: Mefenamic acid additional use: <u>48 hours, n (%):</u> 12 (19) vs 6 (18) vs 6 (19) (no significant difference between groups) | I [n=39] vs. C [n=39] Patients requiring additional analgesics, n (%): <u>0-12 hours:</u> 10 (26) vs. 20 (51); p=0.004 <u>12-24 hours:</u> 9 (23) vs. 8 (21); p=0.8 <u>24-48 hours:</u> 5 (13) vs. 4 (10); p=0.4 |
| Postoperative nausea and vomiting n (%) | No significant difference between groups for nausea | NR | NR | I [n=39] vs. C [n=39] <u>48 hours, n (%):</u> 10 (26) vs. 13 (33%); p=0.46 |
| Patient satisfaction | Participants were satisfied with the device: 8.2 [SD 2.6] (scale 0-10); sample size was not reported | NR | NR | NR |
| Safety | | | | |
| Procedure-related adverse events, n (%) | I [n=28] vs. C [n=24]: Days 1-5: None | I [n=20] vs. C1 [n=20] vs. C2 [n=20]: <u>Days 1-5:</u> 1 patient in the active treatment group withdrew due to device discomfort | I [n=63] vs. C1 [n=33] vs. C2 [n=27]: 48 hours, n (%): Tiredness: 10 (16) vs 6 (18) vs 4 (15) Pain at the ear: 7 (11) vs 4 (12) vs 2 (7) | I [n=39] vs. C [n=39] <u>48 hours, n (%):</u> Pruritus: 0 vs. 1 (3%) Sleep disturbance: 12 (31) vs. 21 (54); p=0.03 Light-headedness: None Ear irritation: None Tinnitus: None |
| Procedure-related serious adverse events, n (%) | I [n=28] vs. C [n=24]: Days 1-5: None | NR | NR | NR |
| Device tolerability, n (%) | NR | Mean (0-100 scale) in active treatment group (n=20) ranged from 76.5 to 86.2 over Days 0-3 | I [n=63] vs. C1 [n=34] vs. C2 [n=29]: Proportion of patients rating treatment comfort as moderate to good: 59 (94) vs. 31 (91) vs. 26 (90) | NR |

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Abbreviations: ASA – American Society of Anesthesiologists; aVNS – auricular vagus nerve stimulation; IQR – interquartile range; MME – milligram morphine equivalent; NR – not reported; NRS – numeric rating scale; SD – standard deviation; VAS – visual analogue scale

^a ASA I – a normal healthy patient; ASA II – a patient with mild systemic disease; ASA III – a patient with a severe systemic disease that is not life-threatening.

| Table A-2: Chronic pain conditions: Results from randomised controlled trials |
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|---|

| Author, year | Kovacic 2017 [65, 66] | Shi 2021 [69] | Kutlu 2020 [67] | Paccione 2022 [68] | Zhang 2021 [71] | Ünal 2022 [70] |
|---------------------------|---|---|--|---|---|---|
| Country | USA | China | Turkey | Norway | China | Turkey |
| Sponsor | American Neurogastro- enterology and Motility Society | National Natural Science Foundation of China | Not reported | South-East Regional Health Authority, Norway | Medical Scientific Research Foundation of Guangdong Province, China and the Administration of Traditional Chinese Medicine of Guangdong Province, China | None |
| Confict of interest | None declared | None declared | None declared | None declared | None declared | None declared |
| Study design | Prospective, double blind, single centre | Prospective, single blind (patients), single centre | Prospective, open label, single centre | Prospective, double blind, single centre | Prospective, double blind, single centre | Prospective, open label, single centre |
| Study period | June 2015 to November 2016 | Not reported | Not reported | March 2019 to October 2020 | May 2017 to May 2019 | June 2018 to April 2019 |
| Intervention (I) | Percutaneous aVNS | Transcutaneous aVNS | Transcutaneous aVNS plus a home-based exercise program | Transcutaneous aVNS | Transcutaneous aVNS | Transcutaneous aVNS plus trigger point ischemic compression and stretching exercises |
| Device | Neuro-Stim (Innovative Health Solutions, Indiana, USA) | SNM-FDC01 (Ningbo David Medical Device Co., Ltd, Ningbo, China) | Transcutaneous electrical nerve stimulation device Type not reported | NEMOS, (Cerbomed GmbH, Erlangen, Germany) | Electronic acupuncture treatment instrument (SDZII, Huatuo, Suzhou, China) | Vagustim (Vagustim, California, USA) |
| Stimulation parameters | 1 ms pulses every 2 s (2 hours on, 2 hours off), 3.2 V, 1 and 10 Hz | 0.5 ms pulses (2 s on, 3 s off), 0-2 mA, 25 Hz | 0.5 ms pulses for 30 minutes, 10 Hz | 0.25 ms pulses (30 s on, 30 s off) for 15 minutes, 0.1-10 mA, 25 Hz | 0.2 ms pulses for 30 minutes, 1.5-5 mA, 1 Hz | 0.5 ms pulses for 30 minutes, 10 Hz |
| Electrode location | Dorsal and ventral aspects of the ear near the branches of the vagal, trigeminal, facial, and glossopharyngeal nerves | Bilateral auricular concha | Inner and rear surfaces of the tragus and concha for both ears | Concha of the left ear | Left cymba concha | Tragus and concha of both ears |
| Treatment duration | 5 days per week for 4 weeks | Twice per day for 4 weeks | Stimulation: 5 days per week for 4 weeks | Twice per day for 2 weeks | 12 treatments over 4 weeks | 10 sessions over 5 days |
| | | | Exercises: 2 sets per day, 5 days per week plus weekly face-to- face sessions | | | |

Appendix

| Author, year | Kovacic 2017 [65, 66] | Shi 2021 [69] | Kutlu 2020 [67] | Paccione 2022 [68] | Zhang 2021 [71] | Ünal 2022 [70] |
|---------------------------------------|--|---|--|--|---|---|
| Comparator (C) | Sham (identical to active device but without electical charge) | Sham (electrical stimulation at the elbows) | Exercises: 2 sets per day, 5 days per week plus weekly face-to- face sessions | Sham (electrical stimulation at centre of the left earlobe) ^c | Sham (electrical stimulation of tail of the helix on left ear) | Trigger point ischemic compression and stretching exercises for 10 sessions,over 5 days |
| Adjunctive treatments | Dose changes were not allowed during the trial I (n=57): Tricyclic antidpressants (21%); SSRIs (21%); cyproheptadine (18%) C (n=47): Tricyclic antidpressants (21%); SSRIs (40%); cyproheptadine (11%) | Polyethylene glycol 4000 powder and pinaverium bromide tablets for intolerable bowel symptoms | Not reported | Not reported | Not reported | Not reported |
| Number of pts allocated I vs. C | 57 vs.47 IBS subgroup: 27 vs.23 | 21 vs.21 | 30 vs.30 | 28 vs.29 | 34 vs. 29 | 30 vs.30 |
| Condition/ Duration | Abdominal pain-related functional gastrointestinal disorders Duration not reported | Constipation-predominant irritable bowel syndrome Mean duration [SD]: I: 8.9 months [8.5] C: 13.3 months [10.9] | Fibromyalgia syndrome Duration: I: 1-8 years, 33%; ≥8 years, 37% C: 1-8 years, 28%; ≥8 years, 24% | Fibromyalgia syndrome Duration: ≥3 months | Episodic migraine without aura Duration: ≥6 months | Myofascial pain syndrome Median duration (range): l: 6 months (0.25-36) C: 5.5 months (0.5-60) |
| Inclusion criteria | Children aged 11 to 18 years with chronic abdominal pain who met Rome III criteria[98] for abdominal pain-related functional gastrointestinal disorders; average abdominal pain intensity ≥3 on a 10-point rating scale; abdominal pain ≥2 times per week before starting the trial | Adults aged 18 to 75 years; willing to sign a written informed consent form; met Rome III criteria[98] for constipation-predominant irritable bowel syndrome | Women aged 18 to 50 years with diagnosed fibromyalgia syndrome | Adults aged 18 to 65 years; confirmed diagnosis of chronic widespread pain, including fibromyalgia; WPI \geq 7 and SSS score \geq 5 or WPI of 4-6 and SSS score \geq 9; generalised pain (pain \geq 4/5 regions, not including jaw, chest, or abdominal pain); symptoms present \geq 3 months | Adult episodic migraineurs without aura aged 18 to 45 years old; right-handed; ≥6 months' migraine duration; ≥2 headache attacks per month; have not taken any prophylactic headache medications in the previous month; have not taken any psychoactive or vasoactive drugs in the past 3 months | Adults aged 20-60 years with myofascial pain syndrome and had at least one active trigger point palpable on the trapezius muscle and a taut band |
| Author, year | Kovacic 2017 [65, 66] | Shi 2021 [69] | Kutlu 2020 [67] | Paccione 2022 [68] | Zhang 2021 [71] | Ünal 2022 [70] |
|---|---|---|--|---|--|---|
| Exclusion criteria | Medications or health conditions known to cause abdominal pain; children with seizures, developmental delays, or any implanted electrical device; inability to speak English | History of previous abdominal surgery (other than appendectomy); presence of carcinoma; any organic diseases causing constipation or neurologic diseases; taking antidepressant agents; diabetes or a serious concomitant disease of the heart, liver, kidney; pregnancy or lactation; participating in another trial or enrolled in a trial during the past month; allergic reaction to surface electrodes | Pregnant, perimenopausal, or postmenopausal women; comorbid illnesses such as neurological deficits, diabetes, neuropathic disorders, chronic inflammation, immune deficiency, cardiac disorders; currently taking vitamin D; started a new drug in the last month or during the study; previous vasovagal syncope | Past history or presence of comorbid severe neurological or psychiatric disorders and/or neuro- degenerative disorders; pregnancy or planned pregnancy; planned surgery; receiving treatment for any type of eating disorder; head trauma; migraine; active heart or ear implants; individuals who have practiced meditation consistently (for more than 20 minutes/day) within the last 6 months | Headache caused by other diseases; headache attack within 48 hours prior to the experiment or during the experiment; pregnancy or lactation; any other chronic pain conditions; severe head deformity or intracranial lesions; SAS or SDS score >50 | Cervical disc herniation, radiculopathy, or myelopathy; acute inflammatory disease; use of antispasmodic and analgesic medications; pregnancy |
| Age of patients (yrs), mean [SD] (range) | 15.3 (13.5-16.6) vs.15.6 (14.7-17.2) | 41.5 [15.4] vs. 49.6 [15.6] | 39.4 [8.3] vs, 38.6 [9.3] | 48.3 [8.88] vs.45.5 [12.04] | Not available | 38.1 [9.94] vs. 35.4 [10.7] |
| l vs. C | <u>IBS subgroup:</u> 15.3 (13.8-16.7) vs.15.6 (14.2-17.2) | | | | | |
| Sex, male n/N (%) | 6/57 (11) vs. 4/47 (9) | 4/21 (19) vs.6/21 (29) | 0% | 1/28 (4) vs. 1/29 (3) | Not available | Not reported |
| | <u>IBS subgroup:</u> 3/27 (11) vs.2/23 (9) | | | | | |
| Length of follow-up | Weeks 1 to 3 of treatment | 4 weeks after start of | 4 weeks after start of | 2 weeks after start of | 4 weeks after start of | 5 days after start of |
| | Median 9.2 weeks (IQR 6.4- 13.4) from the last week of treatment | treatment | treatment | treatment | treatment | treatment |
| Losses to follow-up, n (%) | 7/57 (12) vs.4/47 (9) IBS subgroup: 1/51 (unclear from which group) | 0 vs. 2/21 (10) | 3/30 (10) vs.5/30 (17) | 2/28 (7) vs.1/29 (3) | 3/29 (10) vs.1/34 (3) | 3/30 (10) vs.4/30 (13) |

| Author, year | Kovacic 2017 [65, 66] | Shi 2021 [69] | Kutlu 2020 [67] | Paccione 2022 [68] | Zhang 2021 [71] | Ünal 2022 [70] |
|--|---|---|---|---|---|---|
| Differences in baseline demographics between groups | Concurrent use of SSRIs was higher in the sham group (p not stated) <u>IBS subgroup:</u> None | None | The control group was slightly taller (p=0.047) | None | None | None |
| Differences in baseline measures between groups | None <u>IBS subgroup:</u> None | None | The control group had less pain and better phsyical function and social functionality on the SF-36 scale (p=0.02) | None | None | The control goup had a worse score on the gastrointestinal subscale of the Compass-31 scale (p=0.03) and better scores on the energy/vitality (p=0.03) and mental health (p=0.004) subscales of the SF-36 |
| Outcomes | | | | | | |
| Efficacy | | | | | | |
| Pain score | Least squares mean estimate PFSD score: I [n=57] vs. C [n=47] Week 1: 5.75 (95% Cl 1.00-10.49); p=0.02 Week 2: 6.41 (95% Cl 1.60-11.23); p=0.009 Week 3: 11.48 (95% Cl 6.63-16.32); p<0.0001 Median 9.2 weeks' FU: Median 18.4 vs 0; p=0.02 IBS subgroup I [n=27] vs. C [n=23]: Week 1: 7.91 (95% Cl -0.004-15.82); p=0.05 Week 2: 8.07 (95% Cl 0.09-16.04); p=0.048 Week 3: 11.53 (95% Cl 3.62-19.44); p=0.005 | Week 4 I [n=21] vs. C [n=19]: <u>4-week group difference:</u> <u>Mean change in VAS</u> <u>scores:</u> -3.1 (SD 2.2) vs, -1.1 (SD 1.1); p=0.001 <u>Change in mean VAS:</u> ↓69% vs ↓18% (p<0.001) | Week 4 I [n=27] vs. C [n=25] (SD): <u>Mean VAS:</u> 2.56 (1.91) vs. 3.45 (1.73); p=0.08 | Week 2 I [n=28] vs. C [n=29]: Mean change in NRS in the last week: -0.57 (95% Cl -0.83, -0.31) vs0.86 (95% Cl -1.11, -0.61); p-value not reported for this comparison Mean change in current NRS: -0.82 (95% Cl -1.32, -0.31) vs0.86 (95% Cl -1.36, -0.36); p-value not reported for this comparison Mean change in current NRS: -0.82 (95% Cl -1.32, -0.31) vs0.86 (95% Cl -1.36, -0.36); p-value not reported for this comparison Mean change in current WPI (0-19): Week 2: -1.50 (95% Cl -2.23, -0.77) vs1.69 (95% Cl -2.39, -0.98); p-value not reported for this comparison | Week 4 I [n=33] vs. C [n=26]: Change in mean VAS compared with baseline: -17.4 (95% CI -25.2, -9.7) vs4.1 (95% CI -9.4, 1.3); p=0.008 | Day 5 I [n=27] vs. C [n=26]: <u>Mean change in VAS:</u> -2.77 vs -1.96; p<0.001 |

| Author, year | Kovacic 2017 [65, 66] | Shi 2021 [69] | Kutlu 2020 [67] | Paccione 2022 [68] | Zhang 2021 [71] | Ünal 2022 [70] |
|---|---|--|-----------------|--------------------|-----------------|----------------|
| Reduction in pain ≥30% from baseline, n (%) | Week 3 I [n=48] vs. C [n=45]: 28 (58) vs 13 (29); p=0.007 | Week 4 I [n=21] vs C [n=19]: 20 (95) vs 7 (37); p<0.001 <u>Responders:</u> ^a 17 (81) vs 5 (26); p=0.001 | NR | NR | NR | NR |
| Change in worst pain score | Least squares mean estimate PFSD score: I [n=57] vs. C [n=47]: <u>Week 1:</u> 1.09 (95% Cl 0.34-1.85); p=0.005 | NR | NR | NR | NR | NR |
| | <u>Week 2:</u> 1.21 (95% Cl 0.43-1.98); p=0.002 | | | | | |
| | <u>Week 3:</u> 2.15 (95% Cl 1.37-2.93); p< 0.0001 | | | | | |
| | <u>Median 9.2 weeks' FU:</u> Median ↓1.0 vs 0; p=0.02 | | | | | |
| | IBS subgroup I [n=27] vs. C [n=23]: <u>Week 1:</u> 1.47 (95% Cl 0.22-2.71); p=0.02 | | | | | |
| | <u>Week 2:</u> 1.09 (95% Cl -0.17-2.35); p=0.09 | | | | | |
| | <u>Week 3:</u> 2.38 (95% Cl 1.13-3.63); p=0.0002 | | | | | |

| Author, year | Kovacic 2017 [65, 66] | Shi 2021 [69] | Kutlu 2020 [67] | Paccione 2022 [68] | Zhang 2021 [71] | Ünal 2022 [70] |
|---|---|---|--|--|--|---|
| Reduction in worst pain ≥30% from baseline, n (%) | I [n=48] vs. C [n=45]: <u>Week 3:</u> 29 (60) vs. 10 (22) ; p=0.0003 IBS subgroup (I [n=27] vs. C [n=23]): <u>Week 3:</u> 59% vs. 26%; p=0.02 <u>Median 9.2 weeks' FU:</u> No difference between groups | NR | NR | NR | NR | NR |
| Functioning | Median 9.2 weeks' FU I [n=57] vs. C [n=47]: Mean change in FDI score: 36% vs. 0%; p-value NR | NR | NR | NR | NR | Day 5 I [n=27] vs C [n=26]: Mean change in grip strenght (kg): 2.1 vs 0.5; p=0.001 |
| Symptom severity | Week 3 I [n=57] vs. C [n=47]: Median SRS score: 3 (IQR 1.0-4.8) vs. 1 (IQR 0.0-2.3); p=0.0003 Pts with SRS score ≥ 2 : 73% (n=52) vs. 35% (n=46); p=0.0002 Effects did not remain at extended FU IBS subgroup I [n=27] vs. C [n=23]: Median change in FDI score: -4 vs1.5; p=0.8 Week 3: Median change in SRS score: 3 (IQR 2-4) vs. 0 (IQR 0-2); p=0.003 Pts with SRS score ≥ 2 : 78% vs. 39%; p=0.009 Pts with SRS score ≥ 3 : 67% vs. 22%; p=0.002 Pts with overall symptom improvement: 81% vs. 26%; p≤0.001 | Week 4 I [n=21] vs C [n=19]: Mean IBS-SSS score: 197.1 (SD 39.6) vs. 289.5 (SD 94.4]; p=0.001 Change in mean IBS-SSS score compared with baseline: I: 197.1 vs. 284.8; p<0.001 C: 289.5 vs 287.6 | Week 4 I [n=27] vs C [n=25]: <u>Mean FIQ score:</u> 37.27 (SD 19.48) vs. 41.93 (SD 18.15), p=0.4 | Week 2 I [n=28] vs C [n=29]: <u>Mean change in</u> fibromyalgia severity ^b (0-31): -2.82 (95% Cl -3.83, -1.81) vs2.90 (95% Cl -3.89, -1.91); p-value not reported for this comparison <u>Mean change in SSS score</u> (0-12): -1.32 (95% Cl -1.91, -0.74) vs1.21; p-value not reported for this comparison | Week 4 I [n=26] vs C [n=33]: Change in mean migraine days: -2.5 (95% Cl -3.3,1.6) vs0.7 (95% Cl -2.1, 0.6); p=0.02 Change in mean migraine duration (time unit not specified): -1.5 (95% Cl -2.3, -0.6) vs. 0.4 (95% Cl -0.9, 1.7); p=0.02 | Day 5 I [n=27] vs C [n=26]: <u>Mean change in</u> <u>Compass-31 score</u> : Statistically significant change in favor of the intervention for the secretomotor subscale (p=0.01) only |

| Author, year | Kovacic 2017 [65, 66] | Shi 2021 [69] | Kutlu 2020 [67] | Paccione 2022 [68] | Zhang 2021 [71] | Ünal 2022 [70] |
|---|--|---|--|--------------------|---|--|
| Quality of life | NR | Week 4 I [n=21] vs C [n=19]: <u>Mean IBS-QOL score:</u> 83.2 (SD 12.5) vs. 69.5 (SD 21.2); p=0.02 <u>Change in mean IBS-QOL</u> <u>score compared with</u> <u>baseline:</u> I: 83.2 vs. 69.7; p<0.001 C: 69.5 vs. 72.6 | Week 4 I [n=27] vs C [n=25]: Change in mean SF-36 score: Statistically significant improvement in all 8 subscales for both groups, but between group differences were not statistically significant | NR | Week 4 I [n=33] vs. C [n=26]: Change in mean MSQ score compared with baseline: 13.6 (95% Cl 9.1, 18.2) vs. 11.4 (95% Cl 7.0, 15.8) p=0.48 | Day 5 I [n=27] vs C [n=26]: <u>Mean change in SF-36</u> <u>score:</u> No statistically significant between group differences in any of the 8 subscales |
| Anxiety | Median 9.2 weeks' FU I [n=57] vs C [n=47]: Change in median STAI-C score: -2.0 vs. 1.0; p=0.9 IBS subgroup (I [n=27] vs. C [n=23]): Median 9.2 weeks' FU: Median change in STAI-C score: 0 in both groups | Week 4 I [n=21] vs C [n=19]: <u>Mean SAS score:</u> 38.7 (SD 5.6) vs. 47.9 (SD 9.0); p<0.001 <u>Change in mean SAS score</u> <u>compared with baseline:</u> I: 38.7 vs. 45.0; p<0.001 C: 47.9 vs.49.4 | Week 4 I [n=27] vs C [n=25]: <u>Mean change in BAS score:</u> 13.00 (IQR 13.00) vs. 13.00 (IQR 11.00) vs.; p=0.6 | NR | Week 4 I [n=33] vs. C [n=26]: Change in mean SAS score compared with baseline: -3.0 (95% CI -4.5,1.6) vs2.7 (95% CI -4.7, -0.7); p=0.77 | NR |
| Depression | NR | Week 4 I[n=21] vs C [n=19]: <u>Mean SDS score:</u> 42.6 (SD 8.1) vs. 50.7 (SD 11.1); p=0.01 <u>Change in mean SAS score</u> <u>compared with baseline:</u> I: 42.6 vs 47.5; p<0.001 C: 50.7 vs. 52.0 | Week 4 I [n=27] vs C [n=25]: Mean change in BDS score: 8.00 (IQR 12.00) vs. 13.00 (IQR 12.00); p=0.2 | NR | Week 4 I [n=33] vs. C [n=26]: Change in mean SDS score compared with baseline: -2.9 (95% CI -4.5,1.4) vs1.0 (95% CI -4.0, 2.1); p=0.2 | NR |
| Proportion of patients satisfied with treatment | 6-12 months' FU I [n=43] vs C [n=30]: 79% vs. 40%; p=0.007 | NR | NR | NR | NR | NR |

| Author, year | Kovacic 2017 [65, 66] | Shi 2021 [69] | Kutlu 2020 [67] | Paccione 2022 [68] | Zhang 2021 [71] | Ünal 2022 [70] |
|--|---|--|-----------------|--|-----------------|---|
| Number of spontaneous bowel movements per week | IBS subgroup I [n=27] vs. C [n=23]: Week 3: No difference between groups | Week 4 I [n=21] vs C [n=19]: Mean 2.8 (SD 2.2) vs. 0.9 (SD 2.2); p=0.001 Change in mean movements compared with baseline: I: 2.8 (SD 2.2) vs. 0.5 (SD 0.6); p<0.001 | NR | NR | NR | NR |
| Stool consistency | NR | Week 4 I [n=21] vs C [n=19]: Patients with abnormally hard stools: 14% vs. 84%; p<0.001 | NR | NR | NR | NR |
| Pain pressure threshold | NR | NR | NR | NR | NR | Day 5 I [n=27] vs C [n=26]: Mean change in kg/cm ² : 3.2 vs. 1.6; p<0.001 |
| Safety | | • • | | | · | · |
| Procedure-related adverse events (n) | I [n=57] vs. C [n=47]: Week 3: Ear discomfort: 3 vs. 3 Adhesive allergy: 1 vs. 2 Syncope due to needle phobia: 0 vs. 1 | NR | NR | I [n=28] vs C [n=29]: Week 2: 0 in both groups | NR | NR |
| Procedure-related serious adverese events (n) | I [n=57] vs. C [n=47]: Week 3: 0 in both groups | NR | NR | I [n=28] vs C [n=29]: Week 2: Chest discomfort and additional pain: 1 vs. 0 | NR | NR |
| Comments | 3 of the 10 patients with- drew due to side effects | None | None | One patient withdrew due to side effects | None | NR |

 $a \geq 30\%$ in the weekly average of daily scores for worst abdominal pain and an increase of ≥ 1 spontaneous bowel movement per week from baseline

^b Measured with a fibromyalgia diagnostic criteria form that computes an overall fibromyalgia severity (0-31 point scale, where higher numbers indicated more severe pain status) composed of a WPI score and a SSS score.

^c Data for the two other treatment arms (active and sham meditative-based diaphragmatic breathing) were not extracted as they do not constitute standard care for fibromyalgia.

Abbreviations:

BAS: Beck Anxiety Scale; BDS: Beck Depression Scale; CI: confidence interval; FDI: Functional Disability Inventory; FIQ: Fibromyalgia Impact Questionnaire; FU: follow-up; IBS: irritable bowel syndrome; IBS-QOL: irritable bowel syndrome quality of life; IBS-SSS: irritable bowel syndrome severity scoring system; IQR: interquartile range; MSQ: Migraine Specific Quality-of-Life Questionnaire; NRS: numeric rating scale; PFSD: Pain-Frequency-Severity-Duration; SAS: Self-Rating Anxiety Scale; SD: standard devation; SDS: Self-Rating Depression Scale; SF-36: 36-item Short Form Survey; SRS: Symptom Response Scale; SSRIs – selective serotonin reuptake inhibitors; SSS: symptom severity scale; STAI-C: State-Trait Anxiety Inventory for Children; VAS: visual analogue scale; WPI: widespread pain index

Risk of bias tables and GRADE evidence profile

Internal validity of the included studies was judged by two independent researchers. In case of disagreement a third researcher was involved to solve the differences. A more detailed description of the criteria used to assess the internal validity of the individual study designs can be found in the Internal Manual of the AIHTA [99].

| Trial | Endpoints | Bias arising from the randomisation process | Bias due to deviations from intended interventions | Bias due to missing outcome data | Bias in measurement of the outcome | Bias in selection of the reported result | Overall risk of bias | |
|-------------------|-------------------------------|---|--|--|--|--|-------------------------|--|
| Blank 2021 [64] | Pain | Some concern | Low | Low | Some concern | Low | | |
| | Analgesia consumption | Some concern | Low | Low | Some concern | Low | Some | |
| | Use of rescue medication | Some concern | Low | Low | Some concern | Low | concern | |
| | Device-related adverse events | Some concern | Low | Low | Some concern | Low | | |
| Lim 2022 [54] | Pain | Some concern | Low | Low | Some concern | Low | c | |
| | Analgesia consumption | Some concern | Low | Low | Some concern | Low | Some | |
| | Device-related adverse events | Some concern | Low | Low | Some concern | Low | concern | |
| Michalek-Sauberer | Pain | High | Low | Low | Some concern | Low | | |
| 2007 [55] | Analgesia consumption | High | Low | Low | Some concern | Low | High | |
| | Use of rescue medication | High | Low | Low | Some concern | Low | nigii | |
| | Device-related adverse events | High | Low | Low | Some concern | Low | | |
| Zhou 2021 [56] | Pain | Some concern | Low | Low | Low | Low | | |
| | Analgesia consumption | Some concern | Low | Low | Low | Low | Low | |
| | Use of rescue medication | Some concern | Low | Low | Low | Low | LOW | |
| | Device-related adverse events | Some concern | Low | Low | Low | Low | | |

Table A-3: Risk of bias - randomised controlled trials on acute postoperative pain, see [58]

| Trial | Endpoints | Bias arising from the randomisation process | Bias due to deviations from intended interventions | Bias due to missing outcome data | Bias in measurement of the outcome | Bias in selection of the reported result | Overall risk of bias |
|-----------------------|--------------------------------|---|--|--|--|--|-------------------------|
| Kovacic 2017 [65, 66] | Pain | Low | Low | Low | Low | Low | Low |
| | Physical functioning | Low | Low | Low | Low | Low | |
| | Symptom severity | Low | Low | Low | Low | Low | |
| | Device -related adverse events | Low | Low | Low | Low | Low | |
| Shi 2021 [69] | Pain | Some concern | Some concern | Low | Some concern | Low | High |
| | Symptom severity | Some concern | Some concern | Low | Some concern | Low | |
| Zhang 2021 [71] | Pain | Some concern | Low | Low | Low | Low | Some |
| | Symptom severity | Some concern | Low | Low | Low | Low | concern |
| Kutlu 2020 [67] | Pain | Some concern | Some concern | Low | Some concern | Low | High |
| | Symptom severity | Some concern | Some concern | Low | Some concern | Low | |
| Paccione 2022 [68] | Pain | Low | Low | Low | Low | Low | Low |
| | Symptom severity | Low | Low | Low | Low | Low | |
| | Device-related adverse events | Low | Low | Low | Low | Low | |
| Ünal 2022 [70] | Pain | Some concern | Some concern | Low | Some concern | Low | High |
| | Physical functioning | Some concern | Some concern | Low | Some concern | Low | |
| | Symptom severity | Some concern | Some concern | Low | Some concern | Low | |

Table A-4: Risk of bias – randomised controlled trials on chronic pain, see [58]

| No. of studies (patients)Study (patients)Risk of (patients)Indirect (nerss)Indirect (nerss)Indirect (nerss)Indirect (nerss)Other considerationsAbsolute difference (nerss)Comparative effect (omparative effect (omparative effect)Comparative effect (omparative effect)Comparative effect/ (omparative effect)Comparative effect (omparative effect)Paintaine using effectComparative effect (omparative effect)Paintaine using effectComparative effect (omparative effect)Paintaine using effectComparative effect (omparative effect)PaintaineSecond effect (omparative effect)Paintaine (omparative effect)Comparative effect (omparative effect)Comparative effect (omparative effect)Comparative effect (omparative effect)Comparative effect (omparative effect)Comparative effect | | Certainty assessment | | | | | | | Summary of findings | | | |
|--|---|----------------------|------------------------------|----------------------|-------------------|--------------------|---|---|-------------------------------|--|----------------------------|--|
| Index not interval1564 (n=78)RCI seriousNA seriousNot serious Not seriousNot | No. of studies (patients) | Study design | Risk of bias | Inconsist- ency | Indirect- ness | Imprecision | Other considerations | Absolute diffe relative e | erence or ffect | Comparative effect/ Comments | (Importance) | |
| 1156 (n=78)RCT (n=78)Not seriousNA seriousNA seriousNa seriousPublication bias suspected? (nerited seriousNRS scores were significantly grup (data not revention grup (data not revention grup (data not revention grup (data not revention) grup (data not revention) seriousFavours intervention (p=0.05) No difference between groups for No difference between groups for science and series descentionPublication bias suspected? consistent effect across studies despite varied outcome measures. ³ No aparent difference between groups for science were different science were different science were different science were different science | | | | | | | Pain (after 12 hours of treatm | ent) | | | | |
| Pair (after 2-5 days of treatment)2 [54,55] (n=131)RCT serious*Very serious*Serious*Not serious not seriousPublication bias suspected' consistent effect arcse studies despite varied outcome measures.*Pair and serie despite varied sales over different time periodsMot apays in either of the studies. Statistical analysis of comparison not reported in one study.Publication bias suspected' consistent effect arcse studies despite varied outcome measures.*Publication bias suspected' Statistical analysis of comparison not reported in one study.Publication bias suspected' consistent effect arcse studies despite varied outcome measures.*Intervention: June vert 12 hours of treatmentPublication bias suspected' consistent effect arcse studies despite varied outcome measures.*Not seriousPublication bias suspected' consistent effect arcses studies despite varied consistent effect arcses studies despite varied consistent effect arcses studies despite varied consistent effect arcses studies despite varied consistent effect arcses studies despite varied outcome measures.*Measured using different units over different time periodsNo apparent difference between groups in either of the studies. Statistical analysis of comparison not reported in one study.Publication bias suspected' consistent effect arcses studies despite varied consistent effect arcses studies despite varied outcome measures.*Not seriousNot seriousPublication bias suspected' consistent effect arcses studies despite varied outcome measures.*Not seriousNot seriousPublication bias suspected' (critic consistent effect arcses st | 1 [56] (n=78) | RCT | Not serious | NA | Not serious | Not serious | Publication bias suspected ^a | NRS scores were significantly lower in the intervention group (data not reported) | | Favours intervention (p<0.05) No difference between groups for the 12-24 and 24-48 hour time periods after treatment cessation. | ⊕⊕⊕⊕ High (critical) | |
| 2 [54,55] (n=131)RCT serious*Very serious*Serious*Not seriousNot seriousPublication bias suspected* Consistent effect across studies despite varied outcome measures."Pain measured using different seriousNo apparent difference between groups in either of the studies.⊕ ⊕ ⊖ Low (critic consistent effect across studies despite varied outcome measures."Pain measured using different seriousNo apparent difference between groups in either of the studies.⊕ ⊕ ⊖ Low (critic tow (critic1[56] (n=78)RCT seriousNot seriousNot seriousNot seriousNot seriousNot seriousPublication bias suspected* (n=127)Intervention:2 Sham:3Favours intervention (p=0.02) No difference between groups for the 12-24 and 24-48 hour time periods after treatment cessation.⊕ ⊕ ⊖ | Pain (after 2-5 days of treatment) | | | | | | | | | | | |
| Analgesia consumption (number of times pressed analgesia pump over 12 hours of treatment) 1 [56] (n=78) RCT serious Not serious Not serious serious Not serious Not serious Not serious Not serious Publication bias suspected* Intervention:2 Sham: 3 Favours intervention (p=0.02) #igh (critic 2 [54, 55] (n=127) RCT Very (n=127) Serious ^d Not serious Not serious Publication bias suspected* Consistent effect across studies despite varied outcome measures. ^a Measured using different units over dif | 2 [54,55] (n=131) | RCT | Very serious ^b | Serious ^c | Not serious | Not serious | Publication bias suspected ^a Consistent effect across studies despite varied outcome measures. ^e | Pain measured using different scales over different time periods | | No apparent difference between groups in either of the studies. Statistical analysis of comparison not reported in one study. | ⊕⊕○○ Low (critical) | |
| 1 [56] (n=78)RCT seriousNot seriousNot seriousNot seriousNot seriousNot seriousPublication bias suspected*Intervention:2 Sham: 3Favours intervention (p=0.02)⊕⊕⊕ High (critic2 [54,55] (n=127)RCT serious*Very serious*Serious*Not seriousNot seriousPublication bias suspected* Consistent effect across studies despite varied outcome measures.*Measured using different units over different time periodsNo apparent difference between groups in either of the studies. Statistical analysis of comparison for the 12-24 and 24-48 hour time periods after treatment cessation.Imervention:2 High (critic Low Despite varied outcome measures.*Measured using different units over different time periodsNo apparent difference between groups in either of the studies. Statistical analysis of comparison on or reported in one study.Imervention:2 (critic Low Statistical analysis of comparison on terported in one study.Imervention:2 (critic Low1 [56] (n=78)RCT Serious*Not seriousNot seriousNot seriousPublication bias suspected* Publication bias suspected* Imervention (over 2-5 days of treatment)Risk with sham aVNSRisk with aVNS <td colspan="10">Analgesia consumption (number of times pressed analgesia pump over 12 hours of treatment)</td> | Analgesia consumption (number of times pressed analgesia pump over 12 hours of treatment) | | | | | | | | | | | |
| 2 [54,55] (n=127)RCT serious ^b Very serious ^b Serious and | 1 [56] (n=78) | RCT | Not serious | Not serious | Not serious | Not serious Analge | Publication bias suspected ^a sia consumption (over 2-5 days | Intervention:2 Sham: 3 of treatment) | | Favours intervention (p=0.02) No difference between groups for the 12-24 and 24-48 hour time periods after treatment cessation. | ⊕⊕⊕ High (critical) | |
| Use of rescue medication (over 12 hours of treatment) 1 [56] (n=78) Not serious Not serious Not serious Not serious Not serious Publication bias suspected ^a Risk with sham Risk with aVNS Favours intervention (RR 0.50, 95% CI 0.27, 0.93; p=0.004) High High (critic the 12-24 and 24-48 hour time periods after treatment cessation. very (n=135) RCT Very serious ^b Serious ^d Not serious Not serious Publication bias suspected ^a Risk with sham aVNS Favours intervention (RR 0.50, 95% CI 0.27, 0.93; p=0.004) High (critic the 12-24 and 24-48 hour time periods after treatment cessation. | 2 [54,55] (n=127) | RCT | Very serious ^b | Serious ^d | Not serious | Not serious | Publication bias suspected ^a Consistent effect across studies despite varied outcome measures. ^e | Measured using c units over differe periods | lifferent nt time | No apparent difference between groups in either of the studies. Statistical analysis of comparison not reported in one study. | ⊕⊕○○ Low (critical) | |
| 1 [56] (n=78) Not serious Not serious Not serious Not serious Not serious Publication bias suspected ^a Risk with aVNS Favours intervention (RR 0.50, 95% Cl 0.27, 0.93; p=0.004) Image: High High High (critic the 12-24 and 24-48 hour time periods after treatment cessation. 2 [54,55] (n=135) RCT Very serious ^b Serious ^d Not serious Not serious Publication bias suspected ^a Risk with sham aVNS No difference between groups for the 12-24 and 24-48 hour time periods after treatment cessation. Image: Comparison of the 12-24 and 24-48 hour time periods after treatment cessation. Image: Comparison of the 12-24 and 24-48 hour time periods after treatment cessation. Image: Comparison of the 12-24 and 24-48 hour time periods after treatment cessation. Image: Comparison of the 12-24 and 24-48 hour time periods after treatment cessation. Image: Comparison of the 12-24 and 24-48 hour time periods after treatment cessation. Image: Comparison of the 12-24 and 24-48 hour time periods after treatment cessation. Image: Comparison of the 12-24 and 24-48 hour time periods after treatment cessation. Image: Comparison of the 12-24 and 24-48 hour time periods after treatment cessation. 2 [54,55] (n=135) RCT Very serious ^b Serious ^d Not serious Publication bias suspected ^a Risk with sham aVNS No difference between groups in either study. Image: Comparison of the 12-24 and 24-48 hour tim the 12-24 and 24-48 hour tim the 12-24 and 24-48 hour time peri | | | | | | Use of re | escue medication (over 12 hours | of treatment) | | | | |
| Image: serious between groups of the serieus betwe | 1 [56] (n=78) | RCT | Not serious | Not serious | Not serious | Not serious | Publication bias suspected ^a | Risk with sham | Risk with aVNS | Favours intervention (RR 0.50, 95% Cl 0.27, 0.93; p=0.004) | ⊕⊕⊕⊕ High | |
| Use of rescue medication (over 2-5 days of treatment) 2 [54,55] RCT Very serious ^b Serious ^d Not serious Publication bias suspected ^a Risk with sham Risk with aVNS No difference between groups in ether study. $\oplus \oplus $ | | | | | | | | 51 per 100 | 26 per 100 | No difference between groups for the 12-24 and 24-48 hour time periods after treatment cessation. | (critical) | |
| 2 [54,55] RCT Very serious ^b Serious ^d Not serious Publication bias suspected ^a Risk with sham Risk with aVNS No difference between groups in either study. $\oplus \oplus $ | | | | | | Use of r | escue medication (over 2-5 days | of treatment) | | | | |
| 19 to 80 per 19 to 60 (critic | 2 [54,55] (n=135) | RCT | Very serious ^b | Serious ^d | Not serious | Not serious | Publication bias suspected ^a | Risk with sham 19 to 80 per | Risk with aVNS 19 to 60 | No difference between groups in either study. | ⊕⊕⊖⊖ Low (critical) | |

Table A-5: Evidence profile: efficacy and safety of aVNS versus sham treatment in patients with acute postoperative pain

| | Certainty assessment | | | | | | | ry of findings | Cortainty | |
|------------------------------|----------------------|------------------------------|----------------------|-------------------|-------------|---|---|-------------------|---|------------------|
| No. of studies (patients) | Study design | Risk of bias | Inconsist- ency | Indirect- ness | Imprecision | Other considerations | Absolute difference or relative effect | | Comparative effect/ Comments | (Importance) |
| | | | | | Device | e related adverse events (2-5 day | /s' follow-up) | | | |
| 2 [54-56] (n=208) | RCT | Very serious ^ь | Serious ^f | Not serious | Not serious | Publication bias suspected ^a Very low numbers of events | Risk with sham | Risk with aVNS | No difference between groups RR 1.81 (0.79, 4.15) | ⊕○○○ Very low |
| | | | | | | in some studies ^e | 8 per 100 | 15 per 100 | Adverse events included pruritus, ear discomfort and tiredness. Unclear if patients experienced more than one event. | (critical) |

Comments:

^a Difficult to determine but suspected. This is a new area of research, and many studies are preliminary or exploratory. However, this was not considered sufficient to downgrade the quality of the evidence.

^b Serious concerns regarding randomisation process and allocation concealment in all trials. Imbalance in baseline characteristics between groups in one study [55].

^c Measured using different scales over different time periods.

^d Measured using different units over different time periods.

^e Upgraded certainty rating due to consistency of effect across studies despite varied outcome measures.

^f Some inconsistency in numbers of adverse events across trials suggests that they may not have been documented systematically, particularly given their relatively minor nature.

Nomenclature for GRADE table:

Limitations: 0: no limitations or no serious limitations; -1: serious limitations

Inconsistency: 0: no important inconsistency; -1: important inconsistency

Indirectness: 0: direct, no uncertainty, -1: some uncertainty, -2 major uncertainty

Other modifying factors: publication bias likely (-1), imprecise data (-1), strong or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1)

GRADE Working Group grades of evidence [59]

 $\oplus \oplus \oplus \oplus H$ igh quality: We are very confident that the true effect lies close to that of the estimate of effect.

 $\oplus \oplus \oplus \bigcirc$ Moderate quality: We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different. $\oplus \oplus \bigcirc \bigcirc \bigcirc$ Low quality: Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect. $\oplus \bigcirc \bigcirc \bigcirc \bigcirc \bigcirc$ Very low quality: We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect.

Abbreviations: aVNS – auricular vagus nerve stimulation; CI – confidence interval; NRS: numeric rating scale; RCT – randomised controlled trial; RR – risk ratio Sources: Lim et al. 2022 [54], Michalek-Sauberer et al. 2007 [55], Zhou et al. 2022 [56]

| Table A_6. Handence profile. et | the act and satisfy of $aV/N/N$ | There is non-plactrical autoular ac | utuncture in tatients quith | acute postoperative pain |
|---------------------------------|---------------------------------|-------------------------------------|-----------------------------|--------------------------|
| 10010 11-0. Louionee projne. ej | jicucy unu sujery oj uv 140 | cersus non-electrical auticalar act | иринските т ринеть шт | ucute postoperative pain |

| Certainty assessment | | | | | | | | Summary of findings | | | |
|--|-----------------|----------------------|----------------------|-------------------|-------------|---|--|------------------------|---------------------------------|-----------------------------------|--|
| No. of studies (patients) | Study design | Risk of bias | Inconsist- ency | Indirect- ness | Imprecision | Other considerations | Absolute differ relative e | ence [SD] or effect | Comparative effect/ Comments | (Importance) | |
| Pain (after 2-5 days of treatment) | | | | | | | | | | | |
| 2 [55, 64] | RCT | Very | Serious ^b | Not serious | Not serious | Publication bias suspected ^c | Pain measured usi | ng different | No difference between | ⊕⊕00 | |
| (n=151) | | serious ^a | | | | Consistent effect across studies | scales | | groups in either study | Low | |
| | | | | | | despite varied outcome measures. | 25. | | | (critical) | |
| Analgesia consumption (over 2-5 days of treatment) | | | | | | | | | | | |
| 2 [55, 64] | RCT | Very | Serious ^b | Not serious | Not serious | Publication bias suspected ^c | Measured using different units over different time periods | | No difference between | $\oplus \oplus \bigcirc \bigcirc$ | |
| (n=143) | | seriousª | | | | Consistent effect across studies | | | groups in either study | Low | |
| | | | | | | despite varied outcome measures. | | | | (critical) | |
| | | | | | Use of r | escue medication (over 2 days of tre | atment) | | | | |
| 1 [55] | RCT | Very | Not serious | Not serious | Not serious | Publication bias suspected ^c | Risk with | Risk with | No difference between | $\oplus \oplus \bigcirc \bigcirc$ | |
| (n=97) | | serious ^a | | | | | acupuncture | aVNS | groups RR 1.08 (95% Cl | Low | |
| | | | | | | | 18 per 100 | 19 per 100 | 0.44, 2.02) | (critical) | |
| | | | | | Device rela | ted adverse events (after 2-5 days of | treatment) | | | | |
| 2 [55, 64] | RCT | Very | Serious ^d | Not serious | Not serious | Publication bias suspected ^c | Risk with | Risk with | No difference between | ⊕⊕00 | |
| (n=151) | | seriousª | | | | | acupuncture | aVNS | groups RR 1.08 (95% Cl | Low | |
| | | | | | | | 18 per 100 | 19 per 100 | 0.44, 2.02) | (critical) | |

Comments:

^a Some concerns about allocation concealment and imbalance in baseline characteristics between the treatment groups in both trials.

^b Different pain measures used across the studies.

^c Difficult to determine but suspected. This is a new area of research, and many studies are preliminary or exploratory. However, this was not considered sufficient to downgrade the quality of the evidence.

^d Some inconsistency in numbers of adverse events across trials suggests that they may not have been documented systematically, particularly given their relatively minor nature.

Nomenclature for GRADE table:

Limitations: 0: no limitations or no serious limitations; -1: serious limitations

Inconsistency: 0: no important inconsistency; -1: important inconsistency

Indirectness: 0: direct, no uncertainty, -1: some uncertainty, -2 major uncertainty

Other modifying factors: publication bias likely (-1), imprecise data (-1), strong or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1)

GRADE Working Group grades of evidence [59]

 $\oplus \oplus \oplus \oplus$ High quality: We are very confident that the true effect lies close to that of the estimate of effect.

 $\oplus \oplus \oplus \bigcirc$ Moderate quality: We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different. $\oplus \oplus \bigcirc \bigcirc$ Low quality: Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect.

Abbreviations: aVNS – auricular vagus nerve stimulation; CI – confidence interval; RCT – randomised controlled trial; RR – risk ratio; SD – standard deviation Sources: Blank et al. 2021 [64], Michalek-Sauberer et al. 2007 [55]

Table A-7: Evidence profile: efficacy and safety of aVNS versus standard care in patients with acute postoperative pain

| | | | Certainty | assessment | | | | Cantainta | | |
|------------------------------|--|----------------------|--------------------|-------------------|----------------------|--------------------------------|--|------------------|--|-----------------------------------|
| No. of studies (patients) | Study design | Risk of bias | Inconsist- ency | Indirect- ness | Imprecision | Other considerations | Absolute difference [SD] or relative effect | | Comparative effect/ Comments | (Importance) |
| | | | | | Pain (nur | meric rating scale) after 72 h | nours of treatment | | | |
| 1 [54] | RCT | Serious ^a | Not | Not | Serious ^b | Publication bias | Intervention: 4.6 | [2.3] | No apparent difference between groups. | $\oplus \oplus \bigcirc \bigcirc$ |
| (n=40) | | | serious | serious | | suspected ^c | Standard care: 4.2 | 2 [2.3] | Statistical analysis of comparison not | Low |
| | | | | | | | | | reported in the study. | (critical) |
| | Analgesia consumption (MME over 5 days of treatment) | | | | | | | | | |
| 1 [54] | RCT | Serious ^a | Not | Not | Serious ^b | Publication bias | Intervention: 51.1 | [56.6] | No apparent difference between groups. | $\oplus \oplus \bigcirc \bigcirc$ |
| (n=40) | | | serious | serious | | suspected ^c | Standard care: 42 | .8 [44.0] | Statistical analysis of comparison not | Low |
| | | | | | | | | | reported in the study. | (critical) |
| | | | | | Use of rescu | ue medication (opioids) ove | r 5 days of treatme | nt | | |
| 1 [54] | RCT | Serious ^a | Not | Not | Serious ^b | Publication bias | Risk with | Risk with | No difference between groups RR 0.86 | $\oplus \oplus \bigcirc \bigcirc$ |
| (n=40) | | | serious | serious | | suspected ^c | standard care | aVNS | (95% Cl 0.57, 3.14) | Low |
| | | | | | | | 70 per 100 | 60 per 100 | | (critical) |
| | | | | | Device re | lated adverse events after 5 | days of treatment | | | |
| 1 [54] | RCT | Serious ^a | Not | Not | Serious ^b | Publication bias | Risk with | Risk with | No difference between groups RR 0.86 | ⊕⊕00 |
| (n=40) | | | serious | serious | | suspected ^c | standard care | aVNS | (95% Cl 0.54, 1.36) | Low |
| | | | | | | | 0 | 5 per 100 | | (critical) |

Comments:

^a Some concerns about allocation concealment and assessor blinding (open label study due to nature of comparator).

^b Small sample size limits precision.

^c Difficult to determine but suspected. This is a new area of research, and many studies are preliminary or exploratory. However, this was not considered sufficient to downgrade the quality of the evidence.

Nomenclature for GRADE table:

Limitations: 0: no limitations or no serious limitations; -1: serious limitations

Inconsistency: 0: no important inconsistency; -1: important inconsistency

Indirectness: 0: direct, no uncertainty, -1: some uncertainty, -2 major uncertainty

Other modifying factors: publication bias likely (-1), imprecise data (-1), strong or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1)

GRADE Working Group grades of evidence [59]

 $\oplus \oplus \oplus \oplus High$ quality: We are very confident that the true effect lies close to that of the estimate of effect.

 $\oplus \oplus \oplus \bigcirc$ Moderate quality: We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different. $\oplus \oplus \bigcirc \bigcirc$ Low quality: Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect.

 \oplus \bigcirc \bigcirc Very low quality: We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect.

Abbreviations: aVNS – auricular vagus nerve stimulation; CI – confidence interval; MME – milligram morphine equivalent; NA – not applicable; RCT – randomised controlled trial; RR – risk ratio; SD – standard deviation

Sources: Lim et al. 2022 [54]

| | | | Certa | ainty assessme | nt | | Summary of | findings | Cortainty |
|------------------------------|-----------------|------------------|--------------------|-------------------|----------------------|---|---|--|--------------------------------|
| No. of studies (patients) | Study design | Risk of bias | Inconsist- ency | Indirect- ness | Imprecision | Other considerations | Absolute difference [SD] or relative effect | Comparative effect/ Comments | (Importance) |
| | | | | Pain | in adults (≥18 yea | ars) (visual analogue scale after 4 v | veeks of treatment) | | |
| 1 [69] (n=40) | RCT | Very seriousª | Not serious | Not serious | Serious ^b | Publication bias suspected ^c | Mean change Intervention: -3.1 [2.2] Sham: -1.1 [1.1] | Favours intervention (p=0.001) | ⊕○○○ Very low (critical) |
| | | | | Pain in youth | n (11-18 years) (PF | SD median 9.2 weeks after end of | 3-week treatment session) | | |
| 1 [65, 66] (n=104) | RCT | Not serious | Not serious | Not serious | Not serious | Publication bias suspected ^c | Median change: Intervention: -8.4 (IQR -15.9, 0.0) Sham: 0 (IQR -9.0, 9.1) | Favours intervention (p=0.02) Result after 3 weeks of treatment also favours intervention (p<0.0001). | ⊕⊕⊕⊕ High (critical) |
| | | | | Physical functi | ioning in youth (1 | 1-18 years) (PFSD median 9.2 wee | ks after treatment cessation) | | |
| 1 [65, 66] (n=104) | RCT | Not serious | Not serious | Not serious | Not serious | Publication bias suspected ^c | Mean change Intervention: ↓36% Sham: 0% | Appears to favour intervention. Statistical analysis of comparison not reported in the study. | ⊕⊕⊕⊕ High (critical) |
| | | · | ` | Sympto | m severity in adu | lts (≥18 years) (IBS-SSS score after | 4 weeks of treatment) | | |
| 1 [69] (n=40) | RCT | Very seriousª | Not serious | Not serious | Serious ^b | Publication bias suspected ^c | Mean after treatment Intervention: 197.1 [39.6] Sham: 289.5 [94.4] | Favours intervention (p=0.001) Lower score is better | ⊕○○○ Very low (critical) |
| | | | | Sym | nptom severity in | youth (11-18 years) (SRS after 3 w | eeks of treatment) | | |
| 1 [65, 66] (n=104) | RCT | Not serious | Not serious | Not serious | Not serious | Publication bias suspected ^c | Median after treatment Intervention: 3 (IQR 1.0, 4.8) Sham: 1 (IQR 0.0, 2.3) | Favours intervention (p=0.0003) Higher score is better No difference between groups median 9.2 weeks after treatment cessation (data not reported) | ⊕⊕⊕⊕ High (critical) |

Appendix

Table A-8: Evidence profile: efficacy and safety of aVNS versus sham treatment in patients with chronic pain-related gastrointestinal disorders

| | | | Cert | ainty assessme | | | Summary of | findings | Containty | |
|------------------------------|-----------------|-----------------|--------------------|-------------------|----------------------|---|----------------------------|-------------------------|--|------------------|
| No. of studies (patients) | Study design | Risk of bias | Inconsist- ency | Indirect- ness | Imprecision | Other considerations | Absolute diffe relative | rence [SD] or effect | Comparative effect/ Comments | (Importance) |
| | | | | Device | related adverse e | events in youth (11-18 years) after | 3 weeks of treatme | ent | | |
| 1 [65, 66] (n=104) | RCT | Not serious | Not serious | Not serious | Serious ^d | Publication bias suspected ^c | Risk with sham | Risk with aVNS | | ⊕⊕⊕⊖ Moderate |
| | | | | | | | 11 per 100 | 7 per 100 | No difference between groups RR 0.66 (95% Cl 0.19, 2.32) | (critical) |
| | | | | | | | | | Adverse events included ear discomfort and adhesive allergy. One event of syncope due to needle phobia in sham group was not included in the RR calculation. | |

Comments:

^a Some concerns about allocation concealment, bias due to deviations from intended interventions and bias in outcome measures due to outcome assessors being aware of treatment allocation.

^b Single blind study design and small sample size raises concerns regarding precision.

^c Difficult to determine but suspected. This is a new area of research, and many studies are preliminary or exploratory. However, this was not considered sufficient to downgrade the quality of the evidence.

^d Small RCT and low number of events.

Nomenclature for GRADE table:

Limitations: 0: no limitations or no serious limitations; -1: serious limitations

Inconsistency: 0: no important inconsistency; -1: important inconsistency

Indirectness: 0: direct, no uncertainty, -1: some uncertainty, -2 major uncertainty

Other modifying factors: publication bias likely (-1), imprecise data (-1), strong or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1)

GRADE Working Group grades of evidence [59]

 $\oplus \oplus \oplus \oplus \oplus$ High quality: We are very confident that the true effect lies close to that of the estimate of effect.

 $\oplus \oplus \oplus \bigcirc$ Moderate quality: We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different. $\oplus \oplus \bigcirc \bigcirc$ Low quality: Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect.

 \oplus \bigcirc \bigcirc Very low quality: We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect.

Abbreviations: aVNS – auricular vagus nerve stimulation; CI – confidence interval; FDI – Functional Disability Inventory; IBS-SSS – irritable bowel syndrome severity scoring system; IQR – interquartile range; PFSD – Pain-Frequency-Severity-Duration; RCT – randomised controlled trial; RR – risk ratio; SD – standard deviation; SRS – Symptom Response Scale Sources: Kovacic et al. 2017 [65], Krasaelap et al. 2020 [66], Shi et al. 2021 [69]

| | | | Ce | rtainty assess | ment | | | Summary of findings | | | | |
|------------------------------|---|-----------------|--------------------|---|----------------------|---|-----------------------|---------------------------|---|------------------|---|------------------|
| No. of studies (patients) | Study design | Risk of bias | Inconsist- ency | Indirect- ness | Imprecision | Other considerations | Absolute d relativ | lifference or e effect | Comparative effect/ Comments | (Importance) | | |
| | | | | | | Pain (numeric rating scale) | | | | | | |
| 1 [68] (n=57) | RCT Not Not Serious ^a Publication bias suspected ^b Mean change serious serious serious serious Unclear whether adjunctive Intervention: -0.82 | | e n: -0.82 | No apparent difference between groups. | ⊕⊕⊕⊖ Moderate | | | | | | | |
| | | | | | | treatments were used and standardised across treatment groups | Sham: -0.86 | | Statistical analysis of comparisons not reported in the study. | (critical) | | |
| | | | | | | Pain (widespread pain index) | | | | | | |
| 1[68] | RCT | Not serious | Not serious | Not serious | Serious ^a | Publication bias suspected ^b | Mean change | | Mean change | | No apparent difference between groups. | ⊕⊕⊕⊖ Moderate |
| (11-57) | | | | | | treatments were used and standardised across treatment groups | Sham: -1.69 | 1.50 | Statistical analysis of comparisons not reported in the study. | (critical) | | |
| | | | | | Syn | nptom severity (symptom severity scale |) | | | <u>.</u> | | |
| 1 [68] (n=57) | RCT | Not serious | Not serious | Not serious | Seriousª | Publication bias suspected ^b | Mean change | | No apparent difference between groups. | ⊕⊕⊕⊖ Moderate | | |
| (11 37) | | | | | | treatments were used and standardised across treatment groups | Sham: -1.21 | | Statistical analysis of comparisons not reported in the study. | (critical) | | |
| | | | | | | Device related adverse events | | | | | | |
| 1 [68] (n=57) | RCT | Not serious | Not serious | Not serious | Serious ^a | Publication bias suspected ^b Unclear whether adjunctive | Risk with sham | Risk with aVNS | No difference between groups RR 3.10 (95% Cl 0.13, 73.12) | ⊕⊕⊕⊖ Moderate | | |
| | | | | | | treatments were used and standardised across treatment groups | 0 | 4 per 100 | The single adverse event involved chest discomfort and additional pain, leading to patient withdrawal. | (critical) | | |

Appendix

Table A-9: Evidence profile: efficacy and safety of aVNS versus sham treatment in patients with chronic fibromyalgia at last follow-up (after two weeks of treatment)

Comments:

^a mall sample size limits precision.

^b Difficult to determine but suspected. This is a new area of research, and many studies are preliminary or exploratory. However, this was not considered sufficient to downgrade the quality of the evidence.

Nomenclature for GRADE table:

Limitations: 0: no limitations or no serious limitations; -1: serious limitations

Inconsistency: 0: no important inconsistency; -1: important inconsistency

Indirectness: 0: direct, no uncertainty, -1: some uncertainty, -2 major uncertainty

Other modifying factors: publication bias likely (-1), imprecise data (-1), strong or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1)

GRADE Working Group grades of evidence [59]

 $\oplus \oplus \oplus \oplus \oplus$ High quality: We are very confident that the true effect lies close to that of the estimate of effect.

 $\oplus \oplus \oplus \bigcirc$ Moderate quality: We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different. $\oplus \oplus \bigcirc \bigcirc$ Low quality: Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect.

+ 0 0 Very low quality: We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect.

Abbreviations: aVNS – auricular vagus nerve stimulation; CI – confidence interval; RCT – randomised controlled trial; RR – risk ratio.

Sources: Paccione et al. 2022 [68]

| | | | Ce | rtainty assess | | Summa | Cantaintu | | |
|------------------------------|-----------------|------------------|--------------------|-------------------|--|---|--|---|--------------------------------|
| No. of studies (patients) | Study design | Risk of bias | Inconsist- ency | Indirect- ness | Imprecision | Other considerations | Absolute difference Mean [SD] | Comparative effect/ Comments | (Importance) |
| | | | | | | Pain (visual analogue scale) | | | |
| 1 [67] (n=52) | RCT | Very seriousª | Not serious | Not serious | Serious ^b Publication bias suspected ^c Unclear whether adjunctive treatments were used and standardised across treatment groups | | Mean after treatment Intervention: 2.6 [1.91] Exercise: 3.5 [1.73] | No difference between groups (p=0.08) Study only included women | ⊕○○○ Very low (critical) |
| | | | | | Symptom sev | erity (Fibromyalgia Impact Questionnai | re score) | | |
| 1 [67] (n=52) | RCT | Very seriousª | Not serious | Not serious | Serious ^b | Publication bias suspected ^c Unclear whether adjunctive treatments were used and standardised across treatment groups | Mean after treatment Intervention: 37.3 [19.48] Exercise: 41.9 [18.15] | No difference between groups (p=0.4) Lower score is better Study only included women | ⊕○○○ Very low (critical) |

Table A-10: Evidence profile: efficacy and safety of aVNS plus exercise versus exercise in women with chronic fibromyalgia at last follow-up (after four weeks of treatment)

Comments:

^a Open label study due to nature of interventions. Some concerns about allocation concealment and deviations from intended interventions. Imbalance in baseline health-related quality of life measures between groups.

^b Combination of open label study, baseline imbalances and small sample size raises concerns regarding precision.

^c Difficult to determine but suspected. This is a new area of research, and many studies are preliminary or exploratory. However, this was not considered sufficient to downgrade the quality of the evidence.

Nomenclature for GRADE table:

Limitations: 0: no limitations or no serious limitations; -1: serious limitations

Inconsistency: 0: no important inconsistency; -1: important inconsistency

Indirectness: 0: direct, no uncertainty, -1: some uncertainty, -2 major uncertainty

Other modifying factors: publication bias likely (-1), imprecise data (-1), strong or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1) or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1) or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1) or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1) or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1) or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1) or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1) or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1) or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1) or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1) or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1) or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1) or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1) or very strong association (+1 or +2), dose-response gradient (+1), dose-response gradie

GRADE Working Group grades of evidence [59]

 $\oplus \oplus \oplus \oplus \oplus$ High quality: We are very confident that the true effect lies close to that of the estimate of effect.

 $\oplus \oplus \oplus \bigcirc$ Moderate quality: We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different. $\oplus \oplus \bigcirc \bigcirc \bigcirc$ Low quality: Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect.

Abbreviations: RCT - randomised controlled trial; SD - standard deviation

Sources: Kutlu et al. 2020 [67]

Table A-11: Evidence profile: efficacy and safety of aVNS versus sham treatment in patients with chronic episodic migraine without aura at last follow-up (after four weeks of treatment)

| | | | (| Certainty asse | | Summar | Containtu | | |
|------------------------------|-----------------|----------------------|--------------------|-------------------|-------------|--|---------------------|-------------------------------------|---------------------------------|
| No. of studies (patients) | Study design | Risk of bias | Inconsist- ency | Indirect- ness | Imprecision | Other considerations | Absolute difference | Comparative effect/ Comments | (Importance) |
| | | | | | | <u>`</u> | | | |
| 1 [71] | RCT | Serious ^a | Not | Not | Not serious | Publication bias suspected ^b | Mean change | Favours intervention | $\oplus \oplus \oplus \bigcirc$ |
| (n=59) | | | serious | serious | | Unclear whether adjunctive treatments | Intervention: -17.4 | (p=0.008) | Moderate |
| | | | | | | were used and standardised across treatment groups | Sham: -4.1 | | (critical) |
| | | | | | 9 | Symptom severity (migraine days) | | | |
| 1 [71] | RCT | Serious ^a | Not | Not | Not serious | Publication bias suspected ^b | Mean change | Favours intervention | $\oplus \oplus \oplus \bigcirc$ |
| (n=59) | | | serious | serious | | Unclear whether adjunctive treatments | Intervention: -2.5 | (p=0.02) | Moderate |
| | | | | | | were used and standardised across treatment groups | Sham: -0.7 | | (critical) |
| | | | | | Sy | mptom severity (migraine duration) | | ` | |
| 1 [71] | RCT | Seriousª | Not | Not | Not serious | Publication bias suspected ^b | Mean change | Favours intervention | $\oplus \oplus \oplus \bigcirc$ |
| (n=59) | | | serious | serious | | | Intervention: -1.5 | (p=0.02) | Moderate |
| | | | | | | | Sham: 0.4 | Time unit not specified in study | (critical) |

Comments:

^{*a*} Some concerns about allocation concealment.

^b Difficult to determine but suspected. This is a new area of research, and many studies are preliminary or exploratory. However, this was not considered sufficient to downgrade the quality of the evidence.

Nomenclature for GRADE table:

Limitations: 0: no limitations or no serious limitations; -1: serious limitations

Inconsistency: 0: no important inconsistency; -1: important inconsistency

Indirectness: 0: direct, no uncertainty, -1: some uncertainty, -2 major uncertainty

Other modifying factors: publication bias likely (-1), imprecise data (-1), strong or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1)

GRADE Working Group grades of evidence [59]

 $\oplus \oplus \oplus \oplus High$ quality: We are very confident that the true effect lies close to that of the estimate of effect.

 $\oplus \oplus \odot$ Moderate quality: We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different. $\oplus \oplus \odot \bigcirc$ Low quality: Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect.

 \oplus \bigcirc \bigcirc Very low quality: We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect.

Abbreviations: RCT - randomised controlled trial

Sources: Zhang et al. 2022 [71]

Table A-12: Evidence profile: efficacy and safety of aVNS plus usual care versus usual care in patients with chronic myofascial pain syndrome at last follow-up (after five days of treatment)

| | | | Ce | rtainty assess | ment | | Summa | ry of findings | Containty |
|------------------------------|-----------------|----------------------|--------------------|----------------------|---------------------|--|----------------------------------|---|--------------|
| No. of studies (patients) | Study design | Risk of bias | Inconsist- ency | Indirect- ness | Imprecision | Other considerations | Absolute difference Mean [SD] | Comparative effect/ Comments | (Importance) |
| | | | | | | Pain (visual analogue scale) | | | |
| 1 [70] | RCT | Very | Not | Not | Serious | Publication bias suspected ^d | Mean change | Favours intervention (p<0.001) | ⊕000 |
| (n=53) | | serious ^a | serious | serious | | Unclear whether adjunctive | Intervention: -2.77 | | Very low |
| | | | | | | treatments were used and standardised across treatment groups | Usual care: -1.96 | | (critical) |
| | | | | | | Physical functioning (grip strength, kg) | | | |
| 1 [70] | RCT | Very | Not | Serious ^b | Serious | Publication bias suspected ^d | Mean change | Favours intervention (p=0.001) | ⊕000 |
| (n=53) | | serious ^a | serious | | | Unclear whether adjunctive | Intervention: 2.1 | | Very low |
| | | | | | | treatments were used and standardised across treatment groups | Usual care: 0.5 | | (critical) |
| | | | | | | Symptom severity (Compass-31 score) | | | |
| 1 [70] | RCT | Very | Not | Not | Serious | Publication bias suspected ^d | Mean change | Favours intervention (p=0.01) | ⊕000 |
| (n=53) | | serious ^a | serious | serious | | Unclear whether adjunctive | secretomotor subscale | for secretomotor subscale only. | Very low |
| | | | | | | treatments were used and | Intervention: -0.88 [1.295] | Comparisons for the other five | (critical) |
| | | | | | | standardised across treatment groups | Usual care: -0.12 [0.816] | subscales were not statistically significant. | |

Comments:

^a Open label study due to nature of interventions. Slight imbalance in baseline symptom severity and health-related quality of life measures between groups.

^b Physical grip strength measures only one aspect of physical function.

^c Combination of open label study, baseline imbalances and small sample size raises concerns regarding precision.

^d Difficult to determine but suspected. This is a new area of research, and many studies are preliminary or exploratory. However, this was not considered sufficient to downgrade the quality of the evidence.

Nomenclature for GRADE table:

Limitations: 0: no limitations or no serious limitations; -1: serious limitations

Inconsistency: 0: no important inconsistency; -1: important inconsistency

Indirectness: 0: direct, no uncertainty, -1: some uncertainty, -2 major uncertainty

Other modifying factors: publication bias likely (-1), imprecise data (-1), strong or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1) or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1) or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1) or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1) or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1) or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1) or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1) or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1) or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1) or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1) or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1) or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1) or very strong association (+1 or +2), dose-response gradient (+1), Plausible confounding (+1) or very strong association (+1 or +2), dose-response gradient (+1), dose-response gradie

GRADE Working Group grades of evidence [59]

 $\oplus \oplus \oplus \oplus High$ quality: We are very confident that the true effect lies close to that of the estimate of effect.

 $\oplus \oplus \oplus \bigcirc$ Moderate quality: We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different. $\oplus \oplus \bigcirc \bigcirc$ Low quality: Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect. $\oplus \bigcirc \bigcirc \bigcirc$ Very low quality: We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect.

Abbreviations: RCT – randomised controlled trial; SD – standard deviation *Sources:* Unal et al. 2022 [70]

Applicability table

| Domain | Description of applicability of evidence |
|--------------|---|
| Population | Population One: Acute postoperative pain |
| | The participants in the studies varied according to the type of surgeries being conducted, which included major bowel resection, elective Cesarian delivery, molar extraction and anterior cruciate ligament reconstruction. There was nothing in the patient selection procedures or demographics that suggested any of the populations were atypical of the types of people likely to undergo these operative procedures. Therefore, the patients included in the studies are likely to be representative of those who would undergo such surgeries in the Austrian health system. However, the results pertaining to these patient groups are not necessarily applicable to individuals undergoing other types of surgery. |
| | Population Two: Chronic pain |
| | The studies on abdominal pain-related gastrointestinal disorders encompassed both children, adolescents (aged 110 to 18 years) and adults (up to the age of 75 years), which covers the range of patients who would likely have these conditions in Austria. |
| | One of the two studies on fibromyalgia only included a very narrow population of adult pre- menopausal women (aged 18 to 50 years). While this reduced the likelihood of patients having confounding morbidities, it also means that this patient group is not necessarily representative of the types of patients who typically have fibromyalgia. The other study suffers from the same issue in that it included only patients aged 18 to 65 years who did not have any existing psychiatric disorders. Depression is a common comorbidity in patients with fibromyalgia (lifetime prevalence of 90% for depressive symptoms and 62% to 86% for major depressive disorder [100]) and fibromyalgia, which is usually diagnosed in middle age, becomes more common with age. Consequently, the patients included in these studies may not be representative of those with fibromyalgia in Austria. |
| | The populations included in the studies on episodic migraine (patients aged 18 to 45 years) and myofsacial pain syndrome (patients aged 20 to 60 years) were similarly limited. Consequently, the results may not be applicable to older patients with these conditions. |
| Intervention | The aVNS devices used in the studies are commonly available, although only one study used a device that has the CE mark. However, since generic transcutaneous electrical nerve stimulation devices with custom made electrodes can also be used to deliver aVNS, this is not necessarily a limiting factor in terms of applicability. |
| | Population Two: Chronic pain |
| | aVNS was used in conjunction with an exercise program in two studies (one on fibromyalgia and one on myofsascial pain). Exercise therapy is a mainstay of treatment for these conditions and would likely be used in conjunction with aVNS in clinical practice in Austria. |
| Comparators | Population One: Acute postoperative pain |
| | The comparators were some form of sham treatment, auricular acupuncture or standard care. Sham treatment and standard care are acceptable comparators that do not contravene usual clinical practice. However, auricular acupuncture is not a treatment that would be routinely used in the care of patients with acute postoperative pain in Austria. |
| | Population Two: Chronic pain |
| | The comparators were some form of sham treatment or standard care in the form of exercise therapy. Sham treatment is an acceptable comparator that does not contravene usual clinical practice and exercise therapy is a mainstay of treatment for patients with chronic pain. |

Table A-13: Summary table characterising the applicability of a body of studies

| Domain | Description of applicability of evidence |
|----------|--|
| Outcomes | Population One: Acute postoperative pain |
| | The critical outcomes of pain, analgesia consumption and use of rescue medication were reported in most of the studies over the treatment period, which was up to 5 days following surgery. Considering that the main purpose of aVNS is to alleviate pain in the immediate postoperative period, these outcomes and length of follow-up are appropriate. The occurrence of device-related adverse events was reported in all studies. |
| | Population Two: Chronic pain |
| | The critical outcomes of pain and symptom severity were reported in most of the studies, whereas physical functioning was only reported in half of the included studies and adverse events were reported in only a third. Some measure of health-related quality of life was reported in four of the six studies. Most outcome measures were measured during or at the end of treatment, the duration of which ranged form five days to four weeks. The wide range of treatment lengths reflects the fact that the optimal treatment durations for various conditions have yet to be elucidated. |
| Setting | Population One: Acute postoperative pain |
| | The studies were conducted in the USA, Austria and China. |
| | The aVNS devices were deployed in the inpatient setting, which is appropriate for the patient groups being studied, by a surgeon, nurse or other clinician trained in the use of the device. This is reflective of the likely use of aVNS in the Austrian inpatient setting. |
| | Population Two: Chronic pain |
| | Two studies each were conducted in China and Turkey and one each in Norway and the USA |
| | Depending on the condition being treated, the aVNS devices were deployed by a physician, physiatrist or other relevant specialist in outpatient settings, such as a rehabilitation or gastroenterology clinic. This is reflective of the likely utilisation of the device in Austria. |

 $\label{eq:abbreviations:aVNS-auricular vagus nerve stimulation$

List of ongoing randomised controlled trials

Table A-14: List of ongoing randomised controlled trials of aVNS

| ldentifier/ Trial name | Condition | Target enrollment | Intervention | Comparator | Primary outcome | Primary completion date/Status | Sponsor |
|---------------------------|---|----------------------|---|---|---|------------------------------------|---|
| | | | | Acute or postopterat | ive pain | | |
| NCT05439707 | Patients undergoing arthroplasty | 600 | aVNS (device not stated) | Sham aVNS | Postoperative cognitive function Postoperative delirium Acute postoperative pain (VAS) Chronic postsurgical pain (Short Form McGill Pain Questionnaire and Neuropathic Pain Scale) | July 2024 Not yet recruiting | Xuzhou Central Hospital The Affiliated Hospital of Xuzhou Medical University The First People's Hospital of Xuzhou |
| | | | | Chronic pain | | | |
| NCT05543239 (RELAX) | Radiotherapy- related neuropathic pain | 116 | aVNS (tVNS 501, Jiangsu, China) | Sham aVNS | Change in pain intensity (NRS) | November 2023 Recruiting | Sun Yat-Sen Memorial Hospital of Sun Yat-Sen University |
| ChiCTR2100042987 | Complex regional pain syndrome post stroke | 60 | aVNS (device not stated) + comprehensive rehabilitation training | Comprehensive rehabilitation training | Performance-based impairment Inflammatory factors Functional magnetic resonance imaging of brain Change in pain intensity (NRS) | Not stated Recruiting | Zhejiang Provincial People's Hospital |
| NCT03434652 | Cyclic vomiting syndrome | 47 | aVNS (Neuro-Stim System-2 BRIDGE, Innovative Health Solutions, LLC, Indiana, USA) | Sham aVNS | Nausea severity (Baxter Retching Faces Scale) | March 2021 Completed recruiting | Medical College of Wisconsin |
| NCT04177511 | Chronic pelvic pain due to endometriosis | 72 | aVNS (TENS Eco Plus (Schwa Medico GmbH, Germany) | Standard treatment | Change of symptoms related to the pelvic pain | February 2025 Recruiting | Hopital Foch |

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| ldentifier/ Trial name | Condition | Target enrollment | Intervention | Comparator | Primary outcome | Primary completion date/Status | Sponsor |
|---------------------------|--------------------------------------|----------------------|--|-------------------|--|------------------------------------|---|
| NCT05555485 | Chronic pain related to opioid | 60 | aVNS (Sparrow [®] , Spark Biomedical, Inc., Texas, USA) | Sham aVNS | Opioid withdrawal symptoms (Clinical Opioid Withdrawal Scale) | October 2027 Not yet recruiting | The University of Texas Medical Branch, Galveston |
| | withdrawal (≥6 months) | | | | | | National Institute of Neurological Disorders and Stroke |
| NCT05646173 | Chronic low | 60 | aVNS (Vagustim [®] device, | Ultrasound + TENS | Oswestry Disability Index | February 2023 | Istanbul Medipol |
| | back pain (≥3 months) | | Vagustim, California, USA) + home exercises | + home exercises | | Recruiting | University Hospital |
| NCT05527366 | Non-specific | 36 | aVNS (Vagustim® device, | Ultrasound + TENS | Pain severity (VAS) | March 2022 | Okan University |
| | neck pain | | Vagustim, California, USA) | + hot pack | Muscle strength | Completed | |
| | | | | | Joint range of motion | | |
| | | | | | Disability (Neck Disability Index) | | |
| | | | | | Sleep quality (Pittsburgh sleep quality index) | | |
| NCT05500716 | Temporoman- | 50 | aVNS (Vagustim [®] device, | Traditional | Heart rate variability | June 2023 | Bahçeşehir University |
| | dibular joint dysfunction | | Vagustim, California, USA) + traditional rehabilitation program | program | | Recruiting | |
| NCT04520516 | Erosive hand | 148 | aVNS (Vagustim [®] device, | Sham aVNS | Change in self-reported hand pain | April 2023 | Assistance Publique - |
| osteoarthritis | | | Vagustim, California, USA) | | (VAS) | Active, not recruiting | Hôpitaux de Paris |
| | | | | | | | Schwa Medico GmbH (device lending) |
| NCT05387135 | Knee | 68 | aVNS (TENS 7000, Roscoe | Sham aVNS | Pain (VAS) | July 2021 | Suez Canal University |
| | osteoarthritis | | Medical, Ohio, USA) | | | Completed | |
| NCT04381624 | Knee | 70 | aVNS (device not stated) | Sham aVNS + | Pain (VAS) | December 2023 | Universidad de La |
| osteoarthritis | | | + exercise program | exercise program | | Not yet recruiting | Frontera |

Abbreviations: aVNS = auricular vagus nerve stimulation; NRS = Numeric Rating Scale; TENS = transcutaneous electrical nerve stimulation; VAS = visual analogue scale

Literature search strategies

Search strategy for Cochrane

| Search | date: 07.12.2022 |
|----------|--|
| ID | Search |
| #1 | MeSH descriptor: [Pain] explode all trees |
| #2 | (pain*) (Word variations have been searched) |
| #3 | (post-op*) (Word variations have been searched) |
| #4 | (postop*) (Word variations have been searched) |
| #5 | (post-surg*) (Word variations have been searched) |
| #6 | (postsurg*) (Word variations have been searched) |
| #7 | MeSH descriptor: [Migraine Disorders] explode all trees |
| #8 | (migraine*) (Word variations have been searched) |
| #9 | #1 OR #2 OR #3 OR #4 OR #5 OR #6 OR #7 OR #8 |
| 10 | MeSH descriptor: [Vagus Nerve Stimulation] explode all trees |
| #11 | (("vagus nerve*" OR vagus OR "tenth cranial nerve*" OR "10 th cranial nerve*" OR "cranial nerve* x" OR "pneumogastric nerve*" OR "pneumo-gastric nerve*" OR "nerve* xs" OR "nervi vagi" OR "vagal nerve*" OR "vagal receptor*" OR "vagosympathetic trunk*" OR "vago-sympathetic trunk*" OR "vagus trunk*" OR vagal OR auric*) NEAR ("transcutaneous electrical nerve stimulation*" OR tens OR tan OR electroacupuncture* OR "electro-acupuncture*" OR electrotherap* OR "electro-therap*" OR stimul* OR neurostim* OR "neuro-stim*" OR neuromodul* OR "neuro-modul*" OR electrostimul* OR "electro-stimul*" OR acupuncture* OR electroacupuncture* OR "electro-acupuncture*"):ti,ab,kw |
| #12 | (aVNS):ti,ab,kw |
| #13 | (a-VNS):ti,ab,kw |
| #14 | (taVNS):ti,ab,kw |
| #15 | (ta-VNS):ti,ab,kw |
| #16 | (tVNS):ti,ab,kw |
| #17 | (t-VNS):ti,ab,kw |
| #18 | (tcVNS):ti,ab,kw |
| #19 | (nVNS):ti,ab,kw |
| #20 | ("percutaneous electric nerve field stimulation*") (Word variations have been searched) |
| #21 | (PENFS):ti,ab,kw |
| #22 | (IB-Stim*) (Word variations have been searched) |
| #23 | (NeuroStim) (Word variations have been searched) |
| #24 | (Neuro-Stim) (Word variations have been searched) |
| #25 | (NEMOS*) (Word variations have been searched) |
| #26 | ("Primary Relief*") (Word variations have been searched) |
| #27 | (P-Stim*) (Word variations have been searched) |
| #28 | (Soterix*) (Word variations have been searched) |
| #29 | (SDZ-II) (Word variations have been searched) |
| #30 | (SNM-FDC01) (Word variations have been searched) |
| #31 | ("TENS 7000") (Word variations have been searched) |
| #32 | (TENS7000) (Word variations have been searched) |
| #33 | #10 OR #11 OR #12 OR #13 OR #14 OR #15 OR #16 OR #17 OR #18 OR #19 OR #20 OR #21 OR #22 OR #23 OR #24 OR #25 OR #26 OR #27 OR #28 OR #29 OR #30 OR #31 OR #32 |
| #34 | #9 AND #33 |
| #35 | (conference proceeding):pt |
| #36 | (abstract):so (Word variations have been searched) |
| #37 | (clinicaltrials OR trialsearch OR ANZCTR OR ensaiosclinicos OR Actrn OR chictr OR cris OR ctri OR registroclinico OR clinicaltrialsregister OR DRKS OR IRCT OR Isrctn OR rctportal OR JapicCTI OR JMACCT OR JRCT OR JPRN OR Nct OR UMIN OR trialregister OR PACTR OR R.B.R.OR REPEC OR SLCTR OR Tcr):so |
| #38 | #35 OR #36 OR #37 |
| #39 | #34 NOT #38 |
| Total hi | ts: 407 |

Search strategy for Embase

| Search date: 07.12.2022 | | | |
|-------------------------|--|--|--|
| ID | Search | | |
| #1 | ,pain'/exp | | |
| #2 | pain* | | |
| #3 | ,post-op*' | | |
| #4 | postop* | | |
| #5 | ,post-surg*' | | |
| #6 | ,migraine'/exp | | |
| #7 | migraine* | | |
| #8 | #1 OR #2 OR #3 OR #4 OR #5 OR #6 OR #7 | | |
| #9 | ,vagus nerve stimulation'/exp | | |
| 10 | (vagus nerve*' OR vagus OR,tenth cranial nerve*' OR,10 th cranial nerve*' OR,cranial nerve* x' OR,pneumogastric nerve*' OR, pneumo-gastric nerve*' OR,nerve* xs' OR,nervi vagi' OR,vagal nerve*' OR,vagal receptor*' OR,vagosympathetic trunk*' OR vago-sympathetic trunk*' OR,vagus trunk*' OR vagal OR auric*) NEAR/3 (,transcutaneous electrical nerve stimulation*' OR tens OR tan OR,electroacupuncture*' OR,electrotherap*' OR stimul* OR neurostim* OR,neuro stim*' OR neuromodul* OR neuro modul*' OR electrostimul* OR,electro stimul*' OR acupuncture* OR electroacupuncture* OR,electro acupuncture*') | | |
| #11 | avns:ti,ab | | |
| #12 | ,a-vns'.ti,ab | | |
| #13 | ,transcutaneous vagus nerve stimulator'/exp | | |
| #14 | ,tavns'.ti,ab | | |
| #15 | ,ta-vns':ti,ab | | |
| #16 | tvns:ti,ab | | |
| #17 | tcvns:ti,ab | | |
| #18 | nvns:ti,ab | | |
| #19 | ,percutaneous electric nerve field stimulation*' | | |
| #20 | penfs:ti,ab | | |
| #21 | ,ib-stim' | | |
| #22 | neurostim | | |
| #23 | ,neuro-stim' | | |
| #24 | ,nemos'/exp | | |
| #25 | nemos | | |
| #26 | ,primary relief' | | |
| #27 | ,p stim' | | |
| #28 | soterix | | |
| #29 | ,sdz-ii' | | |
| #30 | ,snm-fdc01' | | |
| #31 | ,tens 7000' | | |
| #32 | tens7000 | | |
| #33 | #9 OR #10 OR #11 OR #12 OR #13 OR #14 OR #15 OR 19,610 #16 OR #17 OR #18 OR #19 OR #20 OR #21 OR #22 OR #23 OR #24 OR #25 OR #26 OR #27 OR #28 OR #29 OR #30 OR #31 OR #32 | | |
| #34 | #8 AND #33 | | |
| #35 | #8 AND #33 AND [randomized controlled trial]/lim | | |
| #36 | ((double NEXT/1 blind*):de,ab,ti) OR placebo*:ab,ti OR blind*:ab,ti | | |
| #37 | #34 AND #36 | | |
| #38 | #34 AND ([cochrane review]/lim OR [systematic review]/lim OR [meta analysis]/lim) | | |
| #39 | (,meta analysis (topic)'/exp OR,meta analysis'/exp OR ((meta NEXT/1 analy*):ab,ti) OR metaanaly*:ab,ti OR,systematic review (topic)'/exp OR,systematic review'/exp OR ((systematic NEXT/1 review*):ab,ti) OR ((systematic NEXT/1 overview*):ab,ti) OR cancerlit:ab,ti OR cochrane:ab,ti OR embase:ab,ti OR psychlit:ab,ti OR psyclit:ab,ti OR psychinfo:ab,ti OR psycinfo:ab,ti OR cinahl:ab,ti OR cinhal:ab,ti OR,science citation index':ab,ti OR bids:ab,ti OR ((reference NEXT/1 list*):ab,ti) OR bibliograph*:ab,ti OR,hand search*':ab,ti OR ((manual NEXT/1 search*):ab,ti) OR,relevant journals':ab,ti OR ((,data extraction':ab,ti OR,selection criteria':ab,ti) AND review/it)) NOT (letter/it OR editorial/it OR (,animal'/exp NOT (,animal'/exp AND,human'/exp))) | | |
| #40 | #34 AND #39 | | |
| #41 | #35 OR #37 OR #38 OR #40 | | |
| #42 | (#35 OR #37 OR #38 OR #40) AND ([english]/lim OR [german]/lim) | | |
| #43 | #42 AND,Conference Abstract'/it | | |
| #44 | #42 NOT #43 | | |
| Total hit | Total hits: 631 | | |

| Search date: 07.12.2022 | |
|-------------------------|--|
| ID | Search |
| #1 | exp Pain/ |
| #2 | pain*.mp. |
| #3 | post-op*.mp. |
| #4 | postop*.mp. |
| #5 | post-surg*.mp. |
| #6 | postsurg*.mp. |
| #7 | exp Migraine Disorders/ |
| #8 | migraine*.mp. |
| #9 | 1 or 2 or 3 or 4 or 5 or 6 or 7 or 8 |
| 10 | exp Vagus Nerve Stimulation/ |
| #11 | (exp Vagus Nerve/ or (nervus vagus or vagus nerve* or tenth cranial nerve* or cranial nerve* x or pneumogastric nerve* or nerve* xs or vagal or auric*).mp.) adj4 (exp Transcutaneous Electric Nerve Stimulation/ or TENS.ti,ab. or tAN.ti,ab. or exp Electroacupuncture/ or exp Electric Stimulation Therapy/ or (stimul* or neurostim* or neuro-stim* or neuroodul* or neuro- modul* or electrostimul* or electro-stimul* or acupuncture* or electroacupuncture* or electro-acupuncture*).mp.) |
| #12 | aVNS.ti,ab. |
| #13 | a-VNS.ti,ab. |
| #14 | taVNS.ti,ab. |
| #15 | ta-VNS.ti,ab. |
| #16 | tVNS.ti,ab. |
| #17 | t-VNS.ti,ab. |
| #18 | tcVNS.ti,ab. |
| #19 | nVNS.ti,ab. |
| #20 | percutaneous electric nerve field stimulation*.mp. |
| #21 | PENFS.ti,ab. |
| #22 | IB-Stim*.mp. |
| #23 | NeuroStim.mp. |
| #24 | Neuro-Stim.mp. |
| #25 | NEMOS*.mp. |
| #26 | Primary Relief*.mp. |
| #27 | P-Stim*.mp. |
| #28 | Soterix*.mp. |
| #29 | SDZ-II.mp. |
| #30 | SNM-FDC01.mp. |
| #31 | TENS 7000.mp. |
| #32 | TENS7000.mp. |
| #33 | 10 or 11 or 12 or 13 or 14 or 15 or 16 or 17 or 18 or 19 or 20 or 21 or 22 or 23 or 24 or 25 or 26 or 27 or 28 or 29 or 30 or 31 or 32 |
| #34 | 9 and 33 |
| #35 | limit 34 to randomized controlled trial |
| #36 | ((randomized controlled trial or controlled clinical trial).pt. or randomized.ab. or placebo.ab. or drug therapy.fs. or randomly. ab. or trial.ab. or groups.ab.) not (exp animals/ not humans.sh.) |
| #37 | 34 and 36 |
| #38 | limit 34 to (meta analysis or "systematic review") |
| #39 | (((comprehensive* or integrative or systematic*) adj3 (bibliographic* or review* or literature)) or (meta-analy* or metaanaly* or "research synthesis" or ((information or data) adj3 synthesis) or (data adj2 extract*))).ti,ab. or (cinahl or (cochrane adj3 trial*) or embase or medline or psyclit or (psycinfo not "psycinfo database") or pubmed or scopus or "sociological abstracts" or "web of science").ab. or ("cochrane database of systematic reviews" or evidence report technology assessment or evidence report technology assessment summary).jn. or Evidence Report: Technology Assessment*.jn. or ((review adj5 (rationale or evidence))). ti,ab. and review.pt.) or meta-analysis as topic/ or Meta-Analysis.pt. |

| #40 | 34 and 39 |
|-----------------|---------------------------------|
| #41 | 35 or 37 or 38 or 40 |
| #42 | limit 41 to (english or german) |
| #43 | remove duplicates from 42 |
| Total hits: 462 | |

Search strategy for HTA Database (INATHTA)

| Search date: 07.12.2022 | | |
|-------------------------|--|--|
| ID | Search | |
| #1 | "Vagus Nerve Stimulation"[mhe] | |
| #2 | aVNS | |
| #3 | a-VNS | |
| #4 | taVNS | |
| #5 | ta-VNS | |
| #6 | tVNS | |
| #7 | t-VNS | |
| #8 | tcVNS | |
| #9 | nVNS | |
| 10 | auric* | |
| #11 | percutaneous electric nerve field stimulation* | |
| #12 | PENFS | |
| #13 | IB-Stim* | |
| #14 | NeuroStim | |
| #15 | Neuro-Stim | |
| #16 | NEMOS* | |
| #17 | "Primary Relief" | |
| #18 | P-Stim | |
| #19 | Soterix | |
| #20 | SDZ-II | |
| #21 | SNM-FDC01 | |
| #22 | "TENS 7000" | |
| #23 | TENS7000 | |
| #24 | (TENS7000) OR ("TENS 7000") OR (SNM-FDC01) OR (SDZ-II) OR (Soterix) OR (P-Stim) OR ("Primary Relief") OR (NEMOS*) OR (Neuro-Stim) OR (NeuroStim) OR (IB-Stim*) OR (PENFS) OR (percutaneous electric nerve field stimulation*) OR (auric*) OR (nVNS) OR (tcVNS) OR (t-VNS) OR (taVNS) OR (taVNS) OR (tcVNS) OR (t-VNS) OR (taVNS) OR (taVNS | |
| #25 | ((TENS7000) OR ("TENS 7000") OR (SNM-FDC01) OR (SDZ-II) OR (Soterix) OR (P-Stim) OR ("Primary Relief") OR (NEMOS*) OR (Neuro-Stim) OR (NeuroStim) OR (IB-Stim*) | |
| Total hi | ts: 27 | |

